



# **BLDE**

**(DEEMED TO BE UNIVERSITY),  
VIJAYAPURA**

**REGULATION FOR THE DEGREE OF DOCTOR  
OF PHILOSOPHY (Ph.D.) 2010  
(Amended 2015, 2016 and August 2019)**

**REVISED REGULATIONS-2019**

**REGISTRAR**

**BLDE (Deemed to be University)  
Vijayapura-586103. Karnataka.**



**BLDE**

**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

**SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL AND RESEARCH CENTRE**

BLDE(DU)/REG/Ph.D.Reg/2019-20/1521

October 03, 2019

## **NOTIFICATION**

Sub: Amendment of Regulation for the Ph.D. Programme-2019

- Ref: 1. UGC (Minimum Standards and Procedures for Award of M.Phil./Ph.D. Degree) Regulations, 2016 and 2018 and subsequent amendments of the same from time-to-time.
2. Minutes of the 29<sup>th</sup> Academic Council meeting of the University held on September 30, 2019.
3. Minutes of the 49<sup>th</sup> BoM meeting of the University held on October 01, 2019.
4. The Hon'ble Vice-Chancellor approval No.802, dated 20-09-2019.

The Board of the Management of the University is pleased to approve the amended/modified regulations for the Ph.D. Programme at its meeting held on October 01, 2019.

The amended / modified Ph.D. Regulation shall be effective, from the Academic Session 2019-20 onwards, for Ph.D. Programme in the Faculty of Medicine and Allied Health Sciences of the BLDE (Deemed to be University).

**REGISTRAR  
REGISTRAR**

**BLDE (Deemed to be University)**  
**Vijayapura-586103, Karnataka**

Copy to:

- The Secretary, UGC, New Delhi
- The Dean, Faculty of Medicine and Principal
- The Dean, Faculty of Allied Health Sciences
- The Dean, Student Affairs
- The Chairperson, Ph.D. Committee
- The Controller of Examinations
- The Administrative Officer, BLDE (DU) & Association
- The Coordinator, IQAC
- The Prof & Head, Dept of Pre, Para & Clinical
- PS to Hon'ble Chancellor
- PS to Hon'ble Vice-Chancellor

# CONTENTS

## Revised Ph.D. Regulations 2019:

Sl. No.	Particulars	Page Numbers
1	Preamble	01
2	Short Title and Commencement	01
3	Eligibility	01
4	Duration of Research	03
5	Admission to Full / Part Time Ph.D. course by Entrance Examination	04
6	Provisional Registration Sessions	05
7	Procedure for Provisional Registration	05
8	Allotment of Research Supervisor	05
9	Categories for Provisional Registration	06
10	Requisites For Provisional Registration	07
11	Recognized Departments/Institutions	07
12	RECOGNISED RESEARCH SUPERVISOR AS PER UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016.	08
13	Recognized Co-Supervisor	09
14	Change of Research Supervisor	10
15	Ethical Committee Clearance	10
16	Provisional Registration	10
17	Application for Provisional Registration	10
18	Change of Thesis Title	11
19	Submission of Application of Provisional Registration	11
20	Ph.D. Committee/Duties	12
21	Approval of the Provisional Registration	12
22	Non-Approval/Rejection of Provisional Registration	13
23	Doctoral Advisory Committee	13
24	Course Work & Pre-Ph.D. Examination	13

25	Successful Pre-Ph.D. Examination	14
26	Unsuccessful Pre-Ph.D. Examination	15
27	Extension of Time for Pre-Ph.D. Examination	15
28	Confirmation of Provisional Registration	15
29	Cancellation of Registration	15
30	Research Work (For Candidates/Scholars)	16
31	Submission of Half Yearly Progress Reports	16
32	Log Book	17
33	Fee Structure	17
34	Research Funding	17
35	Title of the Thesis	17
36	Submission of Synopsis	17
37	Formatting of Thesis	18
38	Submission of Thesis	19
39	Extension of Time for Submission of Synopsis/Thesis	20
40	Ph.D. Adjudicators/Examiners	21
41	Adjudication of the Thesis	22
42	Commended Thesis	22
43	Rejected Thesis	23
44	Public Viva Voce Examination	23
45	Declaration of the Final Result	24
46	Issue of Provisional/Degree Certificate	25
47	Publication of Thesis	25
48	Discrepancies, Conflict of Interest and Disciplinary Actions	25
49	The Act of Plagiarism	25
50	Model Certificate	26
51	Change of Regulations	26
52	Ph.D. Programme Formats	27





## **BLDE (DEEMED TO BE UNIVERSITY), VIJAYAPURA**

### **REGULATION FOR THE DEGREE OF DOCTOR OF PHILOSOPHY, Ph.D.2010 (Amended 2015, 2016 and August 2019)**

#### **REVISED REGULATIONS-2019**

#### **1. PREAMBLE**

The Degree of **Doctor of Philosophy** (Ph.D.,) is the **Research Degree** of this University. In exercise of the powers conferred by Section 4(i) of the MoA, the Academic Council of the **BLDE (Deemed to be University), Vijayapura, formerly BLDE University Bijapur** makes the following regulations:

The Ph.D. degree is awarded to a candidate, who, as per these regulations, has submitted a thesis on the basis of original research work done by him / her in any particular discipline or more than one discipline (interdisciplinary), that makes a contribution to the advancement of knowledge or to innovative methods of application of existing knowledge, so as to contribute to the betterment of lives of the people and has had the thesis approved by suitably constituted examiners as required.

#### **2. SHORT TITLE AND COMMENCEMENT**

- 2.1 These regulations shall be called “The Regulations for the Degree of **Doctor of Philosophy** (Ph.D.) of BLDE (Deemed to be University), Vijayapura.
- 2.2 They shall come into force from June, 2010 onwards.
- 2.3 The Regulations framed are subject to modifications from time to time as decided by the Academic Council of this University.
- 2.4 This is an Amended 2019 version and each of the regulation & sub regulations will be interpreted as per the amended version.

#### **3. ELIGIBILITY**

- 3.1 The Ph.D., degree shall be awarded by this Deemed to be University under any one of the recognized faculties of this University.
- 3.2 A recognized **qualifying higher specialty degree, postgraduate degree/diploma** is essential with a minimum of 55% of marks in the qualifying examination or equivalent in the qualifying postgraduate degree/diploma. The qualifying degree has to be

registered with the respective Councils as the case may be.

3.3 Candidates with the following qualifications are eligible for registering for the **Ph.D.(Medical)**, under the Faculty of Medicine.

- 3.3.1 Candidates with MD/MS in different specialties recognized by Medical Council of India & its Amendments thereof, Candidates with super specialty degrees D.M. Doctor of Medicine, and M.Ch., Master of Chirurgiae, recognized by Medical Council of India & its amendments thereof.
- 3.3.2 Candidates with MD/MS, MRCP/FRCS (granted in UK on or before 11.11.1975 as per MCI Act 1956, second schedule) or equivalent Master's degree awarded by a College/University recognized by MCI are eligible to register for Ph.D course in their subject of specialization.
- 3.3.3 Post Graduate Diploma in different Specialties: D.C.P., D.Ch., D.O., D.Ortho, D.L.O., D.G.O., D.A. or any other postgraduate diploma recognized by Medical Council of India & its amendments thereof.
- 3.3.4 Candidates with qualification of Diplomate of the National Board, D.N.B. in specialities/superspecialities held by the of the National Board of the Examination after completion of the prescribed period for eligibility to be considered equivalent to M.D. / M.S as per the current regulations and their amendments, and approved by the MCI/ Governing Council of this University as equivalent thereto.
- 3.3.5 Candidates with M.B.B.S. Degree recognized by Medical Council of India & with three years of Teaching / Clinical / Laboratory Experience after the completion of the degree
- 3.3.6 Basic Medical Sciences: M.Sc, (Medical) Anatomy, Physiology, Microbiology, Biochemistry and Pharmacology.
- 3.3.7 The above qualifying degrees should be from the Faculties of this University or any other University recognized by the Association of Indian Universities, Medical Council of India, other approved recognized Scientific Bodies of the Government of India, etc., and approved by the Academic Council/Board of Management of this University for Provisional Registration for the Ph.D. Degree.
- 3.3.8 The candidate must have at least 55% of the aggregate marks (50% in case of SC/ST/OBC/PH candidates) its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.



(UGC Minimum Standards and Procedure for Award of M.Phil./Ph.D Degrees) Regulations, 2016 dated 5<sup>th</sup> May 2016 clause no 2.0,2.1 & 3.1& UGC 1<sup>st</sup> Amendment, Regulations 2018 dated 27<sup>th</sup> August 2018)

3.4. Candidates with the following qualifications are eligible for registering for the

**Ph.D. (Allied Health Sciences)** degree.

1. M. Sc. in any branch of life sciences.
2. M. Sc. in Medical Physics / Biophysics
3. M.Pharma/ Pharm D.
4. M.Sc. in Nursing Sciences
5. MD (Ayurveda)
6. M.P.H./ M.H.A. / M.Sc. Biotechnology and M.Sc. in Human Genetics.

**Note:** These M.Sc degree holders under this category (3.4), (Medical /Allied Health Sciences) after awarding Ph.D shall confine themselves only to provide research inputs and perform investigative procedures. They are not permitted to treat patients. However The Ph.D degree holders from allied health sciences can continue to manage patients and practice their specialties as per their basic degree from an approved statutory authority like AYUSH, NCI, PCI etc.

#### 4. DURATION OF RESEARCH

Ph.D. Programme shall be for a minimum duration of three years including coursework and a maximum of six years.

(UGC Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016 dated 5<sup>th</sup> May 2016 clause no 4.2)

##### 4.1. Regular Full Time

The candidate registered for the Ph.D., Degree as full-time research shall undergo research work for a minimum period of **three years** from the date of provisional registration and maximum of six years including course work. (Clause 4.2 of UGC) The candidate shall attend the department for research on all working days, except for periods when he/she is allowed to visit other Institution / Laboratories for activities connected with his/her research for a period permitted by the concerned Supervisor. Full-time scholars are selected through the Ph.D. entrance examination of BLDE (Deemed to be University) except the candidates selected through lateral entry.

Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual Institution concerned.(UGC 2016 Clause no 4.3) The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil and two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/Ph.D. for up to 240 days.UGC Clause no 4.4)



#### 4.2 Regular Part Time:

Institutional academic administrators and Heads of the Departments, Professors, Additional Professors working in any Department of this University with not less than 10 years of teaching experience of which 5 yrs as Postgraduate teacher and having a minimum of 3 publications with any authorship in accredited journals are eligible to register for Ph.D. Programme. They can pursue their Ph.D. on regular part time basis after their working hours. Such candidates will be considered as **part time independent** research candidate.

### 5. ADMISSION TO FULL/PART TIME Ph.D. COURSE BY ENTRANCE EXAMINATION

- 5.1 All other Candidates who do not fulfill the requirement for direct admission but possess the required educational qualifications shall undergo a written test & selection interview conducted by the University once in a year. No T.A. and D.A. shall be payable to candidate for attending the interview.

(University notifies admission of Ph.D. curriculum in its website and national news papers)

An Entrance Test shall be held with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance in the website of the Deemed to be University as mentioned in clause 1.2; and interview/*viva-voce* to be organized by the Deemed to be University as mentioned in clause 1.2 where the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee.

The interview/*viva voce* shall also consider the following aspects, viz. whether, the candidate possesses the competence for the proposed research; the research work can be suitably undertaken at the Institution/College and the proposed area of research can contribute to new/additional knowledge.

#### 5.2 Direct Admission (Lateral entrance) to full time course of Ph.D.

The candidate who fulfils one of the following requirements may be considered for direct admission to the Ph.D. after an interview held by a Committee nominated by the Vice-Chancellor of the BLDE (Deemed to be University).

- 5.2.1 A candidate who is a recipient of fellowships from government/semi government organizations such as Indian Council of Medical Research (ICMR), Council of Scientific and Industrial Research (CSIR), UGC or DST-Inspire Fellow from DST, Govt. of India. etc. after qualifying NET/GATE examination provided he/she possesses the required educational qualifications. They will not be provided any stipend by the university.

- 5.2.2 A candidate who has been selected as Junior Research Fellow (JRF) in extramural research projects in this university from Department of Science

and Technology (DST), Department of Biotechnology (DBT), Department of AYUSH (Ayurveda, Yoga, Unani, Siddha and Homeopathy), University Grants Commission (UGC), All India Council for Technical Education (AICTE), Defense Research and Development Organization (DRDO), Department of Atomic Energy (DAE) provided he/she possesses the required educational qualifications.

## **6. PROVISIONAL REGISTRATION SESSIONS**

The Provisional Registration for Ph.D., Degree shall be made in one session during an academic year as June – August of every year.

## **7. PROCEDURE FOR PROVISIONAL REGISTRATION**

- 7.1. A Candidate shall register himself / herself in this University by submitting the duly filled application, obtained from the university along with other details for provisional registration by remitting the prescribed fees for the same. The application form may also be downloaded from the University website: [www.bldeu.ac.in](http://www.bldeu.ac.in)
- 7.2. The cost of the application form is the amount prescribed by this University from time to time as applicable.
- 7.3. If the application form is downloaded from the website, the cost of application form has to be paid at the time of submission for the provisional registration.

## **8. ALLOTMENT OF RESEARCH SUPERVISOR:**

Eligibility criteria to be a Research Supervisor, Co- Supervisor, Number of Ph.D. scholars permissible per Supervisor, etc.

The allotment of Supervisor shall not be left to the individual student or teacher. University will decide the allotment as per the available vacancy under an eligible research Supervisor of BLDE (Deemed to be University) (Vide UGC (Minimum Standards and Procedure for Award of M.Phil/Ph.D Degree) Regulations, 5th May, 2016 clause no. 6).

- 8.1 Any regular Professor of the University/Institution Deemed to be a University/College with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the University/institution deemed to be a University/college with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is none or only a limited number of refereed journals, the Institution may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.
- 8.2 Only a full time regular teacher of the concerned University/Institution Deemed to be a University/College can act as a supervisor. The external Research Supervisors are not allowed. However, Co-Supervisor can be allowed in interdisciplinary areas from other departments of the same institute or from other related institutions with the approval of the Research Advisory Committee.

- 8.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Research Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- 8.4 In case of topics which are of inter-disciplinary nature and where the concerned Department feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified and agreed upon by the consenting Institutions/Colleges.
- 8.5 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more Eight (8) Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. and six (6) Ph.D. scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) Ph.D. scholars. (UGC Regulations 2016, clause no 6.4).
- 8.6 In case of relocation of Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

## **9. CATEGORIES FOR PROVISIONAL REGISTRATION**

Provisional registration shall be done under the following categories as the case may be;

- 9.1 Non interdisciplinary (single discipline) category – Medical
- 9.2 All subjects under Faculty of Allied Health Sciences - Registration of candidates from non-medical faculty for the award of Ph.D in the Allied Health Sciences subjects is as per the following conditions:
- 9.2.1 While conferring / notification of the Ph.D. degree in “Allied Health Sciences”, the topic of the thesis should be specified in the degree awarded. The discipline of the Allied Health Sciences will also be specified in bracket.
- 9.2.2 The eligible candidates under “Allied Health Sciences” can be registered with recognized Supervisor in any of the approved departments for Ph.D. programme under BLDE (Deemed to be University). The broader area of research proposal may be considered for selecting the Supervisor and the department for the affiliation of the candidate.
- 9.2.3 The candidates who obtain the Ph.D. degree in this category their career prospects as faculty members will be entirely depending on the basis of regulation of respective regulatory bodies.



Ph.D. degree holders under this category are not permitted to treat patients. However, they can continue to practice their specialty as per their basic degree.

## **10. REQUISITES FOR PROVISIONAL REGISTRATION**

The Essential requisites for provisional registration are below:

- 10.1 Eligible Qualifications
- 10.2 Recognized Department/Institution
- 10.3 Recognized Supervisor
- 10.4 Approved co-Supervisor, if any
- 10.5 No Objection Certificate from the Head of the institute  
(In case of External candidate)
- 10.6 Ethical Committee Clearance

## **ALLOTMENT OF GUIDES**

The allotment of Supervisor/Guide shall not be left to the individual student or teacher. University will decide the allotment as per the available vacancy under an eligible research guide of BLDE (Deemed to be University).

## **11. RECOGNISED DEPARTMENTS / INSTITUTIONS**

- 11.1 Candidates applying for Provisional Registration shall select recognized/ approved departments/institutions of this University which are approved and recognized by the Medical Council of India and other approved Councils of the concerned faculty and other Councils which are approved by the Board of Management of this University.

Any Dept. which is recognized/permitted by MCI for M.D./M.S. is automatically eligible to conduct Ph.D. Course in the same Department. BLDE (Deemed to be University) may conduct a local inspection to any department who are conducting Ph.D. curriculum for routine quality control (Citation-King George Medical University, Lucknow and Dr. MGR Medical University, Tamil Nadu).

- 11.2 The expert under whom the candidate proposes to work for the split Ph.D., programme shall be suggested by the Supervisor as a co-Supervisor and would also be a member of the Research Advisory Committee.
- 11.3 The progress report of work done by the candidate in the external institution shall be submitted to the Controller of Examinations through the Supervisor by the external expert/co-Supervisor
- 11.4 All financial commitments required for carrying out the research work at the partnering institution shall be borne by the candidate.
- 11.5 The degree on successful completion would be awarded only by BLDE (Deemed to be University) with a mention of the name of the partnering institution.



## **12. RECOGNISED RESEARCH SUPERVISOR AS PER UGC (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees) Regulations, 2016.**

- 12.1 Any regular Professor of the University/Institution Deemed to be a University/College with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the university/institution deemed to be a university/college with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. (UGC Regulations 2016 clause 6.1)
- 12.2 Application of the Supervisor/Co-Supervisor for recognition to BLDE (Deemed to be University) will be verified and recommended by Ph.D. Committee.
- 12.3 The list of recognized Supervisor is furnished in the University website at [www.bldedu.ac.in](http://www.bldedu.ac.in)
- 12.4 Further information and details about the recognized Research Supervisors can be obtained from the university office.
- 12.5 The recognized Research Supervisor shall officiate up to the age of 70 years and he/she shall not enroll new candidates after the age of 67 years.
- 12.6 As per the UGC Guidelines following are the maximum enrollment in each teaching cadre 1) Professor-08, 2) Associate Professor-06, 3) Assistant Professor-04.

### **The duties of the recognized Supervisor are:**

- 12.6.1 The Research Supervisor should give the consent and no objection certificate obtained from the Dean /Principal for officiating as a Supervisor for the candidate to be registered.
- 12.6.2 The Research Supervisor shall sign all the papers, declarations, certifications, authentications, log books and other related documents pertaining to the registration, submission of synopsis and thesis and all the certificates related to research publication ethics of the registered candidate.
- 12.6.3 The Research Supervisor shall serve as a Supervisor/Convener to interact with the University.
- 12.6.4 The Research Supervisor shall supervise and interact with the University for the candidates who have already submitted the Thesis till a final decision is arrived.
- 12.6.5 The Research Supervisor shall suggest to the University, and Ph.D. Committee to conduct the Part-I, Methodology Examination.
- 12.6.6 The Research Supervisor shall also be the convener/Chairperson and a member of the panel to conduct the Public Viva Examination, Open House presentation etc.



- 12.6.7 The Research Supervisor shall interact with the co-Supervisor, who may be entrusted to continue the research work of the candidate when he/she is not in a position to do the same under the following situations:
- 12.6.7.1 When the Research Supervisor has completed 70 years and till a new recognized Research Supervisor takes over.
  - 12.6.7.2 When the Research Supervisor is on a long leave (three months and above).
  - 12.6.7.3 When the Research Supervisor is sick or on long medical leave.
  - 12.6.7.4 Other unforeseen circumstances.
- 12.7 Till a new Research Supervisor is selected and appointed with the approval of the university the co- Supervisor shall assist the candidate in his/ her research work.
- 12.8 In case of detection of any discrepancy, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions that may lead to the cancellation and debarring of the Research Supervisorship at any stage.

### **13. RECOGNISED CO-SUPERVISOR**

A person shall be recognized as a Co-Supervisor in any faculty if he/she possesses similar eligibility criteria as of a Research Supervisor.

- 13.1 The recognized co-Supervisor shall officiate up to the age of 70 years. The co-Supervisor shall not enroll new candidates after the age of 67 years.
- 13.2 Each recognized co-Supervisor is entitled to enroll a maximum number of candidates depending on his academic position
- 13.3 Duties of the recognized co-Supervisor:
  - 13.3.1 The Co-Supervisor should be from the department where the registered candidate is working, in the event of the selected Supervisor working elsewhere.
  - 13.3.2 The Co-Supervisor shall provide guidance and offer professional / technical assistance to the registered candidate when the selected Research Supervisor is not in a position to provide the same.
  - 13.3.3 The Co-Supervisor is eligible to become a full recognized Supervisor if he/she satisfies the stipulated norms, rules and regulations of this University for granting him/her recognition as a Supervisor.
  - 13.3.4 The list of recognized Co-Supervisor is given in the University website [www.bl.edu.ac.in](http://www.bl.edu.ac.in) in case of detection of any discrepancies, conflict of interest, unethical activities, academic dishonesty, etc., it is the prerogative of the

University to initiate appropriate administrative and disciplinary actions leading to the cancellation and debarring of the co-Supervisorship at any stage.

#### **14. CHANGE OF RESEARCH SUPERVISOR**

The change of Supervisor is admissible maximum six months before completion of candidate's research tenure. The change of Research Supervisor may be executed in exceptional cases such as:

- 14.1 Transfer and discontinuation of service to other institutions which is not under the adjudication of BLDE (Deemed to be University).
- 14.2 Superannuation from his service which is under maximum age limit of the University.
- 14.3 Demise of the Research Supervisor.
- 14.4 University may also take unilateral decision in change of Research Supervisor if the he has left the institute and incommunicado and not accessible by any means of communication.
- 14.5 The Honorable Vice Chancellor may consider the change of Research Supervisor in case of any emergency/unforeseen conditions.

#### **15. ETHICAL COMMITTEE CLEARANCE**

Institutional Ethical Committee (IEC) clearance for experiments on human subjects will be as per ICMR, Govt. of India guidelines (2017) and Institutional Animal Ethical Committee (IAEC) (2018) for animals will be as per the *CPCSEA Supervisor lines, Govt. of India*.

#### **16. PROVISIONAL REGISTRATION**

Provisional registration will be completed after the synopsis-I/Plan of Work is scrutinized by the External Peer Reviewers, Statistician, IEC/IAEC and successful completion of Pre-Ph.D. examination.

Each Research Supervisor will send a list of 3-6 names of peer reviewers to scrutinize the Synopsis I/Plan of Work of the Ph.D. Scholar to the University. These Peer Reviewers will be at least P.G./Ph.D. Supervisor and expert in the area of research/subject of the proposal of Synopsis I/Plan of Work. They must be in minimum of Associate Professor designated in any University/Institution or Scientist-E of any Govt. Research Institution. The list of peer reviewers should be approved by Ph.D. Committee of BLDE (Deemed to be University).

#### **17. APPLICATION FOR PROVISIONAL REGISTRATION**

- 17.1 A candidate applying for provisional registration shall furnish all the information as required in the provisional registration application form.
- 17.2 The completely filled in application form should be submitted together with the prescribed fees as mentioned in Regulation 34, before the last date of each provisional registration session (vide Regulation 4).
- 17.3 The candidate shall also include SYNOPSIS-I/PLAN OF WORK in the prescribed

format of BLDE (Deemed to be University).

- 17.4 The write-up should include brief Introduction and Review of Literature, Need of the Study, Aims, Objectives, Methodology and Expected Contribution of the Research to the Scientific Knowledge and Academic growth and Development. (BLDE (Deemed to be University) Form)
- 17.5 The Synopsis I /Plan of Work 'Form' should be signed by the candidate and approved and signed by the Supervisor/Co-Supervisor. The Form should also be signed by Head of the Department and to be forwarded to Registrar, BLDE (Deemed to be University) through the proper channel. Candidates who fail to submit "Plan of Work- Thesis Proposal" within from the date of their admission, their admission stands cancelled
- 17.6 The candidate shall furnish the following information with documentary evidence:
  - 17.6.1 His / Her academic qualifications.
  - 17.6.2 Details of previous research experience.
  - 17.6.3 Full particulars of publications in indexed /accredited journals, if any.
  - 17.6.4 The broad field or the interdisciplinary field in which the candidate intends to do research work.
  - 17.6.5 The subject of research, whether wholly / partly related to the main branch of knowledge chosen for the post graduation in which the candidate has qualified.
  - 17.6.6 Name of the Research Supervisor
  - 17.6.7 Name of the co-Supervisor, if any.
  - 17.6.8 Ethical Committee Clearance certificates.

## **18. CHANGE OF THESIS TITLE**

A Ph.D. candidate can change the title of his proposed thesis or field of research work on prior permission from the Ph.D. Committee. Changes will not be allowed six month before submission of last half yearly progress report. The permission of such change of title will be recommended by the Ph.D. Committee to the Honorable Vice Chancellor, BLDE (Deemed to be University) for his decision. In such cases candidate need not require to appear for pre-Ph.D. Exam if he/she has cleared it earlier.

## **19. SUBMISSION OF APPLICATION OF PROVISIONAL REGISTRATION**

- 19.1 A candidate shall not directly submit the provisional registration application to this University.
- 19.2 The duly filled provisional registration application should be submitted through the proper channel.
- 19.3 The provisional registration application should be routed through the Head of the Department, the Head of the Institution and other officiating administrative



authorities.

19.4 The Provisional Registration application should be submitted along with the payment of the stipulated fees as mentioned in Regulation 33.

19.5 Provisional registration application, if found complete in all aspects, shall be received by the University.

## **20. Ph.D. COMMITTEE / DUTIES**

A Ph.D. Committee (Research Advisory Committee) shall be constituted by the University.

20.1 It shall be headed by a Chairperson and at least 6 (six) members from various departments (pre, para & clinical) also from faculty of Allied Health Sciences including Dean of Faculties and Dean (R &D). One external member from the institute other than BLDE Association and Deemed to be University may be included

20.2 The Ph.D. Committee members shall be nominated by the Vice Chancellor.

20.3 The Ph.D. Committee shall meet periodically (at least 6 times in a year) to study the submitted applications and address various issues pertaining to Ph.D. curriculum of the university.

20.4 The Ph.D. Committee shall submit a report regarding its recommendation, or otherwise, to the University.

20.5 The Ph.D. Committee will frame the syllabus, conduct and monitor the Pre Ph.D. Course work, supervise Synopsis presentations for Plan of work, Six months progress Reports, Second open House (Final Synopsis) presentation by the candidates.

20.6 The Ph.D. Committee may suggest modifications on the research proposed for resubmission.

21.7 Ph.D. Committee also will scrutinize the panel of adjudicators submitted by the supervisor before submitting to the Controller of Examination.

20.8 All the suggestions recommended by the Ph.D. Committee shall be placed before the Vice-Chancellor/Academic Council.

## **21. APPROVAL OF THE PROVISIONAL REGISTRATION**

21.1 The recommendation of the Provisional Registration shall be done by the Ph.D. Committee depending on the merits of the application.

21.2 Provisional registration will be completed after the synopsis is scrutinized by the Ph.D. committee.

21.3 The recommendation of the Ph.D. Committee is an essential prerequisite for the provisional registration to be approved by the Vice-Chancellor.

21.4 The candidates shall be informed through the Supervisor about the approval of

provisional registration with instructions regarding the continuation of the research work according to the norms and regulations of this University.

- 21.5 All correspondence to the University after the approval of the Provisional Registration of the candidate shall be addressed by the Supervisor to the Registrar of this University.

## **22. NON-APPROVAL/REJECTION OF PROVISIONAL REGISTRATION**

- 22.1 The Ph.D. Committee, after scrutinizing the provisional registration application shall suggest modifications, point out deficiencies, if any, with instructions for resubmission on the suggested lines for reconsideration and recommendation of approval for provisional registration along with a compliance report signed by the Research Supervisor.
- 22.2 If the Ph.D. Committee rejects the provisional registration application on two successive occasions, then the candidate has to submit a fresh application along with the payment of stipulated fees as mentioned in Regulation 33.
- 22.3 The Ph.D. Committee shall complete its work and intimate the rejection within three months.

## **23. DOCTORAL ADVISORY COMMITTEE**

Each department under the Faculty of Medicine and Faculties of allied Health Sciences will have the Doctoral Advisory Committee (DAC). DAC can be constituted by all the Professors and respective Research supervisors of the students to facilitate day today research work of the candidate.

## **24. COURSE WORK & PRE Ph.D EXAMINATION**

Ph.D. course work shall have a minimum of 08 credits and a maximum of 16 credits. After admission to Ph.D. Curriculum each of the Ph.D. Student must undertake a course work for minimum period of one semester i.e. six months. The course work shall be treated as Pre-Ph.D. preparation as per UGC guidelines 2009. The Ph.D. Student who fail to have minimum of 75% of attendance in COURSE WORK will not be eligible to appear in Pre-Ph.D. Examination of BLDE (Deemed to be University).

- 24.1 The University shall conduct the Pre-Ph.D. Examination for the provisionally registered Ph.D., candidates.
- 24.2 Pre-Ph.D. Examination will be conducted at the end of Research/Course Work and within one year from the date of Provisional Registration.
- 24.3 The Examination shall consist of:
- 24.3.1 Two written papers and Viva Voce exam will be conducted as per the direction of the Controller of Examination.
- 24.3.2 The written paper shall cover the following:

**PAPER - I: Principles of Research Methodology**



- General Research Methodology.
- Biostatistical Methods in Medical Research.
- Ethical aspects of Medical Research.
- Animal Experimentation (where applicable).
- Instrumentation (where applicable).

#### **PAPER - II: Selected Field / Subject of Research**

- History.
- Literature Review.
- Recent Advances.
- Experimental Procedures
- Others.

In the Viva-Voce, examination, the Board of Examiner constituted by Controller of Examination shall assess the candidate's knowledge in relation to the work done by him / her up to the time of methodology examination and respective subject skills and also assess the aptitude and competence of the candidate to continue the research work.

#### **24.4 Syllabus:**

24.4.1 Syllabus for PAPER-I shall be prescribed by the subject experts selected by the university and it will be approved by the Ph.D. Committee. PAPER-II shall be prescribed by the Supervisor for each candidate and should also be approved by the Ph.D Committee in consultation of subject experts. The Syllabi prescribed by the Supervisor and Subject experts for the two theory papers of Pre Ph.D examination, shall be notified and will be communicated to the Controller of Examination.

24.4.2 There shall be double valuation of the answer scripts of Paper - I and Paper II. In case of Paper –II apart from the same examiner who have set the question paper (i.e. Supervisor) one more recognized Ph.D. Supervisor of the same subjects or an internal co-Supervisor of the candidate (if available) should also evaluate the papers. The marks lists shall be sent by the examiners directly to the Controller of Examinations of BLDE (Deemed to be University).

### **25. SUCCESSFUL PRE Ph.D EXAMINATION**

- 25.1 The candidate has to secure a minimum of 55% of the marks, both in the theory paper separately in each paper and in viva-voce, to be declared successful in the Pre-Ph.D. Examination.
- 25.2 A detailed report on the performance of the Pre-Ph.D. examination shall be submitted by the Supervisor/convener to the University for further action.
- 25.3 In case of difference of marks between two examiners take place, University will

follow its own Supervisor lines as it follows in case of PG examination

- 25.4 CoE will issue a **marks sheet** regarding the success of the Pre-Ph.D. Examination of the candidate.

## **26. UNSUCCESSFUL PRE-Ph.D. EXAMINATION**

- 26.1 The candidate will get maximum of five attempt as chances to clear Pre-Ph.D. Examination.
- 26.2 Provisional registration of the candidates will be confirmed only after they pass the Pre Ph.D examination.
- 26.3 Candidate shall not start his/her research work until clears Pre-Ph.D. examination.
- 26.4 He/She will not be eligible for submission of second half yearly progress report.

## **27. EXTENSION OF TIME FOR PRE-Ph.D.EXAMINATION**

- 27.1 Extension of time shall be considered only on very special circumstances, if adequately substantiated by the candidate in the application forwarded through the Supervisor for consideration by the Vice Chancellor.
- 27.2 If extension of time is granted, then penal fees as prescribed is to be paid as mentioned in Regulation 33.
- 27.3 The maximum extension period permissible will be decided by the University in consultation with Ph.D. Committee for the conduct of the examination.

## **28. CONFIRMATION OF PROVISIONAL REGISTRATION**

- 28.1 The provisional registration of a candidate shall be confirmed on the receipt of the successful report of the Pre-Ph.D. course work examination.
- 28.2 The Pre-Ph.D. course work Examination report shall be approved by the Vice Chancellor of this University.
- 28.3 Intimation regarding confirmation of provisional registration shall be communicated to the candidate through the Supervisor with a copy to the co-Supervisor, if any, with instruction to the candidate to remit the fees for confirmation of the Provisional Registration.

## **29. CANCELLATION OF REGISTRATION:**

- 29.1 Lack of progress as reported by the Supervisor and also after giving the due opportunity to the candidate for defending his case.
- 29.2 Candidates own request duly endorsed by the Ph.D. Committee.

If He/She does not submit the thesis within **six years** from the date of Registration. However, a candidate may request to extend his/her submission for not more than six months from the deadline. Application for extension of time with adequate justification may be forwarded by the Supervisor within the prescribed period of

submission of Thesis. The same is also applicable in case of failure to submit Plan of Work (Synopsis 1). If any candidate fails for submission of Final Thesis or Plan of Work (Synopsis 1) within the grace period (6 months maximum) the candidate must be discharged immediately from pursuing Ph.D. Course.

### **30. RESEARCH WORK ( For Candidates /Scholars)**

- 30.1 Provisionally registered candidates shall do original research work under the direct supervision / guidance of the Supervisor and co-Supervisor, if any.
- 30.2 The candidate will also utilize the services of the members of the Ph.D. Committee for the betterment of the research work.
- 30.3 Ph.D. Committee shall conduct seminars before submission of “Half-Yearly Progress Report” of the candidates to monitor and assess the quality of research work done by the candidate.
- 30.4 The candidate provisionally registered shall be required to work under the recognized Supervisor.
- 30.5 Each candidate shall undergo courses of instructions as may be prescribed by the Supervisor / advisory committee.
- 30.6 The university may conduct training classes, depending on facilities available, for the provisionally registered candidates covering the prescribed courses and areas of research study.

### **31. SUBMISSION OF HALF YEARLY PROGRESS REPORTS**

- 31.1 After provisional registration, every candidate shall submit the half yearly progress reports regularly in three sets in the prescribed format through the proper channel i.e. from the Supervisor to the Registrar of the University. The half yearly progress report will be assessed by the Ph.D Committee in consultation with the experts (wherever is necessary) and their comments/observations will be communicated to the concerned candidate and Supervisor. Every such half yearly report shall be submitted on April and October in a year.
- 31.2 All Ph.D. candidates while submitting their current progress report, should submit the summary of each of his/her previous half yearly progress reports in order and suggestions made by the previous reviewers/subject experts. All Ph.D candidates should submit their half yearly progress reports in the prescribed format issued by the University.
- 31.3 If three consecutive half yearly progress reports are not satisfactory, the Ph.D Committee may recommend to the University for cancellation of the registration.
- 31.4 Ph.D. Scholar has to present a power point presentation seminar to the Ph.D. Committee, faculty members, postgraduates & research scholars of the University before submission of his/her half-yearly progress report. The comments and suggestions of the Ph.D. Committee to be incorporated in their respective half-yearly progress report.

### 32. LOG BOOK

- 32.1 Every registered candidate shall maintain a logbook.
- 32.2 The log book has to be supervised and signed by the Supervisor/co-Supervisor (if any).
- 32.3 The log book should be available at the time of Ph.D. Examination and at all other times as deemed by the Research Supervisor/Departmental Doctoral Advisory Committee.
- 32.4 The log book shall be maintained till the completion of the research work.

**33. FEE STRUCTURE:** The scholar has to pay appropriate fee as on when notified by the Deemed to be University.

### 34. RESEARCH FUNDING

- 34.1 To avail research grants with different extramural/intramural funding agencies the candidate must apply through the proper channel of BLDE (Deemed to be University).
- 34.2 **Patenting Right** will be as per the rules & regulation of BLDE (Deemed to be University)
- 34.3 The research grant availed should be acknowledged with full information at the time of submission of thesis. Grant availed would be utilized as per the granting agency & university's rules regulation.

### 35. TITLE OF THE THESIS

- 35.1 The provisional title of the thesis shall be intimated to the University at the time of submission of SYNOPSIS I / PLAN OF WORK.
- 35.2 The exact title of the thesis shall be intimated to the University during the submission of the Final Synopsis (SYNOPSIS II).
- 35.3 No change in the title shall be accepted after the submission of the Final synopsis (SYNOPSIS II).
- 35.4 **Change of Thesis Title**

A Ph.D. candidate can change the title of his proposed thesis or field of research work on prior permission from the Ph.D Committee. Changes will not be allowed six month before submission of last half yearly progress report. The permission of such change of title will be recommended by the Ph.D. Committee to the Honorable Vice-Chancellor, BLDE (Deemed to be University) for his decision. In such cases candidate need not require to appear for pre-Ph.D. Exam if he/she has cleared it earlier.

### 36. SUBMISSION OF SYNOPSIS

- 36.1 Not less than **3 (three) months** before the submission of the thesis, every candidate shall submit to the Institute, through the supervisor and HOD two copies of the

synopsis of the thesis, together with the title of the thesis and prescribed examination fee. The candidate shall prepare the synopsis carefully in consultation with the supervisor. The synopsis should bring out in abridged form, the aims for conducting research; work done, results, and conclusions drawn. The candidate shall make a presentation of the synopsis before the doctoral committee at a seminar in the department prior to the submission of the synopsis (**2<sup>nd</sup> OPEN HOUSE PRESENTATION**).

- 36.2 **The title of the thesis mentioned in the final synopsis or Synopsis II** is the final title and the same title has to be maintained in the thesis
- 36.3 **Two copies (02)** of the final synopsis has to be submitted with the prescribed fees in prescribe format (available in website) duly filled and signed by the candidate, the Supervisor, Go-Supervisor, Head of the Department and Head of the Institution. **One copy (01) of electronic version** of the Final synopsis (CD) should also be submitted along with printed versions.
- 36.4 The Final Synopsis of the thesis shall not be less than six pages or exceed ten pages, typed on A4 size paper with one and half space. (Format is available in website & office).
- 36.5 In case of requirement, the candidate shall submit additional copies of the synopsis on demand from the Institute.
- 36.6 The list of Examiners Panel will be prepared by Supervisor with six numbers of experts from India and abroad. **Prior to submit to Controller of Examinations the proposed panel of adjudicators to be first submitted to Ph.D. Committee by Research Supervisor for its opinion.** In case of need Ph.D. Committee may suggest a few names to Supervisor for inclusion or may advise to exclude the names from the panel. Once it cleared by the Ph.D. Committee, Supervisor may submit the panel of six adjudicators to COE with confidentiality.
- 36.7 After the submission of the final synopsis and on the recommendation of the Honorable Vice Chancellor, the Controller of Examination shall contact the adjudicators from the Panel of Adjudicators by electronic and / or regular mail to seek consent.
- 36.8 The final synopsis should be submitted with the original certificates as required by the university regulations in the application.

### **37. FORMATTING OF THESIS**

- 37.1 The thesis to be submitted by the candidate should be formatted according to the University regulations.
- 37.2 Every thesis shall have 2 major components:
  - 37.2.1 The certificate component
  - 37.2.2 The Research work component
- 37.3 The certificate component shall include the following;



- 37.3.1 Certification and declaration by the candidate.
- 37.3.2 Certification of the research work by the Research Supervisor.
- 37.3.3 Certification of the research work by the co-Supervisor, if any.
- 37.3.4 Certificate of research work by the H.O.D.
- 37.3.5 Certificate of the research work by the Head of the institution.
- 37.3.6 Certificate of plagiarism duly signed by the Research Supervisor, Co-Supervisor and Candidate.

While submitting the thesis every candidate shall submit with his/her application, a certificate from the Supervisor/ supervisor that the thesis submitted is a record of research work done by the candidate during the period of study under the Supervisor and co-Supervisor, if any and that the thesis had not previously formed the basis for the award to the candidate of any degree, diploma, associateship, fellowship or other similar title together with the statement from the Supervisor / supervisor indicating the extent to which the thesis represents independent work on the part of the candidate. If the thesis submitted has formed in part, the basis for the award of a previous research degree, the candidate shall clearly set forth in a preface or written statement the portion or portions which have formed the basis for the award of the previous degree.

- 37.4 The research work component of the thesis represents the actual research work done by the candidate under the supervision of the Supervisor / Co-Supervisor. It should have the following;
  - 37.4.1 Introduction
  - 37.4.2 Aims and objectives
  - 37.4.3 Review of literature
  - 37.4.4 Scope and plan of work
  - 37.4.5 Materials and methods
  - 37.4.6 Results and discussion
  - 37.4.7 Summary and conclusions
  - 37.4.8 Recommendations, if any
  - 37.4.9 Appendix
  - 37.4.10 Bibliography (Vancouver Style)
- 37.5 The number of pages of the **text matter** in the thesis should not exceed 250 pages.
- 37.6 Annexure, Charts, Graphs, Bibliography and Attached publications are not to be numbered along with the text.

### 38. SUBMISSION OF THESIS

- 38.1 The Ph.D. Program culminates with the submission of a thesis of a substantial work of original research carried out by the candidate under the guidance of the supervisor.

- 38.2 The candidate should have at least **two original research papers** published/accepted for publication (evidence to be submitted along with the thesis) in peer reviewed journals (**Scopus/Pubmed**) before submission of the thesis. The reprint/preprint or DOI should be included in the appendix of the thesis. The published paper must be in the area of research of the Ph.D. student. The Supervisor or Student must be the 1<sup>st</sup> author of the article. Ph.D. student must show his/her affiliation to BLDE (Deemed to be University) in the published paper.
- 38.3 The candidate shall submit **five (5) hard copies** of the thesis in a bound form and **(05) five copies** in the electronic form (read-only format) to the Examination section for evaluation by the examiners. Once the thesis gets approved the candidate shall incorporate all the corrections / suggestions, if any, and resubmit two copies of the final version of the thesis in bound form and three copies in the electronic form (CD read-only format). The supervisor and the Ph.D. committee shall certify that the corrections / suggestions (if any) were incorporated.
- 38.4 Thesis has to be submitted within six months **after the submission of synopsis**, the period that coincides with the expiry of period of the research prescribed.
- 38.5 If the Candidate fails to submit the thesis within six months from the date of submission of synopsis one extension of three months may be given with approval from the Honble Vice Chancellor after which the process gets cancel. Then, he/she shall submit the synopsis again based on the recommendations of the Ph.D. Committee. The Supervisor / Supervisor, with the approval of the Ph.D. Committee shall submit a fresh list of examiners.
- 38.6 One Hard bound copy and one Soft of the thesis shall be placed in the University Central Library (through COE), **after the award** of the Ph.D. degree.
- 38.7 Supplementary papers to the thesis, printed copies of any contributions to the knowledge of the subject or of any cognate branch of science that may have been published in journals or periodicals may also be included along with the thesis or given as bibliography.
- 38.8 The thesis shall ordinarily be submitted not later than six years from the date of registration. Every candidates shall submit with his/her thesis a certificate from the Supervisor under whom he / she worked, that the thesis submitted is a record of original research work done by the candidate during the period of study under his/her supervision and that the thesis has not previously formed the basis for the award to the candidate of any degree, diploma, Associate ship, Fellowship or other similar titles and that the thesis represents.

### **39. EXTENSION OF TIME FOR SUBMISSION OF SYNOPSIS / THESIS**

- 39.1 Extension of time beyond the prescribed period shall be considered and granted for genuine reasons, if adequately substantiated.
- 39.2 Applications for extension of time with adequate justifications should be signed and forwarded by the Supervisor and submitted to the university before the expiry of the period prescribed for the submission of the synopsis.



- 39.3 Extension of time shall be considered and granted for a period of 3 months to 5 months with penal fees.
- 39.4 Further extension of time shall be considered for a period of 1 year with the approval of the Vice Chancellor with the payment of penal fees as may be prescribed from time to time and as mentioned in Research Regulations 33.
- 39.5 Extension of time beyond 2 years is not permissible, except under special circumstances with the approval of Vice Chancellor.
- 39.6 The period of extension granted covers the period for the submission of the synopsis and the final thesis as per the regulations.

The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days (UGC Ph.D. Regulations 2016 clause 4.4.)

#### **40. Ph.D. ADJUDICATORS / EXAMINERS**

- 40.1 For the adjudication of Ph.D. thesis, a board of three examiners shall be appointed by the COE in consultation with the Vice Chancellor.
- 40.2 The Supervisor of the candidate shall normally be the Chairman of the Board of the Examiners, who shall also act as coordinator and internal examiner.
- 40.3 However, under extraordinary circumstances, the Academic council/Vice Chancellor may appoint a chairman other than Supervisor from the panel of Board of examiners as per the recommendation of COE.
- 40.4 The two external members (one from outside of state) of the Board of examiners, has to be chosen by the University from a panel of examiners forwarded by the Supervisor and recommended by the Ph.D. Committee, at least 1 month prior to the expected date of submission of the thesis.
- 40.5 The panel shall include examiners who on the basis of their published work are acknowledged as eminent scientist in field of study under taken by the candidate.
- 40.6 One of the external Examiners should be from outside the State and the other may be from the state.
- 40.7 It shall be up to the university to have one examiner from out side of the country.
- 40.8 The supervisor/Supervisor shall provide a certificate stating that all the examiners suggested are experts qualified to value the Thesis.
- 40.9 If the panel of six names for Ph.D. examiners is found to be inadequate, the University shall call for an additional panel of six names to be suggested by Supervisor/ supervisor. Under special circumstances, if the additional panel is also found to be inadequate, the Vice Chancellor shall have the privilege of appointing examiners in consultation with the experts of his choice in the concerned field.

- 40.10 Once Vice Chancellor selected the names of two external examiners from the panel of examiners submitted by Supervisor, the COE will first communicate with them for their acceptance to examine the Ph.D. thesis.
- 40.11 CoE also send final synopsis of the thesis and consent form by Email and or by Post to the examiners and ask them to go through it and comment on it while sending acceptance.

#### **41. ADJUDICATION OF THE THESIS**

**Entire process of Ph.D. Evaluation must be completed within six months (As per UGC Guidelines 2016) from the date of submission of final synopsis.**

- 41.1 The COE of the University shall ascertain from the external examiners their willingness to act as an examiner for a particular thesis a copy of the final synopsis shall be sent to the external examiners along with this communication.
- 41.2 The examiners (2 external and 1 internal i.e. Supervisor) after evaluation will report their comments in the “Ph.D., thesis reporting form”.
- 41.3 The Examiners have to submit their reports within 2 months from the date of receipt of the thesis.
- 41.4 The University shall send reminders to the examiners at the end of 45 days.
- 41.5 If no report or any communication is received by the University from an examiner even after 2 months, a copy of the thesis shall be sent to the fourth examiner selected by the Vice Chancellor from the panel submitted by the Supervisor at the time of submission of final synopsis.
- 41.6 If the thesis is approved, each examiner shall submit questions in a sealed cover if any, which need to be asked at the time of viva-voce.

#### **42. COMMENDED THESIS**

- 42.1 A total of three commended reports are absolutely essential.
- 42.2 The three commendations by the examiners may be in one instance or in subsequent instances, in case of resubmission of thesis.
- 42.3 A commended report by any examiner at any time will be considered at all times.
- 42.4 Definite recommendations as to:
- 42.4.1 The research work of the candidate as advanced the existing knowledge in the subject and there for the thesis can be accepted for the award of Ph.D degree in the present form.
- 42.4.2 The thesis can be accepted for the award of Ph.D degree after minor correction/revisions as suggested. (points on which revision is required as to be stated by the examiner/s).
- 42.4.3 The thesis should be revised and resubmitted for evaluation. (revision required should be stated clearly by the examiner).

42.4.4 The research work has failed to achieve the desired standard and hence the thesis is rejected. (precise reason for such rejection must be stated clearly by the examiner).

**42.5 Important Note:**

42.5.1 If any two of the Examiners give definite recommendations against the award of the degree, the thesis shall be rejected. If one of the examiners gives a definite recommendation against the award of the degree the thesis may be referred to another external examiner from the panel recommended by the Supervisor & Ph.D Committee. If the report of the third examiner is favorable, then the candidate shall be eligible to appear for the Viva-Voce examination and if it is not favorable, then the thesis shall be rejected.

42.5.2 If one of the examiners makes qualified recommendations with valid reasons (for eg, to resubmit the thesis after suitable modifications) the COE who will in turn intimate the candidate for resubmitting the thesis after suitable modifications within six months from the date of the communication. The resubmitted thesis will be again sent to the same examiner and the reports received thereon by the Chairman shall be communicated to the COE.

**43. REJECTED THESIS**

- 43.1 If the thesis is not commended by the three examiners, then the thesis is deemed as rejected.
- 43.2 The candidate can resubmit the thesis for the second time within six months to a maximum of one year by carrying out additional research work or modify the original thesis with suitable corrections and make new presentations which may enhance the quality of the thesis.
- 43.3 The resubmission of the thesis should be accompanied by the payment of the stipulated fees as decided by the University from time to time and as mentioned in Regulation 33.
- 43.4 The candidate shall not be permitted at any time to make more than two submissions of the thesis.

**44. PUBLIC VIVA VOCE EXAMINATION**

- 44.1 A candidate whose thesis is commended by three examiners shall submit himself/herself for a Public Viva Voce Examination.
- 44.2 The Public Viva Voce examination shall be conducted by a Board of Examiners consisting of:
  - 44.2.1 Research Supervisor / Chairperson
  - 44.2.2 Co-Supervisor from each teaching cadre with the following clause:
    - Professor - 5 Publications
    - Associate Professor-2 Publications &
    - Assistant Professor-2 Publications



- 44.2.3 At least one of the external examiners shall be present at the time of Viva Voce examination. Normally the external examiners from the India who assessed thesis of the candidate will act as the external examiner at the time of viva voce examination.
- 44.3 The Public Viva Voce Examination is open to all faculty / non faculty members in the field of research work done by the candidate. The Public Viva Voce Examination will be conducted in the institution, where the candidate conducted the research work.
- 44.4 All clarifications/questions/suggestions made by the examiners who have evaluated the thesis shall be addressed by the panel of examiners to the candidate to answer and defend his/her thesis.
- 44.5 The Board of examiners of the Public Viva Voce Examination shall report their comments and sign the “Public Viva Voce Examination Reporting Form” provided by CoE, BLDE (Deemed to be University).
- 44.6 Members of the Board of Examiners for the Viva-Voce examination shall report specifically on whether the candidate’s performance in the examination is satisfactory and therefore be considered for the award of Ph.D degree or not.
- 44.7 A successful report of the Public Viva Voce examination is essential for the final approval of the thesis.
- 44.8 A candidate who is not successful in the Public Viva Voce Examination shall be permitted to reappear for a similar examination for a second time within a period of 3 months.
- 44.9 A candidate shall not ordinarily be permitted to take the Public Viva Voce Examination, on more than two occasions.

**Note:** The Viva-Voce examination is primarily designed to test the understanding by the candidate of the subject matter of the thesis including methodology employed and his/her competence in the general field of study. Since the points raised by the examiners of the thesis are to be clarified during the Viva-Voce examination, the report of the examiners who adjudicated the thesis shall be made available to the Board of Examiners for Viva-Voce examination. The candidate shall produce all the relevant documents, materials, preparations, etc., connected with the research project during Viva-Voce examination.

#### **45. DECLARATION OF THE FINAL RESULT**

- 45.1 The Controller of Examinations shall place before the Vice Chancellor the consolidated report received from the Board of Examiners along with the evaluation commended reports of the three examiners and the Public Viva Voce Examination report for approval and declaration of the results.
- 45.2 The successful candidate shall be notified and intimate by the COE, BLDE (Deemed to be University) once Vice Chancellor approves the report of Ph.D. Public Viva Voce Examination.



#### **46. ISSUE OF PROVISIONAL / DEGREE CERTIFICATE**

A candidate who has successfully passed the Public Viva Voce Examination and declared/notified to have qualified for the Ph.D., degree, shall be issued Ph.D., provisional/degree certificate duly mentioning the branch/field of research / specialization (separately for Allied Health Sciences) and the Supervisor and co-Supervisor, if any, and the institution(s) where the candidate has carried out his / her research work.

#### **47. PUBLICATION OF THESIS**

The University encourages the student to publish any part of the thesis at any time during the course of his/her research work. In case the research work is done in the departments of this University, prior permission must be obtained from the Vice Chancellor of this University. The copyright for the research shall be jointly owned by the student and the University. The raw data may also be handed over to the University if the student is unable to publish it. The University reserves the right to publish all or part of the data, or a consolidated report from the thesis with due acknowledgements to the student and the Research Supervisor.

#### **48. DISCREPANCIES, CONFLICT OF INTEREST AND DISCIPLINARY ACTIONS**

The University, with the approval of the Board of Management Council will consider individual cases with special reference to discrepancies of any nature, conflict of interest and to initiate appropriate administrative actions against the candidate, Supervisor and the co-Supervisor.

#### **49. THE ACT OF PLAGIARISM**

While submitting for evaluation, the dissertation / thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is less plagiarism and that work has not been submitted for the award of any other degree / diploma of the same Institution where the work was carried out, or to any other Institution.

All the Ph.D. scholars before submitting their Ph.D. thesis to the university should submit the soft copy of the thesis work in a CD for plagiarism check to the office of the Registrar, in the following prescribed format:

- a. It should be in a single PDF and not in a chapter-wise format.
- b. The contents of  $\leq 20$  MB, it should be in a single file and if more than 20 MB it should be in two files.
- c. It should exclude index, certificates, references, bibliography and publications.
- d. The CD should be labeled with the name of research scholar, registration number, title of the research work and should be signed by the research scholar and research guide.
- e. The prescribed fees in the form of demand draft should be submitted along with CD of thesis.

In case it is found and confirmed by the Ph.D. Committee that a research scholar has copied any



part of his/her Ph.D. thesis, the action will be taken as per UGC regulations on Plagiarism and the thesis shall be rejected and his/her registration number shall be terminated and also he/she will be permanently debarred from any further registration under this University.

### **Penalties:**

As Per UGC (Promotion of Academic Integrity & Prevention of Plagiarism in Higher Educational Institution Regulations, 23rd July, 2018, CL12.1).

Penalties in the cases of plagiarism shall be imposed on students pursuing studies at the level of Masters and Research programs and on researcher, faculty & staff of the HEI only after academic misconduct on the part of the individual has been established without doubt, when all avenues of appeal have been exhausted and individual in question has been provided enough opportunity to defend himself or herself in a fair or transparent manner.

**Penalties in case of plagiarism in submission of thesis and dissertations:** Institutional Academic Integrity Panel (IAIP) shall impose penalty considering the severity of the Plagiarism.

- i. Level 0: Similarities upto 10% -** Minor Similarities, no penalty.
- ii. Level 1: Similarities above 10% to 40% -** Such student shall be asked to submit a revised script within a stipulated time period not exceeding 6 months.
- iii. Level 2: Similarities above 40% to 60% -** Such student shall be debarred from submitting a revised script for a period of one year.
- iv. Level 3: Similarities above 60% -** Such student registration for that programme shall be cancelled.

**Note 1: Penalty on repeated plagiarism-** Such student shall be punished for the plagiarism of one level higher than the previous level committed by him/her. In case where plagiarism of highest level is committed then the punishment for the same shall be operative.

**Note 2: Penalty in case where the degree/credit has already been obtained -** If plagiarism is proved on a date later than the date of award of degree or credit as the case may be then his/her degree or credit shall be put in abeyance for a period recommended by the IAIP and approved by the Head of the Institution.

## **50. MODEL CERTIFICATE**

The Ph.D., Degree Certificate shall have the Faculty and the Branch specified. In case of Allied Health Sciences branch will not be mentioned only the title of the thesis will be mentioned.

## **51. CHANGE OF REGULATIONS**

Academic Council of BLDE (Deemed to be University) may revise, amend or change the regulation from time to time as per the recommendation of Ph.D. Committee.

# **Ph.D. Programme**

## **FORMATS**

# Application Form



**BLDE**

**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

**SHRI. B. M. PATIL MEDICAL COLLEGE, HOSPITAL AND RESEARCH CENTRE**

Smt. Bangaramma Sajjan Campus, B. M. Patil Road (Sholapur Road), VIJAYAPURA-586103. Karnataka, India.  
Phone: 08352-262770 (Ext-2329,2330), Fax: 08352-263303, website: www.bldeuniversity.ac.in, e-mail: office@bldeuniversity.ac.in

Application Form for Registration for  
Ph.D. Programme in the Faculty of \_\_\_\_\_

Recent  
Photograph  
taken within 6  
months

1	Name of the Applicant					
2	Permanent Address in Full  (Telephone Number and e-mail ID if any)					
3	Address for Correspondence  (Telephone Number and e-mail ID if any)					
4	Category - SC, ST, OBC Others					
5	Gender					
6	Nationality					
7	Date of Birth					
8	Details about Post Graduate Degree (3 Years / M.D /M.Sc.)					
Sl. No.	Degree	Name of the College/ University	Year of Passing	Marks		
				Maximum	Obtained	Percentage

Page 1 of 2

9	D.D. No., Date and Name of the Bank	
10	Are you an employee of this Institute? If so furnish the details.	
11	Whether all documents listed in annexure is enclosed or not.	

I hereby declare that all statements made in this application are true, complete and correct to the best of my knowledge and belief. I understand that in the event of any information being found false or incorrect my candidature for Ph.D. Programme is liable to be cancelled by the Institution.

Date:

Place:

Signature of the candidate

**Please Note:** List of enclosure to accompany the application form (Certified Photo Copies)

1. Recent passport size photographs (Three)
2. 10<sup>th</sup> Class Marks Card (Date of Birth proof document)
3. UG & PG Examination Marks Cards
4. UG & PG Degree Certificate
5. Passing Certificate
6. NOC from concerned Authorities/Employer's
7. Migration Certificate
8. Transfer Certificate
9. Caste Certificate
10. Demand Draft



Page 2 of 2

# Provisional Registration Application Form:



**BLDE**  
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The Constituent College

SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL AND RESEARCH CENTRE, VIJAYAPURA



## Ph. D. Provisional Registration Application Form

Ph. D. Programme In: .....

Academic Year: .....

Affix colour  
Photograph of  
Candidate

1. Name in Full (Block Letters): .....  
(As per 10th Marks card)
2. Contact No. (Mobile No.): ..... E- Mail ID: .....
3. Father's Name / Husband's / Name (In Block Letters): .....
4. Mother's Name (In Block Letters): .....
5. Date of Birth: ..... Age: .....
6. Nationality: ..... Religion: .....
7. Whether belonging to SC/ST/OBC/Others: .....  
(Certified copy of caste certificate should be enclosed)
8. Gender (Tick): Male ( ) Female ( )
9. Marital Status( Tick) : Married ( ) Unmarried ( )
10. Whether employed presently: .....  
(Give details of the employer) .....
11. Preferred mode of admission : Full time / Part-time
12. Permanent Address: .....  
.....
13. Correspondence Address: .....  
.....
14. Academic Qualification: (Starting from SSLC Onwards)

SL. NO.	Examination Passed	Name of Board/ Institution /University	Passing Year	Marks Obtained	% of Marks

15. Title of proposed Research Work: .....

### 16. Declaration by the Candidate:

I declare that the information given above is true and complete to the best of my knowledge & belief. I am aware that if any of it is found to be incorrect my admission shall stand cancelled and I shall be liable to such disciplinary action as may be decided by the University. The decision of the University shall be final.

Place: .....

Name: .....

Date: .....

Signature of the student: .....

**A. Recognized Guides Consent Certificate**

I, ....., working as.....  
in the Department of .....At.....  
.....  
and a recognized guide of BLDE (DU), hereby certify that I shall abide by the rules and regulations of the University and give my consent to officiate and carry out all the duties of a guide for.....  
..... a candidate who is applying for Provisional Registration for the Ph.D. Programme.

Date: \_\_\_\_\_ Name: \_\_\_\_\_  
Place: \_\_\_\_\_ Seal & Signature: \_\_\_\_\_

**B. No objection certificate from the Head of the Department**

Consent is hereby given to..... to carry out Research Work in the Department of .....It is a recognized department for Ph.D., Research work in BLDE (DU). The Department agrees to offer all necessary facilities for carrying out the Research Work for the above mentioned candidate. There is no objection for the above mentioned candidate to do the research work in this department.

Date: \_\_\_\_\_ Name: \_\_\_\_\_  
Place: \_\_\_\_\_ Seal & Signature: \_\_\_\_\_

**C. No objection certificate from the Head of the Institution**

Consent is hereby given to .....  
.....  
to carry out Research Work in this Institution which is a constituent College of BLDE (Deemed to be University).  
Name of the Institution.....  
.....  
There is no objection for the above mentioned candidate to do the research work in the selected department of this institution.

Date: \_\_\_\_\_ Name: \_\_\_\_\_  
Place: \_\_\_\_\_ Seal & Signature: \_\_\_\_\_

**Enclosure check list (Tick, whichever is applicable)**

- |   |                          |
|---|--------------------------|
| 1. Date of Birth Certificate  | <input type="checkbox"/> |
| 2. Two passport size photographs  | <input type="checkbox"/> |
| 3. Attested certificate and Mark sheet of class SSLC, PUC, Graduation and Post Graduation etc., | <input type="checkbox"/> |
| 4. No Obejction Certificate (NOC)   | <input type="checkbox"/> |
| 5. Migration and Transfer Certificate   | <input type="checkbox"/> |
| 6. Caste certificate (If applicable)  | <input type="checkbox"/> |

**FOR OFFICE USE ONLY**

The Applicant is registered to the \_\_\_\_\_ course during the Academic Year / Calendar Year \_\_\_\_\_. He / she has paid Registration / Tuition /College/Other Fees Rs \_\_\_\_\_

D.D. Number/By Cash	Date	Amount	Bank & Branch
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Assistant

AR / DR

Registrar



### Preliminary Synopsis Format:



BLDE  
(DEEMED TO BE UNIVERSITY)

**PROFORMA FOR REGISTRATION OF TOPIC FOR Ph.D. THESIS**  
(Preliminary Synopsis)

1.	Name of the Research Scholar and Address (in block letters)	
	Phone Number: E-mail ID:	
2.	Ph.D. in Subject / Specialization	
3.	a. Name of the Institution where the research is going to be carried b. University TAT Number: c. Category Part Time / Full Time	
4.	The subject in which the Research Scholar has qualified for Master's Degree	
5.	Title of the Research Topic ..... .....	
6.	Brief resume of the intended Research work. Introduction, Review of Literature, Need of the study, Objectives of the study, Research Methodology, Source of Data, Methods of collection of data, List of References (Bibliography), Questionnaire or proforma for collection of sample.	
7.	Name of Approved Guide (Supervisor): ..... Correspondence Address of Guide: ..... ..... City ..... State ..... Pin code: ..... Mobile Number: ..... Landline Number: ..... Email ID: .....	
	Signature of the Candidate	Signature of Guide (Supervisor)



## Synopsis Submission:



### BLDE (DEEMED TO BE UNIVERSITY)

#### **Proforma for Submission of Synopsis for Doctor of Philosophy (Ph.D.)**

1.	NAME OF THE STUDENT	
2.	PROVISIONAL REGISTRATION NUMBER	
3.	ADDRESS, E-MAIL ID & CELL NO.	
4.	DEPARTMENT & YEAR	
5.	CATEGORY	
6.	TITLE OF THE RESEARCH TOPIC	
7.	DETAILS OF SYNOPSIS	
	7.1 INTRODUCTION	ANNEXURE-I
	7.2 REVIEW OF LITERATURE	ANNEXURE-II
	7.3 NEED OF THE STUDY	ANNEXURE-III
	7.4 OBJECTIVES OF THE STUDY	ANNEXURE-IV
	7.5 RESEARCH METHODOLOGY	ANNEXURE-V
	7.6 SOURCE OF DATA	ANNEXURE-VI
	7.7 METHODS OF COLLECTION OF DATA	ANNEXURE-VII



## Six Monthly Progress Report:



**BLDE**  
**(DEEMED TO BE UNIVERSITY)**  
**SIX MONTHLY PROGRESS REPORT**  
**(For Ph.D. Scholars)**

1.	Name of the Scholar	
2.	Title of Thesis	
3.	Department	
4.	Category (Full time/Part time)	
5.	Registration Number	
6.	Progress Report No.	
7.	Postal Address	
8.	E-mail Address	
9.	Telephone No.	
	Cell	
	Home	
	Office	
	Fax No.	
10.	Name of the Guide	
11.	Name of the Co-Guide	

**1. Description of the study**

*[Briefly state the purpose and objectives of your study.]*

**2. Progress of study (from \_\_\_\_\_ to \_\_\_\_\_)**

*[Say, in maximum two paragraphs, what you have achieved so far.]*

**3. Problems encountered**

*[This may involve difficulties gaining access to respondents or data sources, financial difficulties, illness, etc. If you think you may need an extension, note this here.]*

**4. Details of Research activities**

a) Research article prepared/ submitted for publication or published, if any. If yes, please provide details.

b) Paper Presented / Conference / Workshop / CME attended, if so, please provide the date, name of the conference, and location. Attach abstract of paper/ ppt /poster.

**5. Did you do any teaching in last 6 months, if yes, provide details (No. of classes, UG/PG/OTHERS, topics covered)**

UG/PG/Guest Lectures Specify	No. of Classes Conducted	Topics Covered



**6. Did you get any Fund / Grant /Fellowship for your Research / fellowship etc, if so give the details**

**7. Goals for next Six Months:**

**8. Remarks of the Guide:**

**9. Remarks of the Co-guide:**

**10. Date of submission of the report:**

This progress report has to be submitted through proper channel to the Registrar.

**Signature of the Student**

**Signature of the Co-Guide**

**Signature of the Guide**

**Professor & HOD**

**Dean, R&D**

**Principal**



## Final Synopsis Submission:



### BLDE (DEEMED TO BE UNIVERSITY)

Proforma for Submission of Final Synopsis for Doctor of Philosophy (PhD)

1.	NAME OF THE STUDENT	
2.	PROVISIONAL REGISTRATION NUMBER & YEAR	
3.	ADDRESS, E-MAIL ID & CELL NO.	
4.	DEPARTMENT	
5.	CATEGORY	
6.	TITLE OF THE RESEARCH TOPIC	
7.	DATE OF SUBMISSION OF 6 <sup>TH</sup> HALF-YEARLY REPORT	
8.	DATE OF 2 <sup>ND</sup> OPEN HOUSE/PUBLIC SEMINAR	
9.	LIST OF PUBLICATIONS RELATED TO PhD THESIS	

10.	DETAILS OF FINAL SYNOPSIS	
	10.1 BACKGROUND (250 Words)	ANNEXURE-I
	10.2 OBJECTIVES (50-100 words)	ANNEXURE-II
	10.3 HYPOTHESIS (50-100 words)	ANNEXURE-III
	10.4 METHODS (500-1000 words)	ANNEXURE-IV
	10.5 RESULTS	ANNEXURE-V
	10.6 ANALYSIS/INTERPRETATION OF DATA (500-1000 words)	ANNEXURE-VI
	10.7 SUMMARY (250 words)	ANNEXURE-VII
	10.8 LIST OF REFERENCES	ANNEXURE-VIII
	(Not more than 25)	
11.	NAME AND DESIGNATION OF THE GUIDE	
	SIGNATURE OF THE GUIDE	
12.	NAME AND DESIGNATION OF CO-GUIDE	
	SIGNATURE OF THE CO-GUIDE	
13.	NAME OF HOD	
	SIGNATURE OF THE HOD	
14.	NAME OF THE HEAD OF INSTITUTION	
	SIGNATURE	
		SIGNATURE OF THE STUDENT

## Joint Report:



### BLDE (DEEMED TO BE UNIVERSITY)

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL & RESEARCH CENTRE, VIJAYAPURA

#### Ph.D. Committee

#### **Joint Report of (Ph.D.) Second Open House / Public Seminar**

Name of the Candidate	
Department	
Ph.D. Registration Number & Year	
Title of the Ph.D. Thesis	
Remarks of the Ph.D. guide with signature	
Remarks of HoD with signature	
Remarks of the Chairperson Ph.D. Committee with signature	
Final Remarks	RECOMMENDED / NOT RECOMMENDED For Synopsis submission & Thesis Submission

Signature  
Chairperson, Ph.D. Committee

Smt. Bangaramma Sajjan Campus, B. M. Patil Road (Sholapur Road), Vijayapura - 586103, Karnataka, India.

BLDE (DU): Phone: +918352-262770, Fax: +918352-263303, Website: [www.bldedu.ac.in](http://www.bldedu.ac.in), E-mail: [office@bldedu.ac.in](mailto:office@bldedu.ac.in)

College: Phone: +918352-262770, Fax: +918352-263019, E-mail: [bmppmc.principal@bldedu.ac.in](mailto:bmppmc.principal@bldedu.ac.in)

## Thesis Submission Format:

### i. Cover/Title page of the Ph.D. Thesis

<p style="text-align: center;"><b>TITLE OF THE THESIS</b> &lt;Font Times New Roman&gt;&lt;Font Size 18&gt;&lt; 1.0 Line spacing &gt;</p> <p style="text-align: center;"></p> <p style="text-align: center;"><b>A Dissertation Submitted for the Award of the Degree of Doctor of Philosophy (PhD)</b> &lt;Font Times New Roman&gt;&lt;font size 16&gt; &lt;Bold&gt;&lt; 1.0 Line spacing &gt;</p> <p style="text-align: center;"><b>By</b></p> <p style="text-align: center;"><b>(Name of the Candidate)</b> &lt;Font Times New Roman&gt;&lt;font size 16&gt; &lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>(Register number of the Candidate)</b> &lt;Font Times New Roman&gt;&lt;font size 14&gt; &lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b><u>(Name of the Department)</u></b> &lt;Font Times New Roman&gt;&lt;font size 16&gt; &lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>Under the guidance of</b> &lt;font size 14&gt;&lt;Bold&gt;</p> <p style="text-align: center;"><b><u>(Name of the Guide)</u></b> &lt;Font Times New Roman&gt;&lt;font size 16&gt;&lt;Bold&gt; &lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>BLDE</b> &lt;Font Times New Roman&gt;&lt;font size 24&gt; &lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>(DEEMED TO BE UNIVERSITY)</b> &lt;Font Times New Roman&gt;&lt;font size 16&gt; &lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>Smt. Bangaramma Sajjan Campus, B. M. Patil Road (Sholapur Road), Vijayapura - 586103, Karnataka, India.</b> &lt;Size 14&gt;&lt;Bold&gt;&lt;1.0 line spacing&gt;</p> <p style="text-align: center;"><b>(Month and Year)</b> &lt;Size 16&gt;&lt;Bold&gt;</p>
--



ii. **Printing on the spine of the thesis**

<p><b>Ph.D. Thesis</b></p> <p><b>Registration No.</b> <b>(Name of the Candidate)</b></p> <p><b>(Title of the Thesis)</b></p> <p><b>(Month-Year)</b></p>
---



### iii. Declaration by the Candidate



## **BLDE (DEEMED TO BE UNIVERSITY)**

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The Constituent College

**SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL & RESEARCH CENTRE,  
VIJAYAPURA-586 103**

### **DECLARATION BY THE CANDIDATE**

I declare that the thesis entitled “\_\_\_\_\_” submitted by me for the degree of \_\_\_\_\_ Doctor of Philosophy (PhD) is the record of work carried out by me under the guidance of \_\_\_\_\_ and has not formed the basis for the award of any Degree, Diploma, Associateship, Fellowship, Titles in this University or any other University or other similar institution of Higher Learning.

**Signature of the Candidate**

**Date:**

**Place:**

**iv. Certificate from the Guide and (Co-Guide, If any)**



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(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

**SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL & RESEARCH CENTRE,  
VIJAYAPURA-586 103**

**CERTIFICATE FROM THE GUIDE and (COGUIDE, IF ANY)**

I/We certify that the thesis entitled “ \_\_\_\_\_  
\_\_\_\_\_ ” submitted for the degree of Doctor of Philosophy (PhD) by  
Dr./Mr./Ms./Mrs. \_\_\_\_\_ is the record of research work carried out by  
him/her under my/our guidance and supervision, and that this work has not formed the basis for the award of  
any Degree, Diploma, Associateship, Fellowship or other Titles in this University or any other University or  
institution of Higher Learning.

**Signature of the Co-Guide  
with designation**

**Signature of the Guide with  
with designation**

**Date:**

**Place:**

**v. Certificate from the Head of the Institution and Department**



**BLDE  
(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

**SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL & RESEARCH CENTRE,  
VIJAYAPURA-586 103**

**CERTIFICATE FROM THE HEAD OF THE INSTITUTION AND DEPARTMENT**

I certify that the thesis entitled \_\_\_\_\_  
\_\_\_\_\_” submitted for the degree of Doctor of Philosophy (PhD) is  
the record of research work carried out by Dr./Mr./Ms./Mrs. \_\_\_\_\_ under the  
guidance and supervision of \_\_\_\_\_ in partial fulfillment of for the  
award of Doctor of Philosophy in the faculty of \_\_\_\_\_ and that this work was  
carried out by him in the department of \_\_\_\_\_.

**Signature of the HOD**

**Signature of the Principal**

**Date:**

**Place:**

## vi. Plagiarism Verification Certificate



### **BLDE (DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

The Constituent College

**SHRI B. M. PATIL MEDICAL COLLEGE, HOSPITAL & RESEARCH CENTRE,  
VIJAYAPURA-586 103**

#### **PLAGIARISM VERIFICATION CERTIFICATE**

1. Name of Student: \_\_\_\_\_ Reg. No \_\_\_\_\_
2. Title of the Thesis: \_\_\_\_\_
3. Department: \_\_\_\_\_
4. Name of Guide & Designation: \_\_\_\_\_
5. Name of Co Guide & Designation: \_\_\_\_\_

The above thesis was verified for similarity detection. The report is as follows:

Software used \_\_\_\_\_ Date: \_\_\_\_\_  
Similarity Index (%): \_\_\_\_\_ Total word count : \_\_\_\_\_

The report is attached for the review by the Student and Guide.

The plagiarism report of the above thesis has been reviewed by the undersigned. The similarity index is below accepted norms.

The similarity index is above accepted norms, because of the following reasons:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The thesis may be considered for submission to the University. The software report is attached.

Signature of Guide  
Name & Designation

Signature of Co-Guide  
Name & Designation

Signature of Student

Verified by (Signature)  
Name & Designation

*(Handwritten signature)*

- vii. Scientific Paper Presentations in Conference/Seminar**
- viii. Paper Publications in Indexed referred Journals**

## Ph.D. Guidship Format:



**BLDE**

**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

### **APPLICATION FOR RECOGNITION AS A GUIDE FOR Ph.D., RESEARCH PROGRAMME**

*Note : Separate/Additional Papers may be added accordingly.*

- 1 Name of the Applicant : \_\_\_\_\_
- 2 Date of Birth & Age : \_\_\_\_\_
- 3 Qualification with year of Passing : \_\_\_\_\_
- 4 Field of Specialization : \_\_\_\_\_
- 5 Present Designation : \_\_\_\_\_
- 6 Date of joining the service : \_\_\_\_\_
- 7 Date of Superannuation : \_\_\_\_\_
- 8 Official address with Telephone/Fax : \_\_\_\_\_  
Department e-mail : \_\_\_\_\_
- 9 Residential address with Telephone : \_\_\_\_\_  
Fax / Personal E-mail : \_\_\_\_\_
- 10 Date of award of the Ph.D. : \_\_\_\_\_  
Degree and the awarding University : \_\_\_\_\_
- 11 Title of thesis : \_\_\_\_\_
- 12 If already guide of any University, : \_\_\_\_\_  
attach copy of order of recognition : \_\_\_\_\_
- 13 Teaching posts held till date : \_\_\_\_\_
- 14 Teaching experience : \_\_\_\_\_  
Under Graduate Post Graduate : \_\_\_\_\_
- 15 Academic achievements : \_\_\_\_\_  
(Prizes & Medals etc..) : \_\_\_\_\_

Page 1 of 2

- 16 Research Publications : \_\_\_\_\_
- i. Accredited / index journals : \_\_\_\_\_
- ii. Before qualifying for Ph.D. : \_\_\_\_\_
- iii. After Ph.D., qualification : \_\_\_\_\_
- 17 Papers & Posters / Presentation at : \_\_\_\_\_
- National / International Conferences : \_\_\_\_\_
- 18 Author of textbooks / chapters in : \_\_\_\_\_
- Textbooks : \_\_\_\_\_
- 19 Membership of scientific bodies : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 20 Fellowship : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 21 Research Experience : \_\_\_\_\_
- i. M.Phil., : \_\_\_\_\_
- ii. Postdoctoral : \_\_\_\_\_
- 22 Whether research conducted in : \_\_\_\_\_
- Interdisciplinary area if so what are : \_\_\_\_\_
- the fields involved : \_\_\_\_\_
- 23 Enclosures: : \_\_\_\_\_
- i. Service particulars
- ii. Copies of academic qualifications
- iii. Teaching appointment particulars
- iv. Guide recognition of other Universities
- v. Publications
- vi. Presentations
- vii. Membership
- viii. Fellowship
- ix. Research programme details
- x. Prizes/Citations

Signature of Candidate

Signature of Head of the Institution

Page 2 of 2

## Ph.D. Co-Guidship Format:



**BLDE**

**(DEEMED TO BE UNIVERSITY)**

Declared as Deemed to be University u/s 3 of UGC Act, 1956

### **APPLICATION FOR RECOGNITION AS A GUIDE FOR Ph.D., RESEARCH PROGRAMME**

*Note : Separate/Additional Papers may be added accordingly.*

1	Name of the Applicant	:	_____
2	Date of Birth & Age	:	_____
3	Qualification with year of Passing	:	_____
4	Field of Specialization	:	_____
5	Present Designation	:	_____
6	Date of joining the service	:	_____
7	Date of Superannuation	:	_____
8	Official address with Telephone/Fax	:	_____
	Department e-mail	:	_____
9	Residential address with Telephone	:	_____
	Fax / Personal E-mail	:	_____
10	Date of award of the Ph.D.	:	_____
	Degree and the awarding University	:	_____
11	Title of thesis	:	_____
12	If already guide of any University,	:	_____
	attach copy of order of recognition	:	_____
13	Teaching posts held till date	:	_____
14	Teaching experience	:	_____
	Under Graduate Post Graduate	:	_____
15	Academic achievements	:	_____
	(Prizes & Medals etc..)	:	_____

Page 1 of 2

- 16 Research Publications : \_\_\_\_\_
- i. Accredited / index journals : \_\_\_\_\_
- ii. Before qualifying for Ph.D. : \_\_\_\_\_
- iii. After Ph.D., qualification : \_\_\_\_\_
- 17 Papers & Posters / Presentation at : \_\_\_\_\_
- National / International Conferences : \_\_\_\_\_
- 18 Author of textbooks / chapters in : \_\_\_\_\_
- Textbooks : \_\_\_\_\_
- 19 Membership of scientific bodies : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 20 Fellowship : \_\_\_\_\_
- i. National : \_\_\_\_\_
- ii. International : \_\_\_\_\_
- 21 Research Experience : \_\_\_\_\_
- i. M.Phil., : \_\_\_\_\_
- ii. Postdoctoral : \_\_\_\_\_
- 22 Whether research conducted in : \_\_\_\_\_
- Interdisciplinary area if so what are : \_\_\_\_\_
- the fields involved : \_\_\_\_\_
- 23 Enclosures: : \_\_\_\_\_
- i. Service particulars
- ii. Copies of academic qualifications
- iii. Teaching appointment particulars
- iv. Guide recognition of other Universities
- v. Publications
- vi. Presentations
- vii. Membership
- viii. Fellowship
- ix. Research programme details
- x. Prizes/Citations

Signature of Candidate

Signature of Head of the Institution

Page 2 of 2

  
**REGISTRAR**  
**BLDE (Deemed to be University)**  
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