



# **BLDE**

## **(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956  
Accredited with 'A' Grade by NAAC (Cycle-2)

**BLDE Deemed to be University  
(Minimum Standards and Procedures  
for the Award of Ph.D. Degree)  
Regulations, 2022**

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**BLDE  
(DEEMED TO BE UNIVERSITY)  
VIJAYAPURA**

**BLDE Deemed to be University (Minimum Standards &  
Procedures for the Award of Ph.D. Degree) Regulations,  
2022**



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BLDE(DU)/REG/Ph.D. REG-2022/2023-24/0490

20 May, 2023

**NOTIFICATION**

Sub: BLDE(DU) Ph.D. Regulations-2022.

- Ref: 1. UGC (Minimum Standards and Procedures for award of Ph.D. Degree) Regulations, 2022 dtd. 07.11.2022.  
2. Minutes of the 40<sup>th</sup> meeting of Academic Council of BLDE(DU) held on 27.12.2022  
3. Minutes of the 61<sup>st</sup> meeting of the Board of Management held on 18.04.2023  
4. The Hon'ble Vice-Chancellor approval vide no. 186, dtd. 20.05.2023

On approval of the Academic Council in its 40<sup>th</sup> meeting, Board of Management in its 61<sup>st</sup> meeting and Hon'ble Vice-Chancellor vide no. 186 dtd. 20.05.2023, the "BLDE Deemed to be University (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022" has been notified w.e.f. 07.11.2022 for the Ph.D. Programmes of the Institute.

**REGISTRAR  
REGISTRAR**

**BLDE (Deemed to be University)  
Vijayapura-586103, Karnataka**

Copy to:

- The Secretary, UGC, New Delhi
- The Principal & Dean, Faculty of Medicine
- The Dean, Faculty of Allied Health Sciences
- The Dean, Student Affairs
- The Vice-Principal (Pre and Para Clinical)
- The Vice Principal (Clinical)
- The Chairman, Doctoral Research Committee
- The Controller of Examinations
- The Finance Officer
- The Prof. and HoD of Pre, Para & Clinical Departments
- The Co-ordinator / Director, IQAC
- The Assistant Registrar

Copy respectfully submitted to:

- The Hon'ble Pro-Chancellor
- The Hon'ble Vice-Chancellor
- The Hon'ble Pro Vice-Chancellor

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**BLDE (DEEMED TO BE UNIVERSITY),  
VIJAYAPURA**

**BLDE Deemed to be University (Minimum Standards & Procedures for the Award of Ph.D. Degree) Regulations, 2022**

[Based on UGC's (Minimum Standards and Procedures for the Award of Ph.D. Degree) Regulations, 2022 vide UGC Notification dtd 7<sup>th</sup> Nov 2022, published in the Gazette of India Extraordinary Part III Section 4. Nov 2022]

**Preamble:**

**No. F. No. 1-3/2021(QIP).**—In exercise of the powers conferred by clauses (f) and (g) of sub-section (1) of section 26 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil. /Ph.D. Degree) Regulations, 2016 and its amendments, the BLDE(DU) hereby makes the following Regulations: -

**1. Short title, Application, and Commencement:**

These Regulations may be called '**BLDE Deemed to be University (Minimum Standards & Procedures for the Award of Ph.D. Degree) Regulations, 2022**' [In accordance with University Grants Commission (Minimum Standards and Procedure for Award of Ph.D. Degree) Regulations, 2022].

- i. They shall apply to BLDE(DU), Vijayapura, Karnataka, established or incorporated under section 3 of the University Grants Commission Act, 1956.
- ii. They shall come into force from the date of their publication in the Gazette of India-7<sup>th</sup> November, 2022.

**2. Definitions:**

- i. In these Regulations, unless the context otherwise requires,-
  - a) "Act" means the University Grants Commission Act, 1956 (3 of 1956);
  - b) "Adjunct Faculty" means a part-time or contingent instructor, but not full-time faculty member hired to teach by a Higher Educational Institution;
  - c) "Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
  - d) "Credit" means the number of hours of instruction required per week over the duration of a semester. A three-credit course in a semester means three one-hour lectures per week, with each one-hour lecture counted as one credit;
  - e) "College" means an institution engaged in higher education and/or research, either established by a University as its constituent unit or is affiliated with it;
  - f) "Commission" means the University Grants Commission established under Section 4 of the UGC Act 1956;
  - g) "Course" means one of the specified units which go to comprise a programme of study;
  - h) "Course Work" means courses of study prescribed by the School/Department/ Centre to be undertaken by a student registered for the Ph.D. Degree;

- i) “Degree” means a degree awarded by a Higher Educational Institution in accordance with the provisions of section 22 (3) of the Act;
- j) “External examiner” means an academician/researcher with published research work who is not part of the BLDE(DU);
- k) “Foreign Educational Institution” means–(i) an institution duly established or incorporated in its home country and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
- l) “Grade Point” means a numerical weight allotted to each letter grade on a 10-point scale;
- m) “Guide/Research Supervisor” means an academician/researcher recognized by Higher Educational Institution to supervise the Ph.D. scholar for his/her research;
- n) “Higher Educational Institution” means a university or institution specified under clause 2 of Regulation 1 of these Regulations;
- o) “Interdisciplinary Research” means research conducted by a Ph.D. scholar in two or more academic disciplines;
- p) “Open and Distance Learning Mode” shall have the same meaning as defined under the UGC(Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- q) “Online Mode” shall have the same meaning as defined under the UGC (Open and Distance Learning Programmes and Online Programmes) Regulations 2020;
- r) “Plagiarism” means the practice of taking someone else’s work or idea and passing them as one’s own;
- s) “Programme” means a higher education programme pursued for a degree specified by the Commission under sub-section (3) of section 22 of the Act;
- t) “Prospectus” means any document, whether in print or otherwise, issued for providing fair and transparent information relating to a BLDE(DU) and programmes, to the general public by the BLDE(DU);
- u) “Research Proposal” means a brief write-up giving an outline of the proposed research work which the Ph.D. scholar shall submit along with the application for registration for Ph.D. programme;
- v) “University” means BLDE (Deemed to be University), Vijayapura, Karnataka.
- ii. Words and expressions used and not defined in these Regulations but defined in Act and not consistent with these Regulations shall have the meanings assigned to them in that Act.

**3. Eligibility criteria for admission to the Ph.D. Programme.-** The following are eligible to seek admission to the Ph.D. programme:

- i. Candidates who have completed:
  - a) A 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a pointscale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.  
  
A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
  - b) Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled, Economically Weaker Section

(EWS) and other categories of candidates as per the decision of the Commission from time to time.

- ii. Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
- iii. BLDE (DU) offers the following Ph.D. Programmes under faculties specified:
  - a) Faculty of Medical Sciences  
Ph.D. in any given subject of Medical Sciences as approved and notified by the National Medical Commission from time to time (Pre, Para, Clinical, Broad Specialty & Super Specialty Subjects)  
Anatomy| Physiology| Biochemistry| Pharmacology| Pathology| Microbiology| Forensic Medicine & Toxicology| Community Medicine| General Medicine| General Surgery| Obstetrics and Gynecology| Orthopedics| Ophthalmology| Otorhinolaryngology (ENT)| Pediatrics| Dermatology| Radiology| Anaesthesiology| Emergency Medicine| Psychiatry| Respiratory Medicine and any other subjects introduced from time to time.
  - b) Faculty of Allied Health Sciences
    - Life Science (*Biotechnology| Biochemistry| Cell Genetics| Microbiology| Molecular Biology| Molecular Genetics*).
    - Medical Laboratory Science (*Cytotechnology| Forensic Science Technology| Histotechnology| Hemato Technology| Medical Lab Technology*).
    - Nutrition Science (*Dietics| Clinical Dietic| Food Service Dietics| Public Health Nutrition| Sports Nutrition*).
    - Community Care (*Community Health| Environmental Health*).
    - Behavioral Health Science (*Psychology| Behavior Health, Mental Health*).
    - Physiotherapy and any other subjects introduced from time to time.
  - c) Faculty of Science & Technology  
Chemistry| Biochemistry| Microbiology| Biotechnology and any other subjects introduced from time to time

The following faculties may be included under BLDE(DU) in future subject to appropriate approval from the authorities:

- d) Faculty of Nursing-Ph.D. in Nursing
- e) Faculty of Ayurveda
- f) Faculty of Pharmacy (Subjects as specified by Pharmacy Council of India-PCI)
- g) Faculty of Computer Science, Engineering and Technology (Subjects as specified by AICTE)
- h) Faculty of Commerce and Management (Subjects as specified by AICTE)
- i) The Deemed to be University may offer Ph.D. in an interdisciplinary subject. A Research Scholar of a given subject may pursue Ph.D. in any allied or cognate subject. In such cases, Ph.D. in interdisciplinary subject may be awarded.

Any Other Faculties introduced from time to time

#### 4. Duration of the Programme.-

- i. Ph.D. Programme shall be for a minimum duration of three (03) years, including course work, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.
- ii. A maximum of an additional two (2) years can be given through a process of re-registration as per the Statute/Rules of the BLDE(DU); provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
- iii. Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D.



programme.

- iv. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme.

## **5. Procedure for admission. -**

- i. The admission shall be based on the criteria notified by the institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/ regulatory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time.

- ii. Admission to the Ph.D. programme shall be made using the following methods:

- a) BLDE(DU) may admit students who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/CEED and similar National level tests based on an interview.

And/or

- b) BLDE(DU) may admit students through an Entrance Test conducted at the level of BLDE(DU). The Entrance Test syllabus shall consist of 50% of research methodology, and 50% shall be subject-specific.
- c) Students who have secured 50 % marks in the entrance test are eligible to be called for the interview.
- d) A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
- e) BLDE(DU) may decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
- f) Provided that for the selection of candidates based on the entrance test conducted by the BLDE(DU), a weightage of 70 % for the entrance test and 30 % for the performance in the interview/viva- voce shall be given.

- iii. BLDE(DU), shall:

- a) Notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates; The Notification can be issued on the website/ News Papers once a year or in case of demand twice a year. i.e. January/February & July/August.
- b) The candidates may download the Application from the university website; [www.bldedu.ac.in](http://www.bldedu.ac.in)
- c) The university shall prescribe the fees for application cum entrance test, Provisional Registration Fee & Tuition fee for Academic Year payable in 2 instalments and any other fee as applicable to all subjects.
- d) The candidates have to appear for the entrance test/ interview/ viva-voce on the dates specified by the university in the academic schedule (on their own) in person, conducted at BLDE(DU) main campus.

- iv. BLDE(DU) shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (specifying the name of the registered Ph.D. Scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update this list every academic year.

## **6. Recognition of Research Supervisor & Allotment of Research Supervisors.-** Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per supervisor, etc.

- i. Permanent faculty members working as Professor/Associate Professor of the BLDE(DU) with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as Assistant Professors in Higher Educational Institutions with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university where the faculty member is employed or in its constituent Post-graduate Colleges/institutes. Such recognized research supervisors cannot supervise research scholars in other universities, where they can only act as co-supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the university or its constituent Post- graduate Colleges/institutes would be in violation of these Regulations.

For Ph.D. scholars working in Central government/ State government research institutions whose degrees are given by Higher Educational Institutions, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors if they fulfill the above requirements.

Provided that in areas/disciplines where there is no, or only a limited number of peer-reviewed or refereed journals, the BLDE(DU) may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

Apart from the above, Professors of Medical College having MD/MS/DNB or equivalent PG degree with 15 years of total teaching experience as Assistant Professor/ Associate Professor/ Professor of which 10 years as PG teacher for MD/MS/DNB programme will be recognized as Ph.D. supervisor/ guide for Research Scholar of Medical Faculty/ Allied Health Science Faculty.

Co-Supervisors from within the same department or other departments of the same institution or other institutions may be permitted with the approval of the competent authority.

Adjunct Faculty members shall not act as Research Supervisors and can only act as co-supervisors.

- ii. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/School/Centre/College/University may be appointed.
- iii. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8) / six (6) / four (4) Ph.D. scholars, respectively, at any given time.
- iv. In case of relocation of a female Ph.D. scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the Higher Educational Institution to which the scholar intends to relocate, provided all the other conditions in these Regulations are followed, and the research work does not pertain to a project sanctioned to the parent Institution/Supervisor by any funding agency. Such scholar shall, however, give due credit to the parent institution and the supervisor for the part of research already undertaken.
- v. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.

#### **7. Admission of International students in Ph.D. programme.-**

- i. Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified in clause 6.3 above.
- ii. The BLDE (DU) may decide its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.

#### **8. University shall issue Rules and SoPs on the system and process for planning, monitoring, review and evaluation of Doctoral Research work. The university shall constitute a Doctoral Research Committee to implement the provisions of the Rules & the Regulations.**

The University Authorities shall notify the composition of the Doctoral Research Committee as per the provisions of these Regulations & its rules governing Doctoral Degree studies, the Committee shall be reconstituted at regular intervals.

#### **9. Course Work.- Credit requirements, number, duration, syllabus, minimum standards for completion, etc.**

- i. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019 and a research methodology course. The Doctoral Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- ii. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching/ education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.

- iii. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.

**10. Doctoral Research Advisory Committee and its Functions.-**

- i. There shall be a Doctoral Research Advisory Committee or an equivalent body as defined in the Statutes/Rules of the BLDE(DU) for Ph.D. scholars. The Research Supervisor of the Ph.D. scholar concerned shall be the permanent invitee cum Convener of this committee while dealing with the items concerned with the Research scholars registered under him or her. This committee shall have the following responsibilities:
  - a) To review the research proposal and finalize the topic of research.
  - b) To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
  - c) To periodically review and assist in the progress of the research work of the Ph.D. scholar.
  - d) To carry out duties and responsibilities as assigned in the University Rules.
- ii. Each semester, a Ph.D. scholar shall appear before the Doctoral Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Doctoral Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University. A copy of such recommendations shall also be provided to the Ph.D. scholar.
- iii. In case the progress of the Ph.D. scholar is unsatisfactory, the Doctoral Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Doctoral Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

**11. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.-**

- i. Upon satisfactory completion of course work and obtaining the marks/grade prescribed in clause (3) of Regulation 9 above, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- ii. Before submitting the dissertation/thesis, the Ph.D. scholar shall make a presentation before the Research Advisory Committee of the Higher Educational Institution concerned, which shall also be open to all faculty members and other research scholars/students.
- iii. The university shall have a mechanism using well-developed software applications to detect Plagiarism in research work and the research integrity shall be an integral part of all the research activities leading to the award of a Ph.D. degree.
- iv. A Ph.D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph.D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.
- v. The Ph.D. thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the BLDE(DU). Such examiner(s) should be academics with a good record of scholarly publications in the field. Wherever possible, one of the external examiners should be chosen from outside India. The viva-voce board shall consist of the Research Supervisor and at least one of the two external examiners and may be conducted online. The viva-voce shall be open to the members of the Doctoral Research Advisory Committee/faculty members/research scholars, and students. BLDE(DU) may formulate appropriate rules to effect the provisions of these Regulations.
- vi. The viva-voce of the Ph.D. scholar to defend the thesis shall be conducted if both the external examiners recommend acceptance of the thesis after incorporating any corrections suggested by them. If one of the external examiners recommends rejection, the university shall send the thesis to an alternate external examiner from the approved panel of examiners, and the viva-voce examination shall be held only if the alternate examiner recommends acceptance of the thesis. If the alternate examiner does not recommend acceptance of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.

- vii. The university shall complete the entire process of evaluating a Ph. D. thesis, including the declaration of the viva-voce result, within a period of six (6) months from the date of submission of the thesis.
- 12. Academic, research, administrative, and infrastructure requirements to be fulfilled by Colleges for getting recognition for offering Ph.D. programmes.-**
- i. Post-graduate Colleges offering 4-year Undergraduate Programmes and/or Post-graduate Programmes, may offer Ph.D. programmes, provided they satisfy the availability of eligible Research Supervisors, required infrastructure, and supporting administrative and research facilities as per these Regulations.
- 13. Ph.D. through Part-time Mode.-**
- i. Ph.D. programmes through part-time mode will be permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- ii. The university shall obtain a “No Objection Certificate” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
- a) The candidate is permitted to pursue studies on a part-time basis.
- b) His/her official duties permit him/her to devote sufficient time for research.
- c) If required, he/she will be relieved from the duty to complete the course work.
- iii. Notwithstanding anything contained in these Regulations or any other law, for the time being in force, the BLDE(DU) shall not conduct Ph.D. programmes through distance and/or online mode.
- 14. Grant of M.Phil. Degree.-** The University shall not offer the M.Phil.(Master of Philosophy) programme.
- 15. Issuing a Provisional Certificate.-** Prior to the actual award of the Ph.D. degree, the university shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of these Regulations. The Ph.D. Degree shall be conferred in the annual convocation.
- 16. Award of Ph.D. degrees prior to Notification of these Regulations.-** Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009, till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or the UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016 as the case may be. Further, the award of degrees to candidates already registered and pursuing Ph.D. shall be governed by these Regulations or UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2016. Nothing in these Regulations shall impact the M.Phil. degree programmes commencing prior to the enactment of these Regulations.
- 17. Depository with INFLIBNET.-** Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the university shall submit an electronic copy of the Ph.D. thesis to INFLIBNET, for hosting the same so as to make it accessible to all the Higher Educational Institutions and research institutions.
- 18. Savings Clause.-** In case of any appeal or grievances by any Ph.D. scholar or Research Supervisor/Guide or Co Research Supervisor/ Guide or any other regarding the implementation and interpretation of the provision of these Regulations, the decision of BoM and the Chancellor shall be final & binding on all.

Approved by Academic Council on 27.12.2022, and Board of Management (BoM) on 18.04.2023 & Notified by the University.

REGISTRAR

**Rules and SoPs Governing the BLDE Deemed to be  
University (Minimum Standards & Procedures for the Award  
of Ph.D. Degree) Regulations, 2022**



**BLDE**  
(DEEMED TO BE UNIVERSITY)  
Declared as Deemed-to-be-University u/s 3 of UGC Act, 1956

**Rules and SoPs Governing the “BLDE Deemed to be University (Minimum Standards & Procedures for the Award of Ph.D. Degree) Regulations, 2022” prepared in accordance with the University Grants Commission (minimum standards and procedures for Award of Ph.D. Degree) Regulations, 2022 effective from 07.11.2022.**

The System, Process & Procedure for the following shall be as per the Rules and SoPs issued by the BLDE(DU) conforming with the provision of UGC Regulations 2022 governing the award of PhD programme.

Constitution of the Doctoral Research Committee with the following tasks

**1) Notification for Ph.D. Admission & Scrutiny of Applications:**

**Admission to the Ph.D. programme shall be made using the following methods:**

- i. BLDE(DU) shall notify the Ph.D. programme on the website/ News Papers once a year or in case of demand twice a year ordinarily in the months of January/February & July/August.
- ii. The Doctoral Research Committee shall decide the number of available seats every year in consultation with the available guides in the concerned department taking into account the departmental infrastructure and other facilities
- iii. The Notification shall indicate the total number of available seats under each subject/ discipline along with field wise/ specialisation wise split up.
- iv. The applications seeking enrolment for Ph.D. Programme shall be submitted to the Registrar
- v. The applications received shall be scrutinized by the Doctoral Research Committee and submit the scrutiny report to the office of the Registrar
- vi. BLDE(DU) shall admit candidates who qualify for fellowship/scholarship in UGC-NET/UGC-CSIR NET/GATE/CEED and similar National level tests based on an interview, and/or
- vii. BLDE(DU) shall admit all other candidates who do not fulfil the requirement for direct admissions but possess the required educational qualifications through an **Entrance Test** (written test & selection interview) conducted by the university.
- viii. **Direct Admission (Lateral entry) to full-time Ph.D. programme;**

The candidate who fulfills one of the following requirements may be considered for direct admission to the Ph.D. after an interview held by a Committee nominated by the Vice-Chancellor.

- a) A candidate who is a recipient of fellowships from government/semi-government organizations such as Indian Council of Medical Research (ICMR), Council of Scientific and Industrial Research (CSIR), UGC or DST-Inspire Fellow from DST, Govt. of India. etc. after qualifying NET/GATE examination provided he/she possesses the required educational qualifications. They will not be provided any stipend by the university.
- b) A candidate who has been selected as a minimum of three years Junior Research Fellow (JRF) under extramural research projects at BLDE(DU) funded by agencies such as the Department of Science and Technology (DST), Department of Biotechnology (DBT), Department of AYUSH (Ayurveda, Yoga, Unani, Siddha and Homeopathy), University Grants Commission (UGC), All India Council for Technical Education (AICTE), Defence Research and

Development Organization (DRDO), Department of Atomic Energy (DAE) provided he/she possesses the required educational qualifications.

ix. **Admission of International students in Ph.D. programme:**

- a) Each supervisor can guide up to two international research scholars on a supernumerary basis over and above the permitted number of Ph.D. scholars as specified by UGC.
- b) The BLDE(DU) decides its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.
- c) At any point, the total number of Ph.D. scholars under a faculty member, either as a supervisor or a co-supervisor, shall not exceed the number permitted by UGC regulations 2022.

2) **Time-Table for Entrance test:**

The BLDE(DU), along with the Admission Notification shall notify the 'Calendar of Events' consisting of the Date, Time, and Venue of the Entrance test.

3) **Issue of Hall Tickets to eligible candidates' e-mode. Format of entrance test – Question Bank, duration, exam result:**

The varsity shall provide a link on its website for downloading Hall Tickets and question bank along with the details such as Total Marks, No. of question papers, Division of marks allotted and duration well in advance.

4) **Setting up of a Board of Examiners to set up question papers, the conduct of examination, evaluation and Announcing Results-provisional list of eligible candidates-selection of candidates:**

- i. The CoE shall constitute a Board of Examiners in consultation with the Doctoral Research Committee to set up question papers for the entrance examination
- ii. The Entrance Test syllabus consists of 50% of research methodology, and 50% on subject-specific.
- iii. The question paper shall be based on the syllabi of the PG Programmes of the respective subjects. They shall contain objective type and/ or short answer type questions.
- iv. The answer scripts shall be evaluated internally by the faculty members of the BLDE(DU)
- v. Students who have secured 50% marks in the entrance test are eligible to be called for the interview.
- vi. The interview/*viva voce* shall consider the following aspects, viz. whether, the candidate possesses the competence for the proposed research; the research work can be suitably undertaken at the Institution/College and the proposed area of research can contribute to new/additional knowledge.
- vii. A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently-abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
- viii. BLDE(DU) will decide the number of eligible students to be called for an interview based on the number of Ph.D. seats available.
- ix. The CoE based on the marks scored by the candidates in the entrance examination and the interview/*viva-voce* shall announce the results of the entrance examination
- x. Provided that for the selection of candidates based on the entrance test conducted by the University, a weightage of 70% for the entrance test and 30% for the performance in the interview/*viva- voce* shall be given.

- xi. The Doctoral Research Committee based on the merit list prepared as per the results of the entrance test declared by the CoE, shall select the candidates for the provisional Registration and allot the Research Supervisors.

**5) Recognition of eligible Teachers as Ph.D. Guide/ Supervisor and/ or Co-Guide, specifying the faculty & subject:**

Eligibility criteria to be a Research Supervisor, Co-Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.

- i. Permanent faculty members working as **Professor/Associate Professor** in BLDE(DU) with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent faculty members working as **Assistant Professors** in BLDE(DU) with a Ph.D., and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the university [UGC Regulation 2022 clause 6(1)].
- ii. Such recognized research supervisors cannot supervise research scholars in other institutions, where they can only act as Co-Supervisors. Ph.D. awarded by a university under the supervision of a faculty member who is not an employee of the BLDE(DU) or its Constituent Colleges/ institutes would be in violation of these Regulations.
- iii. An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8)/ six (6)/ four (4) Ph.D. scholars, respectively, at any given time. For each academic rank of supervisor additional two (+2) may be allotted in case of International PhD scholar and not more than 2 candidates are allotted at a time. The same is applicable for Co-Supervisors.
- iv. For teachers working under the Faculty of Medicine, who have PG degree but without Ph.D. Qualification:  
  
The qualification required are as follows;
  - a) Post Graduate degree in the concerned specialty.
  - b) Qualification of MD or MS or DNB in relevant subjects of Medical faculty is also eligible.
  - c) Total teaching experience of 15 years.
  - d) Total PG teaching Experience of 10 years in the concerned subject.
  - e) Published 5 original publications in peer-reviewed journals.

**6) Annual Status Report on the available vacancies, faculty-wise and subject-wise:**

- i. The BDE(DU) shall notify a prospectus well in advance on the institution's website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates.
- ii. The BLDE(DU) shall maintain a list of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. scholars (Specifying the name of the registered Ph.D. scholar, the topic of his/her research and the date of admission) admitted under them on the website of the institution and update the list every academic year.

**7) Allotment of Guides by the committee to selected candidates based on the student's interest, topic, expertise of the guide, Requisition & allotment of Co-guides:**

- i. The allotment of the Supervisor shall not be left to the individual student or teacher. University will decide the allotment as per the available vacancy under an eligible research Supervisor of BLDE (Deemed to be University) Vide UGC (Minimum Standards and Procedure for the Award of Ph.D.) Regulations, 2022.
- ii. The allocation of Research Supervisor/ Co-Supervisor for guiding research scholar shall be decided by the Department/ School/ Faculty concerned depending on the number of scholars per Research Supervisor, the available specialization among the Research Supervisors, and the research interests of the scholars as indicated by them at the time of interview/viva voce.



- iii. Only a full-time regular teacher of the BLDE(DU) or its constituent Colleges can act as a Supervisor. External Research Supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of BLDE(DU) or from other related institutions with the approval of the Doctoral Research Advisory Committee.
- iv. Co-Supervisors from other departments of the BLDE(DU)/ its constituent Colleges or other institutions may be permitted with the approval of the competent authority.
- v. Adjunct Faculty members shall not act as Research Supervisors and can only act as Co-Supervisors.
- vi. In case of topics, which are of inter-disciplinary nature and where the concerned Department feels that the expertise in the Department has to be supplemented from outside, the Department/School/Faculty may appoint a Research Supervisor from the Department in which registration is sought, and a Co-Supervisor from a cognate subject from outside the Department/Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges. There shall be no two (02) joint supervisors from the same department.
- vii. In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the BLDE(DU)/ its constituent Colleges or supervisor from any funding agency. The scholar will however give due credit to the BLDE(DU) guide and the institution for the part of research already done.
- viii. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- ix. **Withdrawal of Recognition of Guideship:** If a Supervisor is found to involve in plagiarism, moral turpitude with fraudulent academic accomplishments and other activities prejudicial to the reputation of the BLDE(DU), his/her recognition of Guideship will be summarily withdrawn without assigning any reason thereof.

**8) Recommendation of Application Fee, Tuition Fee, Exam Fee, Other Fee:**

- i. The fee for the application, tuition, examination and others shall be as fixed by the Fee fixation committee from time to time.

**9) Duties & responsibilities of Research Supervisor (understanding-duly signed in):**

- i. The Research Supervisor should give the consent and no objection certificate obtained from the Dean/ Principal for officiating as a Supervisor for the candidate to be registered.
- ii. The Research Supervisor shall serve as a Supervisor/Convener/ Coordinator of candidate's Research Advisory Committee to interact with the University.
- iii. The Research Supervisor shall cooperate with the University, and Doctoral Research Committee to conduct the Part-I, Methodology pre-PhD Examination.
- iv. The Research Supervisor shall sign all the papers, declarations, certifications, authentications, log books and other related documents pertaining to the registration, submission of synopsis and thesis and all the certificates related to research publication ethics of the registered candidate.
- v. The Research Supervisor shall interact with the Co-Supervisor, who may be entrusted to continue the research work of the candidate when he/she is not in a position to do the same under the following situations:

- a) When the Research Supervisor has completed 70 years and till a new recognized Research Supervisor takes over.
  - b) When the Research Supervisor is on a long leave (three months and above).
  - c) When the Research Supervisor is sick or on long medical leave.
  - d) Other unforeseen circumstances.
- vi. Till a new Research Supervisor is selected and appointed with the approval of the university the Co supervisor shall supervise the research work till the selection/appointment of new Research Supervisor.
  - vii. The Research Supervisor shall also be the convener/Chairperson and a member of the panel to conduct the Public Viva Examination, Open House presentation etc.
  - viii. The Research Supervisor shall supervise and interact with the University for the candidates who have already submitted the Thesis till a final decision is arrived.
  - ix. In case of detection of any discrepancy, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions that may lead to the cancellation and debarring of the Research Supervisor ship at any stage.

#### **10) Duties & responsibilities of Research Scholar:**

- i. A Candidate shall register himself/herself in this University by submitting the duly filled application, obtained from the university along with other details for provisional registration by remitting the prescribed fees for the same. The application form may also be downloaded from the University website: [www.bldeu.ac.in](http://www.bldeu.ac.in)
- ii. A candidate applying for provisional registration shall furnish all the information as required in the provisional registration application form.
- iii. The completely filled-in application form should be submitted together with the prescribed fees as mentioned in Regulation 34, before the last date of each provisional registration session (vide Regulation 4).
- iv. The candidate shall also include SYNOPSIS-I/PLAN OF WORK in the prescribed format of BLDE (Deemed to be University).
- v. The write-up should include brief Introduction and Review of the Literature, Need of the Study, Aims, Objectives, Methodology and Expected Contribution of the Research to the Scientific Knowledge and Academic growth and Development. BLDE (Deemed to be University) Form.
- vi. The Synopsis I /Plan of Work 'Form' should be signed by the candidate and approved and signed by the Supervisor/Co-Supervisor. The Form should also be signed by Head of the Department, Dean of the faculty and to be forwarded to Registrar, BLDE (Deemed to be University) through the proper channel. Candidates shall submit "Plan of Work- Thesis Proposal" within stipulated date and time. Otherwise the admission stands cancelled.
- vii. The candidate shall furnish the following information with documentary evidence:
  - a) His / Her academic qualifications.
  - b) Details of previous research experience.
  - c) Full particulars of publications in indexed /accredited journals, if any.
  - d) The broad field or the interdisciplinary field in which the candidate intends to do research work.
  - e) The subject of research, whether wholly / partly related to the main branch of knowledge chosen for the post-graduation in which the candidate has qualified.
  - f) Name of the Research Supervisor
  - g) Name of the co-Supervisor, if any.
  - h) Ethical Committee Clearance certificates.

- viii. Each semester, a Ph.D. scholar shall appear before the Doctoral Research Advisory Committee to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The Doctoral Research Advisory Committee shall submit its recommendations.
- ix. After provisional registration, every candidate shall submit the half-yearly progress reports regularly in three sets in the prescribed format through the proper channel i.e. from the Supervisor/ Doctoral Research Advisory Committee to the respective Faculty/ Dean and finally Registrar of the University. The half-yearly progress report will be assessed by the Doctoral Research Committee in consultation with the experts (wherever necessary) and their comments/ observations will be communicated to the concerned candidate and Supervisor. Every such half-yearly report shall be submitted on April and October in a year.
- x. All Ph.D. candidates while submitting their current progress report, should submit the summary of each of his/her previous half-yearly progress reports in order and suggestions made by the previous reviewers/subject experts. All Ph.D. candidates should submit their half-yearly progress reports in the prescribed format issued by the University.
- xi. If three consecutive half-yearly progress reports are not satisfactory, the Doctoral Research Committee may recommend to the University for Cancellation of the registration.
- xii. Ph.D. Scholar has to present a power point presentation seminar to the Doctoral Research Committee, faculty members, postgraduates & research scholars of the University before each semester submission of his/her half-yearly progress report. The comments and suggestions of the Doctoral Research Committee to be incorporated in their respective half-yearly progress report. (Vide UGC Regulation 2022 Clause 10(2).
- xiii. Upon satisfactory completion of course work and obtaining the marks/grade the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- xiv. Before submitting the thesis, the Ph.D. scholar shall make a presentation (2nd OPEN HOUSE) before the Doctoral Research Committee and Doctoral Research Advisory Committee, which shall also be open to all faculty members and other research scholars/students.
- xv. A Ph.D. scholar shall submit the thesis for evaluation, along with
  - a) An undertaking from the Ph.D. scholar that there is no plagiarism and
  - b) A certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution. [Vide. UGC Regulation 2022 Clause 11(3)]

**11) Coordination with Ethics Committee / Scientific & Technical Committee for their clearance:**

- i. Ethical Committee Clearance; Institutional Ethical Committee (IEC) clearance for experiments on human subjects will be as per ICMR, Govt. of India guidelines (2017) and Institutional Animal Ethical Committee (IAEC) (2018) for animals will be as per the CPCSEA Supervisor lines, Govt. of India.

**12) Title of Thesis, Change of Title/ Change of Guide based on retirement/ superannuation/ demise:**

- i. Change of Thesis Title; A Ph.D. candidate can change the title of his/ her proposed thesis or field of research work on prior permission from the Ph.D Committee. Changes will not be allowed six months before submission of last half-yearly progress report. The permission for such a change of title will be recommended by the Ph.D. Doctoral Research Committee to the Honorable Vice-Chancellor, BLDE (Deemed to be University) for his/ her decision. In such cases, candidates need not require to appear for pre-Ph.D. Exam if he/she has cleared it earlier.
- ii. Change of Research Supervisor; the change of Supervisor is admissible maximum six months before the completion of the candidate's research tenure. The change of Research Supervisor may be executed in exceptional cases such as:

- a) Transfer and discontinuation of service to other institutions which is not under the adjudication of BLDE (Deemed to be University).
- b) Superannuation from his/ her service as per norms of the University.
- c) Demise of the Research Supervisor.
- d) University may also take a unilateral decision in the change of Research Supervisor if he has left the institute and is incommunicado and not accessible by any means of communication.
- e) The Honorable Vice Chancellor may consider the change of Research Supervisor in case of any emergency/unforeseen conditions.

**13) Provisional Registration Certificate indicating the name of the Research Scholar, Title, Guide, Date of Registration, Full-time or Part-time:**

**i. Application for Provisional Registration;**

- a) A candidate applying for provisional registration shall furnish all the information as required in the provisional registration application form.
- b) The completely filled-in application form should be submitted together with the prescribed fees as mentioned in the admission notification before the last date of each provisional registration session.
- c) The candidate shall also include SYNOPSIS-I/PLAN OF WORK in the prescribed format of BLDE (Deemed to be University).
- d) The write-up should include a brief Introduction and Review of the Literature, Need of the Study, Aims, Objectives, Methodology, and Expected Contribution of the Research to Scientific Knowledge and Academic Growth and Development.
- e) The Synopsis I/ Plan of Work 'Form' should be signed by the candidate and approved and signed by the Supervisor/Co-Supervisor. The Form should also be signed by Head of the Department, Dean of the Faculty and to be forwarded to Registrar, BLDE (Deemed to be University) through the proper channel. Candidates who fail to submit "Plan of Work- Thesis Proposal" within six (06) months from the date of their admission, their admission stands cancelled.
- f) The candidate shall furnish the following information with documentary evidence:
  - a) His / Her academic qualifications.
  - b) Details of previous research experience.
  - c) Full particulars of publications in indexed/ accredited journals, if any.
  - d) The broad field or the interdisciplinary field in which the candidate intends to do research work.
  - e) The subject of research, whether wholly/ partly related to the main branch of knowledge chosen for the post-graduation in which the candidate has qualified.
  - f) Name of the Research Supervisor
  - g) Name of the co-Supervisor, if any.
  - h) Ethical Committee Clearance certificates.
- ii. Each Research Supervisor will send a list of 3-6 names of peer reviewers to scrutinize the Synopsis I/Plan of Work of the Ph.D. Scholar to the University. These Peer Reviewers will be at least P.G./Ph.D. Supervisor and expert in the area of research/subject of the proposal of Synopsis I/Plan of Work. They must be a minimum of Associate Professor designated in any University/Institution or Scientist-E of any Govt. Research Institution. The list of peer reviewers should be approved by the Doctoral Research Committee of BLDE (Deemed to be University).
- iii. Provisional registration will be completed after the synopsis-I/ Plan of Work is scrutinized by the External Peer Reviewers, Statistician, IEC/IAEC and successful completion of Pre-Ph.D. examination.
- iv. The candidate registered for the Ph.D., Degree as full-time research shall undertake research work

for a minimum period of **three years** from the date of provisional registration and maximum of six years including course work. The candidate shall attend the department for research on all working days, except for periods when he/she is allowed to visit other Institution/ Laboratories for activities connected with his/her research for a period permitted by the concerned Supervisor.

- v. Ph.D. programmes through part-time mode is permitted, provided all the conditions stipulated in these Regulations are fulfilled.
- vi. BLDE (DU) shall obtain a “No Objection Certificate” through the candidate for a part-time Ph.D. programme from the appropriate authority in the organization where the candidate is employed, clearly stating that:
  - a) The candidate is permitted to pursue studies on a part-time basis.
  - b) His/her official duties permit him/her to devote sufficient time for research.
  - c) If required, he/she will be relieved from the duty to complete the course work.
- vii. BLDE (Deemed to be University) does not permit any PhD program under distance mode (Vide UGC regulation 2022 clause 13).

**14) Recommendation of the Research Scholarship/ incentive seed money/ facility/ freeship to meritorious students with innovative ideas/ topics/ incentives for publications:**

- i. Based on the recommendations of the competent authority/ Committee, the University may consider extending financial assistance to the deserving Ph.D. Research Scholar as Junior Research Fellowship and Senior Research Fellowship.
- ii. Scholarship may be granted to the meritorious Full-Time Ph.D. scholars for a period of thirty months subject to clearance of Pre-Ph.D. Course Examination in the first attempt.
- iii. Candidates receiving financial support from any other agency will not be eligible for the scholarship and contingency.

**15) Regulation of Annual Tuition Fee & other Fee collection:**

- i. The scholar has to pay appropriate fee as and when notified by the BLDE(DU).

**16) Arranging for Conducting of Course work (Pre Ph.D. Exam):**

- i. The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a “Research and Publication Ethics” course as notified by UGC vide D.O. No. F.1 1/2018(Journal/CARE) in 2019 and a research methodology course.
- ii. The Doctoral Research Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. programme.
- iii. All Ph.D. scholars, irrespective of discipline, shall be required to train in teaching /education/pedagogy during their doctoral period. Ph.D. scholars may also be assigned 4-6 hours per week of teaching/research assistantship for conducting tutorial or laboratory work and evaluations.
- iv. A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the programme and submit his or her thesis.

**17) Conducting of Pre PhD Examination on Course Work by BOE subject-wise/ faculty-wise. Supplementary examination for Pre PhD examination:**

- i. The University shall conduct the Pre-Ph.D. Examination for the provisionally registered Ph.D., candidates.
- ii. Pre-Ph.D. Examination will be conducted at the end of the Research/Course Work and within one year from the date of Provisional Registration.
- iii. The Examination shall consist of:
  - a) Three written papers and Viva Voce exam will be conducted as per the direction of the

Controller of Examination.

- b) The written paper shall cover the following:

PAPER - I: Principles of Research Methodology

- General Research Methodology.
- Biostatistical Methods in Medical Research.
- Ethical aspects of Medical Research.
- Animal Experimentation (where applicable).
- Instrumentation (where applicable).

PAPER - II: Selected Field / Subject of Research

- History.
- Literature Review.
- Recent Advances.
- Experimental Procedures
- Others.

PAPER – III: Research and Publication Ethics (RPE)

- Philosophy of Ethics
- Scientific conduct
- Publication Ethics
- Publication misconduct
- Open Access Publishing
- Bibliometric

(Vide UGC letter D.O.No.F.1-1/2018 (JOURNA/UGC-CARE December 2019))

- iv. In the Viva-Voce, examination, the Board of Examiner constituted by the Controller of Examination shall assess the candidate's knowledge in relation to the work done by him/ her up to the time of methodology examination and respective subject skills and also assess the aptitude and competence of the candidate to continue the research work.

- v. Syllabus:

- a) Syllabus for PAPER-I shall be prescribed by the subject experts selected by the university and it will be approved accepted by the Doctoral Research Committee.

PAPER-II shall be prescribed by the Supervisor for each candidate and should also be approved by the Doctoral Research Committee in consultation of subject experts. The syllabus prescribed by the Supervisor and Subject experts for the two theory papers of Pre Ph.D. examination, shall be notified and will be communicated to the Controller of Examination.

- b) There shall be double valuation of the answer scripts of Paper-I, Paper-II and Paper-III. In case of Paper-II apart from the same examiner who have set the question paper (i.e. Supervisor) one more recognized Ph.D. Supervisor of the same subjects or an expert in the area of research or an internal Co-Supervisor of the candidate (if available) should also evaluate the paper. The marks lists shall be sent/ submitted by the examiners directly to the Controller of Examinations of BLDE (Deemed to be University).

**18) Pre Ph.D. course work certification:**

- i. For Successful Completion of Pre Ph.D. Examination; the candidate has to secure a minimum of 55% of the marks, both in the theory paper separately in each paper and in viva-voce, to be declared successful in the Pre-Ph.D. Examination.
- ii. A detailed report on the performance of the Pre-Ph.D. examination shall be submitted by the Controller of Examination to the University for Further Action.
- iii. In case of a difference of marks between two examiners take place, University will follow its own

Director of Central Assessment Process lines as it follows in the case of PG examination.

- iv. CoE will issue a marks sheet regarding the success of the Pre-Ph.D. Examination of the candidate.
- v. Unsuccessful Pre-Ph.D. Examination; The candidate will get a maximum of five attempt as chances to clear Pre-Ph.D. Examination.
- vi. Provisional registration of the candidates will be confirmed only after they pass the Pre Ph.D examination.
- vii. Candidate shall not start his/her research work until clears Pre-Ph.D. examination.
- viii. She/he will not be eligible for submission of second half-yearly progress report until passing the course work examination.

**19) Confirmation of the PhD registration or cancellation of Ph.D. registration:**

**Confirmation of Provisional Registration**

- i. The provisional registration of a candidate shall be confirmed on the receipt of the successful report of the Pre-Ph.D. course-work examination.
- ii. The Pre-Ph.D. course-work Examination report shall be approved by the Vice Chancellor of this University.
- iii. Intimation regarding confirmation of provisional registration shall be communicated to the candidate through the Supervisor with a copy to the co-Supervisor, if any, with instruction to the candidate to remit the fees for confirmation of the Provisional Registration.

**Cancellation of Registration:**

- iv. Lack of progress as reported by the Supervisor and also after giving the due opportunity to the candidate for defending his/ her case.
- v. Candidates own request duly endorsed by the Doctoral Research Committee.
- vi. If He/She does not submit the thesis within **six years** from the date of Registration. However, a candidate may request to extend his/her submission for not more than six months from the deadline. Application for extension of time with adequate justification may be forwarded by the Supervisor within the prescribed period of submission of the Thesis. The same is also applicable in case of failure to submit a Plan of Work (Synopsis 1). If any candidate fails for submission of Final Thesis or Plan of Work (Synopsis 1) within the grace period (06 months maximum) the candidate must be discharged immediately from pursuing Ph.D. Course.

**20) Review of Research work of PhD students on a Periodical basis. Maintenance of log book of work done:**

- i. Provisionally registered candidates shall do original research work under the direct supervision/ guidance of the Supervisor and Co-Supervisor, if any.
- ii. The candidate will also utilize the services of the members of the Ph.D. Research Advisory Committee for the betterment of the research work.
- iii. The Research Advisory Committee, University Doctoral Research Committee shall conduct seminars before submission of “Half-Yearly Progress Report” of all the candidates at a time to monitor and assess the quality of research work done by the candidate.
- iv. The candidate provisionally registered shall be required to work under the recognized Supervisor.
- v. Each candidate shall undergo courses of instructions as may be prescribed by the Supervisor / Doctoral Research Advisory Committee.

- vi. The university may conduct training classes, depending on facilities available, for the provisionally registered candidates covering the prescribed courses and areas of the research study.

#### **Log Book**

- vii. Every registered candidate shall maintain a logbook.
- viii. The log book has to be supervised and signed by the Supervisor/Co-Supervisor (if any).
- ix. The log book should be available at the time of Ph.D. Examination and at all other times as deemed by the Research Supervisor/Departmental Doctoral Research Advisory Committee.
- x. The log book shall be maintained till the completion of the research work.

### **21) Review of submission of Half-Yearly Progress Report by the Committee and its acceptance/ non-acceptance/ modification, if any. Submission of synopsis- Format, Number of copies & Presentation of Synopsis before the committee for approval and permission to submit Thesis for adjudication, Timeline for submission of Thesis:**

#### **Submission of Half-Yearly Progress Reports**

- i. After Provisional Registration, every candidate shall submit the half-yearly progress reports regularly in three sets in the prescribed format through the proper channel i.e. from the Supervisor/ Doctoral Research Advisory Committee to the respective, faculty, dean and finally Registrar of the University. The half-yearly progress report will be assessed by the Doctoral Research Committee in consultation with the experts (wherever necessary) and their comments/ observations will be communicated to the concerned candidate and Supervisor. Every such half-yearly report shall be submitted on January and July in a year.
- ii. All Ph.D. candidates while submitting their current progress report, should submit the summary of each of his/her previous half-yearly progress reports in order and suggestions made by the previous reviewers/subject experts in the prescribed format issued by the University.
- iii. If three consecutive half-yearly progress reports are not satisfactory, the Doctoral Research Committee may recommend to the University for Cancellation of the Registration.
- iv. Ph.D. Scholar has to present a power point presentation seminar to the Doctoral Research Committee, faculty members, postgraduates & research scholars of the University before each semester submission of his/her half-yearly progress report. The comments and suggestions of the Doctoral Research Committee to be incorporated in their respective half-yearly progress report. [Vide UGC Regulation 2022 Clause 10(2)]

#### **Submission of Synopsis**

- v. Upon satisfactory completion of course work and obtaining the marks/grade the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis.
- vi. Before submitting the thesis, the Ph.D. scholar shall make a presentation (2nd Open House) before the Doctoral Research Committee and Doctoral Research Advisory Committee, which shall also be open to all faculty members and other research scholars/students.
- vii. Not less than 3 (three) months before the submission of the thesis, every candidate shall submit to the Institute, through the supervisor and HOD two copies of the synopsis of the thesis, together with the title of the thesis and prescribed examination fee. The candidate shall prepare the synopsis carefully in consultation with the supervisor. The synopsis should bring out in a bridged form, the aims for conducting research; work done, results, and conclusions drawn.
- viii. The title of the thesis mentioned in the final synopsis or Final Synopsis II is the final title and the same title has to be maintained in the thesis.



- ix. Two copies (02) of the final synopsis have to be submitted with the prescribed fees in prescribed format (available on the website) duly filled and signed by the candidate, the Supervisor, Co-Supervisor, Head of the Department and Head of the Institution. One copy (01) of electronic version of the Final Synopsis (CD) should also be submitted along with printed versions.
- x. The Final Synopsis II of the Thesis shall not be less than six pages or exceed ten pages, typed on A4 size paper with one and a half line spacing (Format is available on website & in academic section).
- xi. The list of the Examiners Panel will be prepared by Supervisor with six numbers of experts from India and abroad. Prior to submitting to Controller of Examinations the proposed panel of adjudicators is to be first submitted to Doctoral Research Advisory Committee by Research Supervisor for its opinion. In case of need Doctoral Research Advisory Committee may suggest a few names to Supervisor for inclusion or may advise excluding the names from the panel. Once it is cleared by the Doctoral Research Advisory Committee, Supervisor may submit the panel of six adjudicators to COE with confidentiality.

The Ph.D. final Synopsis II and Thesis submitted by a Ph.D. scholar shall be evaluated by his/her Research Supervisor and at least two external examiners who are experts in the field and not in employment of the BLDE(DU). Such examiner(s) should be academics with a good record of scholarly publications in the field. Wherever possible, one of the external examiners should be chosen from outside India.

- xii. After the submission of the final synopsis and on the recommendation of the Honorable Vice-Chancellor, the Controller of Examination shall contact the adjudicators from the Panel of Adjudicators by electronic and/or regular mail to seek consent. One of the adjudicators must be the Supervisor of the candidate. [Vide UGC Regulation 2022 Clause 11(5)]
- xiii. The final synopsis should be submitted with the original certificates as required by the university regulations in the application.
- xiv. In case of requirement, the candidate shall submit additional copies of the synopsis on demand from the Institute.

## **22) Formatting of Thesis, submission of Thesis, Timeline for submission of Thesis:**

- i. The thesis to be submitted by the candidate should be formatted according to the University regulations.
- ii. Every thesis shall have 2 major components:
  - a) The certificate component
  - b) The Research work component
- iii. The certificate component shall include the following;
  - a) Certification and declaration by the candidate.
  - b) Certification of the research work by the Research Supervisor.
  - c) Certification of the research work by the Co-Supervisor, if any.
  - d) Certificate of research work by the H.O.D.
  - e) Certificate of the research work by the Head of the institution.
  - f) Certificate of plagiarism duly signed by the Research Supervisor, Co-Supervisor and Candidate.
- iv. While submitting the thesis every candidate shall submit with his/her application, a certificate from the Supervisor/ Co-Supervisor that the thesis submitted is a record of original research work done by the candidate during the period of study under the Supervisor and Co-Supervisor, if any and that the

thesis had not previously formed the basis for the award to the candidate of any degree, diploma, associateship, fellowship or other similar title together with the statement from the Supervisor/ Co-Supervisor indicating the extent to which the thesis represents independent work on the part of the candidate. If the thesis submitted has formed in part, the basis for the award of a previous research degree, the candidate shall clearly set forth in a preface or written statement the portion or portions which have formed the basis for the award of the previous degree.

- v. The research work component of the thesis represents the actual research work done by the candidate under the supervision of the Supervisor/ Co-Supervisor. It should have the following:
  - a) Introduction
  - b) Aims, and objectives and hypothesis.
  - c) Review of literature
  - d) Scope and plan of work (Study Design)
  - e) Materials and methods
  - f) Results
  - g) Discussion
  - h) Summary and conclusions
  - i) Recommendations, if any Limitations & Future Scope
  - j) Appendix
  - k) Bibliography (Vancouver Style)
- vi. The number of pages of the text matter in the thesis should not exceed 250 pages.
- vii. Annexure, Charts, Graphs, Bibliography and Attached publications are not to be numbered along with the text.

#### **Submission of Thesis**

- viii. A Ph.D. scholar shall submit the thesis for evaluation, along with;
  - (a) an undertaking from the Ph.D. scholar that there is no plagiarism and
  - (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution. [Vide UGC Regulation 2022 Clause 11(3)]
- ix. The Ph.D. Program culminates with the submission of a thesis of a substantial work of original research carried out by the candidate under the guidance of the Supervisor.
- x. The candidate is encouraged to have at least two original research papers published/ accepted for publication (evidence to be submitted along with the thesis) in peer-reviewed journals (Scopus/PubMed) before submission of the thesis. The reprint/ preprint or DOI shall be included in the appendix of the thesis. The published paper must be in the area of research of the Ph.D. scholar. The Supervisor or Ph.D. scholar must be the 1<sup>st</sup> author of the article. Ph.D. scholar must show his/her affiliation to BLDE (Deemed to be University) in the published paper.
- xi. The candidate shall submit five (05) copies; Two (2) hard copies of the thesis in a bound form and (03) three copies in the electronic form (read-only format) or in pen drive to the Examination section for evaluation by the examiners. Once the thesis gets approved the candidate shall incorporate all the corrections/ suggestions, if any, and resubmit two copies of the final version of the thesis in bound form and three copies in the electronic form (CD read-only format). The Supervisor and the Doctoral Research Committee shall certify that the corrections/ suggestions (if any) were incorporated.
- xii. Thesis has to be submitted within maximum of six months after the submission of Final Synopsis II;

the period that coincides with the expiry of period of the research prescribed.

- xiii. If the Candidate fails to submit the thesis within six months from the date of submission of Final Synopsis-II, one extension of three months may be given with approval from the Hon'ble Vice-Chancellor after which the process gets canceled. Then, s/he shall submit the synopsis again based on the recommendations of the Doctoral Research Committee. The Supervisor/ Co-Supervisor, with the approval of the Doctoral Research Committee shall submit a fresh list of examiners.
- xiv. One hard-bound copy and one Soft copy of the thesis shall be placed in the University Central Library (through COE), after the award of the Ph.D. degree.
- xv. Supplementary papers to the thesis, printed copies of any contributions to the knowledge of the subject or of any cognate branch of science that may have been published in journals or periodicals may also be included along with the thesis or given as bibliography.
- xvi. The thesis shall ordinarily be submitted not later than six years from the date of registration.
- xvii. Ph.D. scholar is encouraged for one oral and one poster presentation at a National/State/International conference of any recognized Society/Association Academy before submission of Synopsis-II and Thesis.

#### **Extension of Time for Submission of Synopsis / Thesis**

- xviii. Extension of time beyond the prescribed period shall be considered and granted for genuine reasons, if adequately substantiated.
- xix. Applications for an extension of time with adequate justifications should be signed and forwarded by the Supervisor and submitted to the University before the expiry of the period prescribed for the submission of the synopsis.
- xx. Extension of time shall be considered and granted for a period of 3 months to 5 months with penal fees.
- xxi. Further extension of time shall be considered for a period of 1 year with the approval of the Vice-Chancellor with the payment of penal fees as may be prescribed from time to time.
- xxii. Extension of time beyond 2 years is not permissible, except under special circumstances with the approval of the Vice-Chancellor.
- xxiii. The period of extension granted covers the period for the submission of the synopsis and the final thesis as per the regulations.
- xxiv. A maximum of an additional two (2) years beyond the total duration of 6 years can be given through a process of re-registration as per the Statute/ Rules of the BLDE(DU); provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
- xxv. Female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.
- xxvi. Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme. (UGC Ph.D. Regulations 2022 clause 4.2 & 4.3).

#### **23) Panel of examiners/ Adjudication of Thesis-Recommendation-Approval-submission to University: Ph.D. Adjudicators/ Examiners;**

- i. For the adjudication of Ph.D. thesis, a board of three examiners shall be appointed by the COE in

consultation with/ by the approval of the Vice-Chancellor.

- ii. The Supervisor of the candidate shall normally be the Chairman of the Board of Examiners, who shall also act as coordinator and internal examiner.
- iii. However, under extraordinary circumstances, the Academic Council/ Vice-Chancellor may appoint a Chairman other than a Supervisor from the panel of Board of Examiners as per the recommendation of CoE.
- iv. The two external members (one from outside of the state) of the Board of Examiners, have to be chosen by the University from a panel of examiners forwarded by the Supervisor and recommended by the Doctoral Research Committee, at least one (01) month prior to the expected date of submission of the thesis.
- v. The panel shall include examiners who on the basis of their published work are acknowledged as eminent scientists in the field of study undertaken by the candidate.
- vi. One of the external Examiners should be from outside of the State and the other may be from within the state.
- vii. It shall be up to the University to have one examiner from outside of the country wherever possible as per UGC 2022 Guidelines.
- viii. The Supervisor shall provide a certificate stating that all the examiners suggested are experts qualified to value the Thesis.
- ix. If the panel of six (06) names for Ph.D. examiners is found to be inadequate, the University shall call for an additional panel of six names to be suggested by Supervisor/ Co-Supervisor. Under special circumstances, if the additional panel is also found to be inadequate, the Vice-Chancellor shall have the privilege of appointing examiners in consultation with the experts of his/ her choice in the concerned field.
- x. Once Vice-Chancellor selected the names of two external examiners from the panel of examiners submitted by the Supervisor, the COE will first communicate with them for their acceptance to examine the synopsis-II and Ph.D. thesis. CoE shall send the final synopsis of the thesis and consent form by Email and or by Post to the examiners and ask them to go through it and comment on it while sending acceptance.

#### **24) Process of Thesis Adjudication/evaluation by the Office of Controller of Examinations:**

##### **Adjudication of the Thesis**

- i. The entire process of Ph.D. thesis evaluation including the declaration of the viva-voce result, must be completed within six months [As per UGC Guidelines 2022 clause 11(7)] from the date of submission of the final synopsis-II & thesis.
  - a) The COE of the University shall ascertain from the external examiners their willingness to act as an examiner for a particular thesis & a copy of the final synopsis shall be sent to the external examiners along with this communication.
  - b) The examiners (2 external and 1 internal i.e. Supervisor) after evaluation will report their comments in the “Ph.D., thesis reporting form”.
  - c) The Examiners have to submit their reports within 2 months from the date of receipt of the thesis.
  - d) The University shall send reminders to the examiners at the end of 45 days.
  - e) If no report or any communication is received by the University from an examiner even after 2

months, a copy of the thesis shall be sent to the fourth examiner selected by the Vice Chancellor from the panel submitted by the Supervisor at the time of submission of final synopsis.

- f) If the thesis is approved, each examiner shall submit questions in a sealed cover if any, which need to be asked at the time of viva-voce.

#### **Commended Thesis**

- ii. A total of three commended reports are absolutely essential.
- iii. The three commendations by the examiners may be in one instance or in subsequent instances, in case of resubmission of thesis.
- iv. A commended report by any examiner at any time will be considered at all times.
- v. Definite recommendations as to:
  - a) The research work of the candidate has advanced the existing knowledge in the subject and therefore the thesis can be accepted for the award of Ph.D. degree in the present form.
  - b) The thesis can be accepted for the award of Ph.D. degree after minor corrections/revisions as suggested. (Points on which revision is required as to be stated by the examiner/s).
  - c) The thesis should be revised and resubmitted for evaluation. (Revision required should be stated clearly by the examiner).
  - d) The research work has failed to achieve the desired standard and hence the thesis is rejected. (Precise reason for such rejection must be stated clearly by the examiner).

#### **25) Evaluation process of Thesis by Referees:**

- i. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and two external examiners.
- ii. The Thesis is adjudicated/ evaluated using an online Ph.D. thesis evaluation process & tracking system.
- iii. The adjudicating examiner shall include in his/her report an overall assessment placing the Ph.D. thesis in the prescribed format of assessment including;
  - a) A critical analysis of the work of the scholar as exemplified in the thesis and an evaluation of the work and its standard/status in terms of its contribution to the advancement of knowledge.
  - b) Details of any question/s to be asked to the scholar or points to be clarified by the candidate at the open viva-voce examination.
  - c) A definite recommendation as to whether the Ph.D. Degree should be awarded or not.
- iv. The Examiners who evaluate the thesis shall report on the merit of the candidate for the Ph.D. degree as follows:
  - a) The thesis to be accepted for the award of Ph.D. degree in the present form.
  - b) The thesis to be accepted for the award of Ph.D. degree after minor corrections/revisions.
  - c) The thesis can be passed after obtaining clarifications at the time of viva-voce.
  - d) The thesis be revised and resubmitted for evaluation.
  - e) The thesis is rejected for the valid reasons specified in the report and not recommended for award of Ph.D. degree.
- f) If any examiner recommends revision and re-submission of the Ph.D. and desires to evaluate it again, the scholar shall be permitted to revise and resubmit the thesis within six (06) months,

which shall be referred to the same examiner, for offering his/her final recommendation on it. In such a case, the examiner should offer his/her specific comments.

- g) In case the candidate fails to submit the revised form of the thesis within six (06) months, the process of thesis evaluation gets canceled. Then, the candidate shall submit the revised synopsis again to start the process of thesis evaluation by paying the prescribed fee.
- h) If one external examiner recommends the award of the Ph.D. Degree while the other external examiner recommends rejection, then the thesis shall be referred to a third examiner to be nominated by the Vice-Chancellor. The majority assessment of the three shall decide the status of the thesis.

**v. Acceptance for Award of Ph.D. Degree;**

- a) On receipt of the reports from the examiners, if both the examiners recommend the award of the Ph.D. Degree, the thesis shall be provisionally accepted. Any minor revision, modification, etc., suggested by the examiners shall be carried out before the Public *Viva-Voce* examination.

**vi. Non-acceptance for Award of Ph.D. Degree;**

- a) If both the external examiners do not recommend acceptance of the thesis, with specific valid comments, the Ph.D. thesis shall be rejected and the scholar shall be declared ineligible for award of the degree, and the registration of the candidate be canceled.

**vii. Re-submission of Thesis with suggested modifications;**

- a) The candidate can resubmit the thesis for the second time considering the suggestions of the examiners/additional research work within six (06) months to a maximum of one year.
- b) The resubmission of the thesis with the prescribed fee to the Controller of Examinations office and the Supervisor should submit a fresh panel of examiners.

**26) Open Defence/ Public *Viva-Voce* Examination:**

- i. A candidate whose thesis is commended by three examiners shall submit himself/ herself for a Public Viva Voce Examination.
- ii. The Public *Viva-Voce* examination shall be conducted by a panel of examiners consisting of the Supervisor who shall be the convener and one external examiner who has already evaluated the thesis or if not available then, a fresh panel submitted by the Supervisor for conducting *Viva-Voce* only. If for any reason the Supervisor is unable to conduct the public *Viva-Voce* examination, CoE will appoint the senior member of the Doctoral Research Advisory Committee as the examiner.
- iii. At least one of the external examiners shall be present at the time of the *Viva-Voce* examination. Normally the external examiners from India who assessed the thesis of the candidate will act as the external examiner at the time of the *Viva-Voce* examination.
- iv. The Public *Viva-Voce* Examination is open to all faculty/non-faculty members in the field of research work done by the candidate. The Public Viva Voce Examination will be conducted in the institution, where the candidate conducted the research work.
- v. All clarifications/questions/suggestions made by the examiners who have evaluated the thesis shall be addressed by the panel of examiners to the candidate to answer and defend his/her thesis.
- vi. The Board of Examiners of the Public *Viva-Voce* Examination shall report their comments and sign the "Public *Viva-Voce* Examination Reporting Form" provided by CoE, BLDE (Deemed to be University).
- vii. Members of the Board of Examiners for the *Viva-Voce* examination shall report specifically on whether the candidate's performance in the examination is satisfactory and therefore be considered for the award of Ph.D. degree or not.

- viii. A successful report of the Public *Viva-Voce* examination is essential for the final approval of the thesis.

**Note:** The *Viva-Voce* examination is primarily designed to test the understanding by the candidate of the subject matter of the thesis including methodology employed and his/her competence in the general field of study. Since the points raised by the examiners of the thesis are to be clarified during the *Viva-Voce* examination, the report of the examiners who adjudicated the thesis shall be made available to the Board of Examiners for *Viva-Voce* examination. The candidate shall produce all the relevant documents, materials, preparations, etc., connected with the research project during *Viva-Voce* examination.

**27) Declaration of Final Result:**

- i. The Controller of Examinations shall place before the Vice-Chancellor the consolidated report received from the Board of Examiners along with the evaluation commended reports of the three examiners and the Public *Viva-Voce* Examination report for approval and declaration of the results.
- ii. The successful candidate shall be notified and intimated by the COE, BLDE (Deemed to be University) once Vice-Chancellor approves the report of Ph.D. Public *Viva-Voce* Examination.
- iii. Acceptance of the Thesis & Provisional Award of the Ph.D. Degree:  
A candidate who has successfully passed the Public *Viva-Voce* Examination and declared/notified to have qualified for the Ph.D., degree, shall be issued Ph.D. provisional/degree certificate duly mentioning the branch/field of research/ specialization (separately for Allied Health Sciences) and the Supervisor and Co-Supervisor, if any, and the institution(s) where the candidate has carried out his/ her research work.
- iv. Non-Acceptance of the Thesis:
  - a) A candidate who is not successful in the Public *Viva-Voce* Examination shall be permitted to reappear for a similar examination for a second time within a period of 3 months.
  - b) A candidate shall not ordinarily be permitted to take the Public *Viva-Voce* Examination, on more than two occasions.

**28) Issue of Notification and provisional Ph.D. Degree Passing Certificate. Ensuring the uploading of PhD Thesis on Shodhganga portal:**

- i. A candidate who has successfully passed the Public *Viva-Voce* Examination and declared/notified to have qualified for the Ph.D., degree, shall be issued Ph.D., provisional/degree certificate duly mentioning the branch/field of research/ specialization (separately for Allied Health Sciences) and the Supervisor and co-Supervisor, if any, and the institution(s) where the candidate has carried out his / her research work.
- ii. Following the completion of Ph.D., CoE office should send one hard-bound and electronic copy of the thesis to the University Library to the repository and also upload on to the Shodhganga

**29) Permission for the publication of the Thesis:**

**Publications of Thesis**

- i. The University encourages the student to publish any part of the thesis at any time during the course of his/her research work.
- ii. In case the research work is done in the departments of this University, prior permission must be obtained from the Vice-Chancellor of this University.
- iii. The copyright for the research shall be jointly owned by the Ph.D. scholar and the University.
- iv. The raw data may also be handed over to the University if the Ph.D. scholar is unable to publish it.
- v. The University reserves the right to publish all or part of the data, or a consolidated report from the thesis with due acknowledgements to the Ph.D. scholar and the Research Supervisor.

### 30) Bibliography-Anti plagiarism Policy Adherence & Penalties:

- i. While submitting for evaluation, the dissertation/ thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is less plagiarism and that work has not been submitted for the award of any other degree / diploma of the same Institution where the work was carried out, or to any other Institution.
- ii. **Penalties:** As Per UGC (Promotion of Academic Integrity & Prevention of Plagiarism in Higher Educational Institution Regulations, 23rd July, 2018, CL12.1); Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researchers, faculty & staff of the BLDE(DU) only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.
- iii. Penalties in case of plagiarism in the submission of thesis and dissertations: Institutional Academic Integrity Panel (IAIP) shall impose a penalty considering the severity of the Plagiarism.
  - a) Level 0: Similarities up to 10% - Minor Similarities, no penalty.
  - b) Level 1: Similarities above 10% to 40% - Such a student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.
  - c) Level 2: Similarities above 40% to 60% - Such student shall be debarred from submitting a revised script for a period of one year.
  - d) Level 3: Similarities above 60% -Such student registration for that programme shall be cancelled.

**Note 1:** Penalty on repeated plagiarism- Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

**Note 2:** Penalty in case where the degree/credit has already been obtained - If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IAIP and approved by the Head of the Institution.



**PH.D. PROGRAMME  
FORMATS**

## Application Form



**BLDE**

**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

<Name of the College/School>

Application Form for Registration for  
Ph.D. Programme in the Faculty of \_\_\_\_\_

Recent  
Photograph  
taken within 6  
months

1.	Name of the Applicant					
2.	Permanent Address in Full (Telephone Number and e-mail ID if any)					
3.	Address for Correspondence (Telephone Number and e-mail ID if any)					
4.	Category - SC, ST, OBC Others					
5.	Gender					
6.	Nationality					
7.	Date of Birth					
8.	Details about Post Graduate Degree (3 Years / M.D /M.Sc.)					
Sl. No.	Degree	Name of the College/University	Year of Passing	Marks		
				Maximum	Obtained	Percentage

9.	D.D. No., Date and Name of the Bank	
10.	Are you an employee of this Institute? If so furnish the details.	
11.	Whether all documents listed in annexure is enclosed or not.	

I hereby declare that all statements made in this application are true, complete and correct to the best of my knowledge and belief. I understand that in the event of any information being found false or incorrect my candidature for Ph.D. Programme is liable to be cancelled by the Institution.

Date:

Place:

Signature of the candidate

**Please Note:** List of enclosure to accompany the application form (Certified Photo Copies)

1. Recent passport size photographs (Three)
2. 10<sup>th</sup> Class Marks Card (Date of Birth proof document)
3. UG & PG Examination Marks Cards
4. UG & PG Degree Certificate
5. Passing Certificate
6. NOC from concerned Authorities/Employer's
7. Migration Certificate
8. Transfer Certificate
9. Caste Certificate
10. Demand Draft

## Provisional Registration Application Form:



### BLDE (Deemed to be University)

Declared as Deemed to be University u/s 3 of UGC Act, 1956



#### Ph. D. Provisional Registration Application Form

Ph.D. Programme In: .....

Academic Year: .....

Affix colour  
Photograph of  
Candidate

1. Name in Full (Block Letters): .....  
(As per 10th Marks card)
2. Contact No. (Mobile No.): ..... E- Mail ID: .....
3. Father's Name / Husband's / Name (In Block Letters): .....
4. Mother's Name (In Block Letters): .....
5. Date of Birth: ..... Age: .....
6. Nationality: ..... Religion: .....
7. Whether belonging to SC/ST/OBC/Others: .....  
(Certified copy of caste certificate should be enclosed)
8. Gender (Tick): Male ( ) Female ( )
9. Marital Status( Tick) : Married ( ) Unmarried ( )
10. Whether employed presently: .....  
(Give details of the employer) .....
11. Preferred mode of admission : Full time / Part-time
12. Permanent Address: .....
13. Correspondence Address: .....
14. Academic Qualification: (Starting from SSLC Onwards)

SL. NO.	Examination Passed	Name of Board/ Institution /University	Passing Year	Marks Obtained	% of Marks

15. Title of proposed Research Work: .....

#### 16. Declaration by the Candidate:

I declare that the information given above is true and complete to the best of my knowledge & belief. I am aware that if any of it is found to be incorrect my admission shall stand cancelled and I shall be liable to such disciplinary action as may be decided by the University. The decision of the University shall be final.

Place: .....

Name: .....

Date: .....

Signature of the student: .....

**A. Recognized Guides Consent Certificate**

I, ..... working as .....  
in the Department of ..... At .....  
.....  
and a recognized guide of BLDE (DU), hereby certify that I shall abide by the rules and regulations of the University and give my consent to officiate and carry out all the duties of a guide for .....  
..... a candidate who is applying for Provisional Registration for the Ph.D. Programme.

Date: .....

Name: .....

Place: .....

Seal & Signature: .....

**B. No objection certificate from the Head of the Department**

Consent is hereby given to ..... to carry out Research Work in the Department of ..... It is a recognized department for Ph.D., Research work in BLDE (DU). The Department agrees to offer all necessary facilities for carrying out the Research Work for the above mentioned candidate. There is no objection for the above mentioned candidate to do the research work in this department.

Date: .....

Name: .....

Place: .....

Seal & Signature: .....

**C. No objection certificate from the Head of the Institution**

Consent is hereby given to .....  
.....  
to carry out Research Work in this Institution which is a constituent College of BLDE (Deemed to be University).  
Name of the Institution: .....

There is no objection for the above mentioned candidate to do the research work in the selected department of this institution.

Date: .....

Name: .....

Place: .....

Seal & Signature: .....

**Enclosure check list (Tick, whichever is applicable)**

- |   |                          |
|---|--------------------------|
| 1. Date of Birth Certificate  | <input type="checkbox"/> |
| 2. Two passport size photographs  | <input type="checkbox"/> |
| 3. Attested certificate and Mark sheet of class SSLC, PUC, Graduation and Post Graduation etc., | <input type="checkbox"/> |
| 4. No Objection Certificate (NOC)   | <input type="checkbox"/> |
| 5. Migration and Transfer Certificate   | <input type="checkbox"/> |
| 6. Caste certificate (If applicable)  | <input type="checkbox"/> |

**FOR OFFICE USE ONLY**

The Applicant is registered to the ..... course during the Academic Year / Calendar Year ..... He / she has paid Registration / Tuition / College / Other Fees Rs. ....

D.D. Number/By Cash	Date	Amount	Bank & Branch
<div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>	<div><div></div><div></div><div></div><div></div><div></div><div></div></div>

Assistant

AR / DR

Registrar

**Preliminary Synopsis Format:**



**BLDE**  
(DEEMED TO BE UNIVERSITY)

**PROFORMA FOR REGISTRATION OF TOPIC FOR Ph.D. THESIS**  
(Preliminary Synopsis)

1.	Name of the Research Scholar and Address (in block letters)	
	Phone Number: E-mail ID:	
2.	Ph.D. in Subject / Specialization	
3.	a. Name of the Institution where the research is going to be carried b. University TAT Number: c. Category <span style="float: right;">Part Time / Full Time</span>	
4.	The subject in which the Research Scholar has qualified for Master's Degree	
5.	Title of the Research Topic ..... .....	
6.	Brief resume of the intended Research work. Introduction, Review of Literature, Need of the study, Objectives of the study, Research Methodology, Source of Data, Methods of collection of data, List of References (Bibliography), Questionnaire or proforma for collection of sample.	
7.	Name of Approved Guide (Supervisor): ..... Correspondence Address of Guide: ..... ..... City ..... State ..... Pin code: ..... Mobile Number: ..... Landline Number: ..... Email ID: .....	
	Signature of the Candidate	Signature of Guide (Supervisor)

**Synopsis Submission:**



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**

**Proforma for Submission of Synopsis for Doctor of Philosophy (Ph.D.)**

1.	NAME OF THE STUDENT	
2.	PROVISIONAL REGISTRATION NUMBER	
3.	ADDRESS, E-MAIL ID & CELL NO.	
4.	DEPARTMENT & YEAR	
5.	CATEGORY	
6.	TITLE OF THE RESEARCH TOPIC	
7.	DETAILS OF SYNOPSIS	
	7.1 INTRODUCTION	ANNEXURE-I
	7.2 REVIEW OF LITERATURE	ANNEXURE-II
	7.3 NEED OF THE STUDY	ANNEXURE-III
	7.4 OBJECTIVES OF THE STUDY	ANNEXURE-IV
	7.5 RESEARCH METHODOLOGY	ANNEXURE-V
	7.6 SOURCE OF DATA	ANNEXURE-VI
	7.7 METHODS OF COLLECTION OF DATA	ANNEXURE-VII

	7.8 LIST OF REFERENCES (Bibliography)	ANNEXURE-VIII
	7.9 PROFORMA FOR COLLECTION OF SAMPLE	ANNEXURE – IX
8.	DOES THE STUDY REQUIRE ANY INVESTIGATION OF INTERVENTIONS TO BE CONDUCTED ON PATIENTS OR OTHER HUMANS OR ANIMALS? IF SO, PLEASE DESCRIBE BRIEFLY	
9.	NAME AND DESIGNATION OF THE GUIDE          SIGNATURE OF THE GUIDE	
10.	NAME AND DESIGNATION OF THE HOD          SIGNATURE OF THE HOD	

Signature of Student



**Six Monthly Progress Report:**



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**  
**SIX MONTHLY PROGRESS REPORT**  
**(For Ph.D. Scholars)**

1.	Name of the Scholar	
2.	Title of Thesis	
3.	Department	
4.	Category (Full time/Part time)	
5.	Registration Number	
6.	Progress Report No.	
7.	Postal Address	
8.	E-mail Address	
9.	Telephone No.	
	Cell	
	Home	
	Office	
	Fax No.	
10.	Name of the Guide	
11.	Name of the Co-Guide	

**1. Description of the study**

*[Briefly state the purpose and objectives of your study.]*

**2. Progress of study (from \_\_\_\_\_ to \_\_\_\_\_)**

*[Say, in maximum two paragraphs, what you have achieved so far.]*

**3. Problems encountered**

*[This may involve difficulties gaining access to respondents or data sources, financial difficulties, illness, etc. If you think you may need an extension, note this here.]*

**4. Details of Research activities**

a) Research article prepared/ submitted for publication or published, if any. If yes, please provide details.

b) Paper Presented / Conference / Workshop / CME attended, if so, please provide the date, name of the conference, and location. Attach abstract of paper/ ppt /poster.

**5. Did you do any teaching in last 6 months, if yes, provide details (No. of classes, UG/PG/OTHERS, topics covered)**

UG/PG/Guest Lectures Specify	No. of Classes Conducted	Topics Covered

6. Did you get any Fund / Grant /Fellowship for your Research / fellowship etc, if so give the details

7. Goals for next Six Months:

8. Remarks of the Guide:

9. Remarks of the Co-guide:

10. Date of submission of the report:

This progress report has to be submitted through proper channel to the Registrar.

Signature of the Student

Signature of the Co-Guide

Signature of the Guide

Professor & HOD

Dean, R&D

Principal

**Final Synopsis Submission:**



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**

**Proforma for Submission of Final Synopsis for Doctor of Philosophy (PhD)**

1.	NAME OF THE STUDENT	
2.	PROVISIONAL REGISTRATION NUMBER & YEAR	
3.	ADDRESS, E-MAIL ID & CELL NO.	
4.	DEPARTMENT	
5.	CATEGORY	
6.	TITLE OF THE RESEARCH TOPIC	
7.	DATE OF SUBMISSION OF 6 <sup>TH</sup> HALF-YEARLY REPORT	
8.	DATE OF 2 <sup>ND</sup> OPEN HOUSE/PUBLIC SEMINAR	
9.	LIST OF PUBLICATIONS RELATED TO PhD THESIS	

10.	DETAILS OF FINAL SYNOPSIS	
	10.1 BACKGROUND (250 Words)	ANNEXURE-I
	10.2 OBJECTIVES (50-100 words)	ANNEXURE-II
	10.3 HYPOTHESIS (50-100 words)	ANNEXURE-III
	10.4 METHODS (500-1000 words)	ANNEXURE-IV
	10.5 RESULTS	ANNEXURE-V
	10.6 ANALYSIS/INTERPRETATION OF DATA (500-1000 words)	ANNEXURE-VI
	10.7 SUMMARY (250 words)	ANNEXURE-VII
	10.8 LIST OF REFERENCES	ANNEXURE-VIII
	(Not more than 25)	
11.	NAME AND DESIGNATION OF THE GUIDE	
	SIGNATURE OF THE GUIDE	
12.	NAME AND DESIGNATION OF CO-GUIDE	
	SIGNATURE OF THE CO-GUIDE	
13.	NAME OF HOD	
	SIGNATURE OF THE HOD	
14.	NAME OF THE HEAD OF INSTITUTION	
	SIGNATURE	
		SIGNATURE OF THE STUDENT

**Joint Report:**



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

**<Name of the College/ School>**

**Ph.D. Committee**

**Joint Report of (Ph.D.) Second Open House / Public Seminar**

Name of the Candidate	
Department	
Ph.D. Registration Number & Year	
Title of the Ph.D. Thesis	
Remarks of Ph.D. Guide with signature	
Remarks of HoD with signature	
Remarks of Chairman Ph.D. Committee with signature	
Final Remark	RECOMMENDED / NOT RECOMMENDED For Final Synopsis and Thesis submission

Signature  
Chairman, Ph.D. Committee

**Thesis Submission Format:**

**i. Cover/Title page of the Ph.D. Thesis**

**TITLE OF THE THESIS**

<Font Times New Roman><Font Size 18>< 1.0 Line spacing >



**A Dissertation Submitted for the Award of the Degree of  
Doctor of Philosophy (PhD)**

<Font Times New Roman><font size 16> <Bold>< 1.0 Line spacing >

**By**

**(Name of the Candidate)**

<Font Times New Roman><font size 16> <Bold><1.0 line spacing>

**(Register number of the Candidate)**

<Font Times New Roman><font size 14> <Bold><1.0 line spacing>

**(Name of the Department)**

<Font Times New Roman><font size 16> <Bold><1.0 line spacing>

**Under the guidance of**

<font size 14><Bold>

**(Name of the Guide)**

<Font Times New Roman><font size 16><Bold> <1.0 line spacing>

**BLDE**

<Font Times New Roman><font size 24> <Bold><1.0 line spacing>

**(DEEMED TO BE UNIVERSITY)**

<Font Times New Roman><font size 16> <Bold><1.0 line spacing>

**Smt. Bangaramma Sajjan Campus, B. M. Patil Road (Sholapur Road),  
Vijayapura - 586103, Karnataka, India.**

<Size 14><Bold><1.0 line spacing>

**(Month and Year)**

<Size 16><Bold>

**Printing on the spine of the thesis**

Ph.D. Thesis

Registration No.  
(Name of the  
Candidate)

(Title of the Thesis)

(Month-Year)



ii. Declaration by the Candidate



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

**<Name of the College/ School>**

**DECLARATION BY THE CANDIDATE**


I declare that the thesis entitled “\_\_\_\_\_”  
\_\_\_\_\_” submitted by me for the degree of  
Doctor of Philosophy (Ph.D) is the record of work carried out by me under the guidance  
of \_\_\_\_\_ and has not formed the basis for the award of any Degree, Diploma,  
Associateship, Fellowship, Titles in this University or any other University or other similar  
institution of Higher Learning.

**Signature of the Candidate**

**Date:**

**Place:**

**Certificate from the Guide and (Co-Guide, If any)**

	
<b>BLDE</b> <b>(DEEMED TO BE UNIVERSITY)</b> <small>Declared as Deemed to be University u/s 3 of UGC Act, 1956</small> <Name of the College/ School>	
<b><u>CERTIFICATE FROM THE GUIDE and (COGUIDE, IF ANY)</u></b>	
<p>I/We certify that the thesis entitled“ _____ _____”submitted for the degree of Doctor of Philosophy (Ph.D) by Dr./Mr./Ms./Mrs. _____ is the record of research work carried out by him/her under my/our guidance and supervision, and that this work has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or institution of Higher Learning.</p>	
<b>Signature of the Co-Guide</b> <b>With designation</b>	<b>Signature of the Guide</b> <b>with designation</b>
<b>Date:</b>	
<b>Place:</b>	

iii. **Certificate from the Head of the Institution and Department**



**BLDE**  
(DEEMED TO BE UNIVERSITY)

Declared as Deemed to be University u/s 3 of UGC Act, 1956

**<Name of the College/ School>**

**CERTIFICATE FROM THE HEAD OF THE INSTITUTION AND DEPARTMENT**

I certify that the thesis entitled “\_\_\_\_\_”  
submitted for the degree of Doctor of Philosophy (Ph.D) is the record of research work  
carried out by Dr./Mr./Ms./Mrs. \_\_\_\_\_ under the  
guidance and supervision of \_\_\_\_\_ in partial  
fulfillment of for the award of Doctor of Philosophy in the faculty of  
\_\_\_\_\_ and that this work was carried out by him in the department  
of \_\_\_\_\_.


**Signature of the HoD  
Principal**

**Signature of the**

Date:

**Place:**

## Plagiarism Verification Certificate

		
<b>BLDE</b> <b>(DEEMED TO BE UNIVERSITY)</b> <small>Declared as Deemed to be University u/s 3 of UGC Act, 1956</small> <b>&lt;Name of the College/ School&gt;</b>		
<b><u>PLAGIARISM VERIFICATION CERTIFICATE</u></b>		
1. Name of Student: _____	Reg. No. _____	
2. Title of the Thesis: _____ _____		
3. Department: _____		
4. Name of Guide & Designation _____		
5. Name of Co Guide & Designation _____		
The above thesis was verified for similarity detection. The report is as follows:		
Software used _____	Date: _____	
Similarity Index (%) _____	Total word count: _____	
The report is attached for the review by the Student and Guide.		
The plagiarism report of the above thesis has been reviewed by the undersigned. The similarity index is below accepted norms.		
The similarity index is above accepted norms, because of the following reasons: _____ _____ _____		
The thesis may be considered for submission to the University. The software report is attached.		
Signature of Guide Name & Designation	Signature of Co-Guide Name & Designation	Signature of Student
Verified by (Signature) Name & Designation		

**iv. Scientific Paper Presentations in Conference/Seminar**

**v. Paper Publications in Indexed referred Journals**

**Ph.D. Guideship Recognition Format:**



**BLDE**  
(DEEMED TO BE UNIVERSITY)  
Declared as Deemed to be University u/s 3 of UGC Act, 1956

**APPLICATION FOR RECOGNITION AS A GUIDE FOR Ph.D., RESEARCH  
PROGRAMME**

*Note : Separate/Additional Papers may be added accordingly.*

1	Name of the Applicant	:	_____
2	Date of Birth & Age	:	_____
3	Qualification with year of Passing	:	_____
4	Field of Specialization	:	_____
5	Present Designation	:	_____
6	Date of joining the service	:	_____
7	Date of Superannuation	:	_____
8	Official address with Telephone/Fax	:	_____
	Department e-mail	:	_____
9	Residential address with Telephone	:	_____
	Fax / Personal E-mail	:	_____
10	Date of award of the Ph.D.	:	_____
	Degree and the awarding University	:	_____
11	Title of thesis	:	_____
12	If already guide of any University, attach copy of order of recognition	:	_____
13	Teaching posts held till date	:	_____
14	Teaching experience Under Graduate Post Graduate	:	_____
15	Academic achievements (Prizes & Medals etc..)	:	_____

- 16 Research Publications : \_\_\_\_\_
- i. Accredited / index journals : \_\_\_\_\_
- ii. Before qualifying for Ph.D. : \_\_\_\_\_
- iii. After Ph.D., qualification : \_\_\_\_\_
- 17 Papers & Posters / Presentation at : \_\_\_\_\_
- National / International Conferences : \_\_\_\_\_
- 18 Author of textbooks / chapters in : \_\_\_\_\_
- Textbooks : \_\_\_\_\_
- 19 Membership of scientific bodies : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 20 Fellowship : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 21 Research Experience : \_\_\_\_\_
- i. M.Phil., : \_\_\_\_\_
- ii. Postdoctoral : \_\_\_\_\_
- 22 Whether research conducted in : \_\_\_\_\_
- Interdisciplinary area if so what are : \_\_\_\_\_
- the fields involved : \_\_\_\_\_
- 23 Enclosures: : \_\_\_\_\_
- i. Service particulars
- ii. Copies of academic qualifications
- iii. Teaching appointment particulars
- iv. Guide recognition of other Universities
- v. Publications
- vi. Presentations
- vii. Membership
- viii. Fellowship
- ix. Research programme details
- x. Prizes/Citations

Signature of Candidate

Signature of Head of the Institution

**Ph.D. Co-Guideship Recognition Format:**



**BLDE**  
(DEEMED TO BE UNIVERSITY)  
Declared as Deemed to be University u/s 3 of UGC Act, 1956

**APPLICATION FOR RECOGNITION AS A GUIDE FOR Ph.D., RESEARCH  
PROGRAMME**

*Note : Separate/Additional Papers may be added accordingly.*

1	Name of the Applicant	:	_____
2	Date of Birth & Age	:	_____
3	Qualification with year of Passing	:	_____
4	Field of Specialization	:	_____
5	Present Designation	:	_____
6	Date of joining the service	:	_____
7	Date of Superannuation	:	_____
8	Official address with Telephone/Fax	:	_____
	Department e-mail	:	_____
9	Residential address with Telephone	:	_____
	Fax / Personal E-mail	:	_____
10	Date of award of the Ph.D.	:	_____
	Degree and the awarding University	:	_____
11	Title of thesis	:	_____
12	If already guide of any University, attach copy of order of recognition	:	_____
13	Teaching posts held till date	:	_____
14	Teaching experience Under Graduate Post Graduate	:	_____
15	Academic achievements (Prizes & Medals etc..)	:	_____



- 16 Research Publications : \_\_\_\_\_
  - i. Accredited / index journals : \_\_\_\_\_
  - ii. Before qualifying for Ph.D. : \_\_\_\_\_
  - iii. After Ph.D., qualification : \_\_\_\_\_
- 17 Papers & Posters / Presentation at : \_\_\_\_\_  
National / International Conferences : \_\_\_\_\_
- 18 Author of textbooks / chapters in : \_\_\_\_\_  
Textbooks : \_\_\_\_\_
- 19 Membership of scientific bodies : \_\_\_\_\_
  - i. National : \_\_\_\_\_
  - ii. International : \_\_\_\_\_
- 20 Fellowship : \_\_\_\_\_
  - i. National : \_\_\_\_\_
  - ii. International : \_\_\_\_\_
- 21 Research Experience : \_\_\_\_\_
  - i. M.Phil., : \_\_\_\_\_
  - ii. Postdoctoral : \_\_\_\_\_
- 22 Whether research conducted in : \_\_\_\_\_  
Interdisciplinary area if so what are : \_\_\_\_\_  
the fields involved : \_\_\_\_\_
- 23 Enclosures: : \_\_\_\_\_
  - i. Service particulars
  - ii. Copies of academic qualifications
  - iii. Teaching appointment particulars
  - iv. Guide recognition of other Universities
  - v. Publications
  - vi. Presentations
  - vii. Membership
  - viii. Fellowship
  - ix. Research programme details
  - x. Prizes/Citations

Signature of Candidate

Signature of Head of the Institution

## GUIDELINES FOR FORMATTING Ph.D. THESIS



### **BLDE (DEEMED TO BE UNIVERSITY)**

Declared as Deemed-to-be-University u/s 3 of UGC Act, 1956

#### **1. Size:**

All copies of the thesis shall have:

- i. A4 size, 80-100 gsm executive bond paper with printing on both sides.
- ii. Number of pages in the thesis shall not exceed 250 pages including tables and figures.

#### **2. Margin and spacing:**

- i. Margins at the binding (left) edge must be 1.5" (38 mm) and other margins 1.0" (25 mm).
- ii. The page format should be a single column with single spacing used between the lines.
- iii. Paragraphs should be separated by 1.5 spaces. All text pages should be justified.

#### **3. Font and Font Size:**

Font style shall be "Times New Roman" with font size 11 for running text.

- i. Title - font size 12/ Bold/ Numerical: 1,2,3,4
- ii. Subtitle – font size 11 / Alphabetic: a, b, c, d
- iii. Main points under subtitles - font size 12 / Numerical: (I), (II), (III),(IV).
- iv. Sub points under main points- font size 12/ Numerical: i, ii, iii, iv.
- v. The tables and drawings shall have the appropriate size as advised by the Supervisor
  - a) Title of the table/ Graph/Drawing - font size 14/ Bold/ Numerical: 1,2,3,4
  - b) Subtitle of the table/ Graph/ Drawing – font size 12/ Alphabetic: a b, c, d

#### **4. Pagination:**

- i. Pages must be numbered consecutively throughout the thesis, starting from the abstract followed by the first page of the introduction including all pages whether textual or otherwise (table, figure etc.), and finishing at the final page of the reference.
- ii. Roman numerals are used on the preliminary pages (up to the first page of text) and Arabic numerals are used on the text pages.
- iii. Page numbers shall be located centrally at the bottom of the page, approximately 0.75" (15 mm) above the edge.
- iv. Pages containing running text shall have 20 lines.
- v. Headings of tables shall be placed at the top of the table and a consistent format must be used throughout the dissertation. The word "Table" shall be written in full (not abbreviated) (e.g., Table 7).
- vi. Captions of figures should be placed at the bottom of the figure. The word "Figure" should be written in full (not abbreviated, e.g., Figure 9).

#### **5. Chapterisation:**

- i. Title
- ii. Certificates
- iii. Acknowledgement
- iv. Contents
- v. Preface
- vi. List of tables and figures
- vii. Abstract
- viii. Chapter 1: Introduction
  - a) General
  - b) Objective
- ix. Chapter 2: Literature Review
- x. Chapter 3: Methodology

- a) Materials
- b) Procedure
- xi. Chapter 4: Results & Discussion
- xii. Chapter 5: Summary & Conclusion
  - a) Summary
  - b) Conclusion
  - c) Scope for future work
- xiii. References
- xiv. Appendix

**6. Printing on the spine and cover page:**

All copies shall be bound with the title of the thesis, the name of the degree, the year of submission and the name of the scholar embossed/printed on the spine and cover page of the thesis.

**7. Cover binding:**

- i. Hard bound copy: Hard non-flexible cover/ fine grain book cloth/ PVC coated /water & crush proof wax coated binding material shall be used for hard cover binding.
- ii. Soft bound copy: Flexible cover/ water & crush proof wax coated paper shall be used for soft cover binding.

**8. The color of the thesis cover and the font details are as follows:**

- i. Medical: Maroon-Font color: White
- ii. Allied Health Sciences: Olive green - Font color: White

**9. Shodhganga Meta data Format: An excel file mentioning the below fields:**

Name of the Researcher	
Name of the Guide	
Registration Date	
Thesis completed Date	
Awarded Date	
Title of Research (No Symbols are allowed)	
Keywords	
Coverage (Faculty name)	
Citation references (Count)	

## RESULT DECLARATION FORMAT



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**  
Declared as Deemed-to-be-University u/s 3 of UGC Act, 1956

### DECLARATION OF RESULT OF DOCTOR OF PHILOSOPHY

The Public Viva-voce Examination in respect of the candidate \_\_\_\_\_ was conducted on \_\_\_\_\_ at Shri. B. M. Patil Medical College, Vijayapura. The Board of Examiners has recommended the award of degree of Doctor of Philosophy.

The Hon'ble Vice Chancellor on behalf of the Board of Management has accepted the recommendation and the candidate is accordingly, declared qualified to receive the degree of Doctor of Philosophy.

- 
- |                          |   |          |
|--------------------------|---|----------|
| 1. Name of the Candidate | - | _____    |
| 2. Registration No.      | - | _____    |
| 3. Ph. D. Subject        | - | _____    |
| 4. Title of the Thesis   | - | “_____”. |
| 5. Faculty               | - | _____    |
| 6. Name of the Guide     | - | _____    |
| 7. Name of the Co-Guide  | - | _____    |
- 

Controller of Examination

Copy to:

- Secretary UGC
- Secretary, Association of Indian Universities
- Registrar
- Dean, FoM and Principal
- Chairperson, Ph.D. Committee
- HoD, Concerned Department
- Concerned Guide
- Concerned Co-Guide
- P. S. to Hon'ble Chancellor
- P.S. to Hon'ble Vice Chancellor

**BLDE**

**(DEEMED TO BE UNIVERSITY)**

**Declared as Deemed to be university u/s 3 UGC Act 1956**

**Smt. Bangaramma Sajjan Campus, B. M. Patil Road, (Sholapur Road), Vijayapura - 586 103, Karnataka (India)**

**Phone: +91 8352 262770 Fax: +91 8352 263303**

**website : [www.bldedu.ac.in](http://www.bldedu.ac.in) e-mail:[office@bldedu.ac.in](mailto:office@bldedu.ac.in)**