BLDE

(DEEMED TO BE UNIVERSITY)

Declared as Deemed to be University u/s 3 of UGC Act, 1956

Accredited with 'A' Grade by NAAC (Cycle-2)

BLDE(DU)/REG/Research Incentive/2025-26/13 Date: 01-04-2025

Notification

Sub: BLDE (DU) Rules and Standard Operating Procedures governing Financial Incentives for Promotion and Pro-activisation of Research

In order to promote and pro-activate Research and Innovation Activities in BLDE (DU) and its constituent colleges, a Financial Incentive Policy is hereby notified. It will be effective from the Date of Notification and onwards & valid till further orders.

The Faculty and Students are requested to promote & pro-activate Research and its output/outcome and make full utilization of these incentives.

A copy of Rules and SOP governing Financial Incentives for Promotion of Research enclosed herewith for perusal and implementation.

By order

Registrar

REGISTRAR
BLDE(Deemed to be University)
Vijayapura-586103. Karnataka

Copy to:

- The Dean Faculty of Medicine
- The Dean Faculty of Allied Health Sciences
- The Principal SBMPMC & RC
- The Principal School of Physiotherapy
- Principal, School of Law
- Coordinator, School of Applied Science and Technology
- Director, R & D Cell
- Deputy Director, R & D Cell
- The Chairperson Doctoral Committee
- The Chief Librarian
- The Head, IT Section
- The Coordinator, Central Research Facility
- The Coordinator, ICC & IPR
- The Vice-Principal (Pre & Para Clinical), SBMPMC & RC
- The Vice-Principal (Clinical), SBMPMC & RC
- The Director/Co-coordinator IQAC.
- The Heads of the Department of Medical College.
- All the Faculty Members, PG Students, Researchers
- The Finance Officer
- The Deputy Registrar (Adm)

Copy Respectfully Submitted to:

- The Pro-Chancellor
- The Vice-Chancellor
- The Pro Vice-Chancellor

BLDE(DU)/REG/Research Incentive/2025-26/ Date: 01-04-2025

NOTIFICATION

BLDE DU Rules and Standard Operating Procedures Governing Financial Incentives for the Promotion and Reactivation of Research

With Effect from Academic Year 2024-25

Drafted by:
Dr. Y. M. Jayaraj
(Pro-Chancellor)

PREAMBLE:

Research & Innovations have become an integral parameter and indicator of growth and development of a University in global a national perspectives. Hence there is a need to proactivate Research Activities, including Extramural Funded Projects, Publications in Indexed Journals, Grant of Patents and Presentation of Research in Academic or Professional Conferences / Seminars. Hence, the University has come out with a proactive incentives based Research Promotion Policy, applicable to all the faculty members and students of BLDE (DU) and its constituent colleges.

It is proposed to extend Financial Incentives to promote and proactivate Research Activities, under the following heads:

1. Financial Incentives for Extramural Grants
2. Incentives for guiding ICMR STS/BLDE (DU) STS Projects
3. Financial incentives for Books / Book Chapters publication with ISBN [Indexed in Data Base of Scopus/WoS/PubMed and Non-Indexed Ones]
4. Financial Incentives for Granted IPRs
5. Incentives for Research Publication in Indexed Journals
6. Merit Incentives for Students
7. Financial Incentives for UG students for participating in Seminar/Conference at National or State Level and presenting the Paper/Poster
8. Financial Incentive for Faculty for Presentation of Poster/Paper in Seminar/Conference
9. Financial Incentives for Research Innovation Awards
10. Financial Incentives for Research Awards

INTRODUCTION:

These BLDE (DU) Rules and Standard Operating Procedures governing the Financial Incentives for promotion and pro-activation of Research & Innovation will come into effect from the Academic Year July/2024-2025 and is valid till further orders in this direction.

A	**FINANCIAL INCENTIVES FOR PROMOTION OF RESEARCH**
1	**Financial Incentives for Extramural Grants:**
	The policy of financial incentive for getting grants from external funding agencies like DST, AICTE, CSIR, ICMR, UGC, VGST, RGUHS, BRNS, DRDO etc. or any other organizations is proposed. The financial incentive in the form of Financial Incentive is as follows.

Name	Incentive
Principal investigator/Programme coordinator	5% of the non-recurring head of the Amount received by BLDE(DU) from Extramural Funding Agencies for Faculty Research Projects (excluding fellowships, recurring expenses, remuneration, contingency and institute overhead charges & includes only non-recurring head of Accounts)

Note:

a. The PI will receive an incentive of 5% on the amount received by the university from Extramural Funding Agencies under the Non-Recurring Head of Accounts of Project Funding.

b. Community /Field Projects- In case of Community/field trials (Where non-recurring component is not there, 5% of 50 % funds received by BLDE (DU) from Extramural Agencies will be for incentive to principal investigator.

c. The calculated amount will be released to PI after completion/ submission of audited reports, utilization certificate and project completion certificates to the Funding Agencies. For collaborative Research projects, the investigators of BLDE (DU) shall share the incentive among them.

2 Incentives for guiding ICMR STS/BLDE (DU) STS Projects

Guides of ICMR-STS/BLDE DU STS completed projects shall be given an incentive of Rs. 10,000 for each ICMR-STS and Rs. 5,000 for each BLDE STS project.

3 Financial incentives for Books / Book Chapters publication with ISBN [Indexed in Data Base of Scopus/WoS/PubMed and Non-Indexed Ones]

Sl. No	Type of Work	Incentives (Rs.)
1	Sole author of the Book/Editor of the book published with reputed International publisher having ISBN Indexed in Scopus, PubMed, Web of Science [Springer, Elsevier, SAGE and their equivalent publishers].	30,000
2	Sole author of the Book/Editor of the book published with reputed national publisher JP/CBSE/PARAS/ S Chand and others having ISBN Indexed in Scopus, PubMed and Web of Science.	25,000
3	Chapters/Partial contribution in books published with reputed International/National publisher having ISBN Indexed in Scopus, PubMed and Web of Science.	15,000
4	Sole Author of Book with ISBN by reputed and recognized International Publisher but not Indexed in Scopus, PubMed and Web of Science.	15,000
5	Sole Author of Book with ISBN by reputed and recognized National Publisher but not Indexed in Database of Scopus, PubMed and Web of Science.	10,000
6	Chapter in Books with ISBN by reputed and recognized National Publisher but not indexed in Database of Scopus, PubMed and Web of Science.	5,000

Note:

a. Incentive shall be claimed by first or corresponding author.

b. In case of collaborative publications, first among BLDE (DU) author can claim for incentive.

c. In the case of joint authors, only one author can apply for incentives.

4 Financial Incentives for Granted IPRs

Sl. No	Type of Work	Incentives (Rs.)
1	For Granted Patent (International/National)	25,000
2	For Granted Design Patent	10,000
3	For Granted Copyright	2,000

Note:

a. Expenses for IPR drafting, filing and other fees will be borne by the BLDE (Deemed to be University) for genuine works on case to case evaluation basis.
b. The incentive will be given to Innovators (In case of more than one Innovation, the incentive shall be equally divided among all)
c. For all the IPR applications, BLDE (Deemed to be University) will be one of the applicant. For individual filing (natural person) of IPR, there will be no incentive nor the expenses for filing IPR will be borne by BLDE (DU)
d. Visiting Professor or Adjunct Professor cannot claim incentive for Patents and IPRs directly but the co-authors of the publication can claim the incentive.

5 Financial Incentive for Research publications in Indexed journals:

The Original / Review /Short Communications / Case Series/ Case Reports published only in Peer Reviewed Journals indexed in Scopus, PubMed, Web of Science, and UGC CARE list will be considered for incentives.

Sl. No	Particulars of Published Journal and Data Bases	Incentives Per Paper (Rs.)	Max Incentive Ceiling amount per Annum per Faculty of BLDE(DU) (First or Corresponding Author) Rs.
1	Publications of Original Article/Review Articles/ Meta Evaluation Articles Indexed in PubMed/PubMed Central/ Scopus/WoS	10,000	1,00,000
2	Publications UGC CARE Listed Journals	5,000	50,000
3	Publications of Short Communication/ Case Report/ Case Series in Scopus/ WoS/PubMed Indexed Journals	8,000	80,000

OR

An Author publishing in journal with impact factor more than 1 or Q1/Q2/Q3/Q4 Journals are eligible for reimbursement of 100% pf APC subject to a maximum ceiling of Rs. 20,000 per paper and maximum ceiling of Rs. 50,000 per annum for total papers per first/corresponding author.
Note: An Author can claim either Publication Incentive or APC reimbursement but not both for a Research Article/ Review Article/ Meta Evaluation Article/ Short Communication/Case Report/Case Series. Receipt of payment of APC by the first author or corresponding author shall be produced for reimbursement.

Note:

a. Impact factors calculated by Thomson Reuters/JCR will be considered; journal's own impact factor calculation will not be taken into consideration
b. Papers published in non-indexed/non-refereed journals will not be considered for Incentives
c. Incentive shall be claimed by the first or corresponding author.
d. In case of collaborative publications, the mutually accepted author from BLDE (DU) shall claim the incentive.
e. Visiting Professor/Faculty or Adjunct Professor/Faculty cannot claim incentive for publications but the First Author or Corresponding Author or Faculty or co-authors from BLDE (DU) of such publication can claim the incentive.
f. There will be no Incentive or APC Charges reimbursement for Articles Published in BLDE (DU) Research Journal or Published by the Other University Journal which are not indexed in Scopus and WoS.

6 Financial Incentives for Meritorious Students:

Financial Incentives to students (UG/PG/Ph.D.) for getting Awards/Prizes for Paper/Poster presentation/Quiz/Other Academic and Research activities in International/National/State – Conferences/ CMEs etc.

	International or National Level	State Level
First Prize Winners	10,000	8,000
Second Prize Winners	5,000	4,000
Third Prize Winners	3,000	2,000

7 Financial Incentive for UG students for Participation in Seminar / Conference at National or State Level and presenting the Paper / Poster.

UG Student presenting scientific deliberation (oral/poster) in state/national or international conferences will be eligible for maximum incentives of Rs. 5,000 per presentation.
(Note: Incentive can be claimed only once a financial year per student towards Registration Fee or TA/DA)

8 Financial Incentive to Faculty for Presentation of Poster/Paper in Seminar / Workshop/ Conference.

Sl. No	Presentation of Paper/Poster at	Reimbursement (Rs) towards Registration + TADA – Actuals Subject to Maximum Ceiling Amount	Maximum Claims
1	State Conference/Seminar	Actuals Subject to maximum ceiling amount of 15,000	Only once a year per Faculty
2	National and International Conference/Seminar held in India	Actuals Subject to maximum ceiling amount of 25,000	
3	Organized in Vijayapura by other Institutions or BLDE (DU)	Registration Fee Only Subject to maximum ceiling amount of 5,000	
4	International Conference/Seminar held outside of India	Registration Fee + One – way flight charges Subject to maximum ceiling amount of 50,000	Only once in two years per Faculty

i. This incentive is applicable only if you have applied for reimbursement as per SOP norms.
ii. Faculty can claim for either State or National Conference or Seminar only once in a Financial Year.
iii. Faculty claiming incentive must produce Presentation Certificate for presentation of Research Article & Registration Receipt given by organizers & TA/DA Vouchers.
iv. All faculty claiming reimbursement must obtain prior permission from the Registrar and sanction of leave by competent authority to attend and present paper in seminar/workshop/conferences as per SOPs, Proforma and Declaration.

9 Financial Incentives for Research Innovation Awards

1	For Research Innovation Award given by International Recognized Bodies like WHO, UNESCO, NIH, European Unions, Foreign Universities	Rs. 15,000 per Award
2	For Research Innovation Award given by National Recognized Bodies like UGC, ICMR, CSIR, DBT, DST and equivalent professional and MNCs	Rs. 10,000 per Award
3	Maximum ceiling per year for Research Innovation Awards	Rs. 25,000 per year

10	**Financial Incentives for Research Awards**		
	1	International Awards (by Global or International Bodies/MNCs (Best Paper, Best – Poster, Best Researcher, Best Book etc.) (WHO, UNICEF, NIH, EU, Foreign Universities and equivalent)	Rs. 10,000 per Award
	2	National Awards (by ICMR, UGC, CSIR, AYUSH, IMA, MNC's, DST, DBT and equivalent)	Rs. 5,000 per Award
	3	Maximum ceiling per year for Research Awards	Rs. 20,000 per year
B	**PROCESSING OF THE RESEARCH FINANCIAL INCENTIVES FOR PROMOTION OF RESEARCH [PUBLICATIONS, GRANTS, AWARD IPR, OTHER CONTRIBUTIONS, PARTICIPATION IN S/S/C/W AND PRESENTAITON OF RESEARCH ARTICLE]**		
1	**Constitution of Committee:** The BLDE (DU) shall constitute a committee comprising of the Director of Research, Officer of Clinical Trials, Collaboration, IPR cells, Finance Officer and the Registrar. Pro - Vice Chancellor shall be the Chairperson of the committee and the Director, R & D cell shall be the member secretory. Hon'ble Pro-Chancellor shall be the special invitee and observer for the said committee's meetings. A Senior Teachers cum Researcher of BLDE (DU) shall be the permanent invitee.		
2	**Meeting:** A committee shall meet once in a month, preferably during 4th week of the month.		
3	**Submission of Application:** The faculty and the students may submit their application seeking the Financial Incentive before the 15th of a given month along with all the documentary evidences submitted through proper channel (HOD/HOI/ Director of Research of University). The applicant shall clearly mention the type of Financial incentive sought and declaration about the claim & ceiling amount per year.		
4	**Supporting Documents:** The application shall accompany the certified printed copy of the publication/award declaring that they are the author/corresponding author of the Publication/IPR/Award and that it has the name of the Institution and University as affiliating address.		
5	**Processing:** The Director of Research shall process these applications for the following: ▪ Authenticity of the publication/IPR/Grants/Award & its receipt and validity ▪ Journal and its indexing status, Impact Factor/SCS/Quartile and Other Bibliography data. ▪ Nature of Awards, Level of Awards ▪ Relevant documents and certifications		
6	The Director of Research will place these applications along with the recommendations on the quantum of the financial assistance to the applicant as per the University rules governing the financial incentives.		
7	**Recommendation:** The committee shall consider the recommendation of the Director of Research and finally decide the eligibility and the quantum of incentives. The committee shall also specify as to whom the incentive shall be given. The full incentive is given on mutual decision of authors, in case of multiple authors.		
8	The incentive scheme is applicable to faculty members, students and researchers of the BLDE (DU).		
9	**Approval and Sanction:** The committee shall prepare a consolidated list of faculty members, researchers and students and their affiliating address college-wise along with the financial assistance sanctioned. Duly signed consolidated approval list is submitted to the University Authorities for approval and sanction.		
10	**Payment:** The sanctioned letter duly signed by the Registrar will be provided to Finance Officer of the University to make payment online to the respective registered bank account of the beneficiaries. The amount is debited in the account research head of respective colleges.		
11	The Director of Research and the Finance Officer shall ensure that there is no double payment for the same parameter of Incentive.		

12	In case of charges of plagiarism or false claim in future the amount is liable to be recovered from the faculty.
13	The FO & Director , Research shall ensure the ceiling limits for each parameter & maximum annual ceiling per parameter are followed
14	The Budget head and Expenditure Head must be clearly defined as "Financial Incentives to promote Research & Innovation.
15	**Annual Report:** The Director of Research and the Finance Officer shall prepare an annual report (as per financial year basis) and submit to the University Authorities and IQAC for its inclusion in Annual Report and AQAR.
C	**Miscellaneous Provisions:**
1	**Audit:** The Finance Officer shall be responsible for the proper auditing of the expenditure under specified head.
2	Consultation is not included in the incentives as there will be a policy of revenue sharing for the consultancy projects. There will be a separate Rules and SOP for it.
3	**Special Provisions:** In case of Original Research Publication as first Author in prestigious international journals like Lancet, New England/Journal of Medicine, Nature, Science and with High Impact Factor/SCS of >40, the higher incentive may be considered on case-to case basis with the recommendation of the Committee and sanction by University/Management Authorities within the annual ceiling of Rs. 5, 00,000 per annum for such special cases.
4	The Director R & D shall ensure adherence to the Rules & SOP & necessary documents to be provided to process incentives.
5	**Final Decision & Appeals:** The Decision of BLDE (DU) shall be final and binding on all stake holders.

By order

Registrar

Date: 01-04-2025

Place: Vijayapura

Copy to:

- The Principal SBMPMC & RC
- The Dean Faculty of Medicine
- The Dean Faculty of Allied Health Sciences
- The Principal School of Physiotherapy
- Principal, School of Law
- Coordinator, School of Applied Science and Technology
- Director, R & D Cell
- Deputy Director, R & D Cell
- The Chairperson Doctoral Committee
- The Chief Librarian
- The Head, IT Section
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