



BLDE (DEEMED TO BE UNIVERSITY)

RESEARCH POLICY

1. Preamble

Researchers enjoy important freedoms and privileges, which include freedom of inquiry and the right to disseminate the results thereof, freedom to challenge conventional thought, freedom from institutional censorship, and the privilege of conducting research with human participants, with public monies, trust, and support. Along with these freedoms there are the responsibilities to ensure that research meets high scientific and ethical standards, is honest and thoughtful inquiry, involves rigorous analysis, and the application of professional standards.

2. Purpose

2.1 Definitions: For the Purpose of this policy.

2.2 Agency: "Agency" means the funding agency, foundation, organization, International, National supporting in whole or in part any Research which or which has oversight of any Research.

2.3 "Agreements" includes all International project agreements, licensing agreements, research agreements, research contracts, research grants agreements, clinical trial agreements, stakeholders agreements, partnership program agreements, collaborative research development agreements, inter-institutional research agreements and industrial research .

2.4 "PI" means principal investigator of the research project and includes of all such units within which a researcher undertakes research.

2.5 "Data" includes all information or records of any sort related to the application for performance of data obtained from

2.6 "Dean" includes the University deans of all faculties within which a researcher undertakes research.

2.7 "Plagiarism" means the appropriation of another person's ideas, processes, results or words without giving appropriate credit.

2.8 "Regularity framework" includes federal, provincial and municipal laws, the regulations, policies and guidelines of the University and of agencies relating to the conduct of research.

2.9 "Research" includes all forms of funded and unfunded scholarly, scientific and related activities based on intellectual investigation aimed at discovering, interpreting, revising, disseminating or publishing knowledge, whether conducted on campus or off campus.

2.10 "Research misconduct" includes, but is not limited to the definitions of the funding agencies for such misconduct, for example: fabrication, falsification, unlawful destruction of research records, plagiarism, redundant publication, invalid authorship, mismanagement of

conflict of interest or any other conduct that constitutes a significant departure from the ethical and other standards that are commonly accepted within the relevant research community for proposing, performing, reporting or reviewing research or treating human and animal research subjects, but does not include honest errors or differences of interpretation or judgment relating to data.

- 2.11 “Researcher” means, BLDE (Deemed to be University) faculty members, staff, student, adjunct scholar and fellow, paid and unpaid research associates and assistants and any person in a position who conducts research.

3. SCOPE:

This policy applicable to all research conducted at or under the auspices of the BLDE (Deemed to be University).

4. ROLES AND RESPONSIBILITIES:

4.1 The responsibility for the conduct of research shall -

1. Maintaining highest standards of honesty, integrity and ethical behavior in all research.
2. Not misrepresent academic, professional or employment credentials or experience.
3. Obtain all necessary approvals including, but not limited to, specific licenses and permits, ethics approvals, protocol and standard operating procedures approvals before embarking on any research and as may be necessary while engaged in research.
4. Keep complete and accurate records of data, methodologies and findings, including graphs and images in accordance with the applicable funding agreements, institutional policies and laws, regulations and disciplinary standards in a manner that will allow verification.
5. Include as authors, with their consent, all those and only those who have materially contributed to and share responsibility for the contents of the publication, disciplinary norms.

4.2 Subject to any pre-existing, multi-centered project constraints, in the case of collaborative or team research, the principal investigator shall take reasonable measures to ensure that the members of the research group or team to whom this policy applies are aware of and comply with this policy and the Regulatory Framework relevant to the research being undertaken.

4.3 In the case of research conducted by a student for academic credit or a postdoctoral fellow, the student's or postdoctoral fellow's official supervisor shall take reasonable measures to: (i) inform the student or postdoctoral fellow of his or her obligations in respect of academic integrity.

4.4 ensure that the student or postdoctoral fellow receives a copy of all project related documents which he or she has been asked to sign and a copy of all modifications or revisions to such documents;

4.5 ensure that the student or postdoctoral fellow is aware of the Regulatory Framework relevant to his or her particular research;

4.6 disclose to the student or postdoctoral fellow any special conditions concerning such matters as constraints on publication, limitations on future use of data, and ownership of intellectual property that may influence a student's or postdoctoral fellow's decision to participate in the research.

4.7 A researcher shall ensure that publications do not misrepresent data or images and that the nature and purpose of any image manipulation is explained.

4.8 Results of all research undertaken in the university shall be fully publishable at the discretion of the principal investigator, subject only to qualifications listed in these procedures.

4.9 A researcher who is a student or postdoctoral fellow shall not submit a manuscript for publication or release any data to any person without having first advised his or her collaborators of his or her intentions and, where appropriate, obtained the supervisor's approval.

4.10. A researcher who engages in private research activities for persons external to the university shall comply with the university policy governing conflicts of interest, and conflicts of commitment and consulting activities.

5. RESEARCH DATA

5.1 A researcher shall collect data concerning human and animal subjects in accordance with the Regulatory Framework governing the use of such subjects, and shall organize his or her data in a manner that accurately reflects, and allows for verification by third parties.

5.2 A researcher shall respect the laws governing access to personal information and privacy in his or her collection and use of data.

5.3 In some circumstances, a researcher may engage in research in which access to certain kinds of data, in the custody of a government or other person, is restricted in order to protect the privacy of persons. Subject to Articles 4.3.1 and 10, this is permissible provided that any delay in publication does not exceed one year. Further delays would be subject to written approval of the Vice-President (Research and International).

5.4 A request by a third party for a delay in publication of research undertaken by a graduate student for his or her thesis, or a postdoctoral fellow that exceeds one year shall be agreed to only in exceptional cases and shall require both: (i) the written consent of the student or postdoctoral fellow; and (ii) the written approval of the Vice-President (Research and International) (for postdoctoral fellows) or the Dean of Graduate and Postdoctoral Affairs (for graduate students).

5.5 Retention of Research Data:

A researcher shall retain all data that would be required to allow third parties to validate the results or audit the findings for at least the greater of the following periods:

- (i) the period specified by the agency supporting the research; or
- (ii) the period recognized as “best practice” by the researcher’s discipline for the type of research at issue; or
- (iii) a period of seven (7) years from publication of the data.

5.6 A researcher who is the principal investigator and who resigns from the university shall leave a copy of any published data with the department or unit where the data was generated unless alternative written arrangements are made with his or her PI.

5.7 Access to Research Data

I. Subject to exceptions based on a duty of confidentiality and the laws respecting intellectual property and access to information, a researcher shall make his or her data available after publication to a person presenting a reasonable and legitimate written request to examine the data.

II. In cases where there is a disagreement over access to data between the researcher and the person requesting the data, the disagreement shall be referred for resolution (i) first to the Chair; (ii) then, if necessary, to the Dean; and (iii) finally, if necessary, to the Office of the Vice-President (Research and International).

6. COLLABORATIVE RESEARCH:

A researcher shall recognize the substantive contributions of all research collaborators including students and postdoctoral fellows.

- 6.1** A researcher shall ensure that where a co-authored publication is based primarily on the work of a student, including a dissertation or thesis, or a postdoctoral fellow, the student or postdoctoral fellow is granted due prominence in the list of co-authors in accordance with the established practices of the discipline.
- 6.2** A researcher shall ensure that authorship of published work: (i) includes all those who have materially contributed to, and share responsibility and accountability for, the contents of the published work; (ii) includes only those who have materially contributed to, and share responsibility and accountability for, the contents of the published work.
- 6.3** In the absence of an agreement between research collaborators, the following rules shall govern the order of attribution of authorship: (i) authorship shall be attributed to all those researchers who have made significant scholarly contributions to the work and who share responsibility and accountability for the results; (ii) attribution of authorship shall be determined according to: (a) the quality and quantity of a researcher’s contribution; (b) the extent of a researcher’s responsibility and accountability for the results; and (c) the customs of the discipline;
- 6.4** A person who provides only administrative and/or managerial services to a collaborative research endeavor shall not normally qualify for co-authorship.

- 6.5 DISPUTES RESOLUTION IN COLLABORATIVE RESEARCH:** The University shall assist in facilitating the resolution of disputes between research collaborators (“the disputants”), in accordance with section 6.4. The university, however, has no obligation to ensure that such disputes are resolved.
- 6.6** Disputants shall first seek to resolve their dispute amicably between themselves as facilitated by the principal investigator if he or she is not a party to the dispute.
- 6.7** In the event that an amicable resolution of the dispute is not or cannot be achieved in accordance with section 6.4.2, the disputants shall refer the dispute to the Chair or the Dean of the faculty, if it is one without departments, who shall attempt to resolve the dispute. The Chair or Dean, as the case may be, may appoint a senior member of the academic staff of the department or faculty acceptable to the disputants to act in his or her stead.

7. RESEARCH FUNDS:

- 7.1** A researcher shall ensure that all research funds administered by him or her, or through delegation to staff, will be in compliance with the general terms and conditions governing agreements and any terms and conditions specific to each grant or granting program, contract or contribution agreement established by the Agency.
- 7.2** A researcher shall effectively manage the finances of the research project complying with relevant Institutional policies and program guidelines.
- 7.3** Over expenditures on research funds are generally not permitted unless approved in advance by a Chair or Dean. A researcher shall make up the over-expenditure in the event that, due to their actions, the expenditure of a research project exceeds the value of the funding award.
- 7.4** A researcher, and those with oversight of funds and their use, shall comply with the Regulatory framework relating to the management and disbursement of funds and reimbursements for expenses.
- 7.5** A researcher shall acknowledge, in all published works resulting from his or her research, all agencies and other public and private funding sources which supported his or her research. A researcher shall adhere to any agreement between the university and a research sponsor who has legitimately and in good faith requested anonymity.

8. RESEARCH INVOLVING HUMAN PARTICIPANTS

- 8.1** A researcher conducting research involving human participants shall:
- (i) conduct such research in accordance with the highest ethical standards;
 - (ii) respect the legal and moral rights of the persons who are the subjects of the research; and
- Comply with the Regulatory Framework governing such research including the Policy on the Ethical Conduct of Research Involving Humans.

9. RESEARCH INVOLVING ANIMALS

- 9.1** ALL RESEARCH THAT INVOLVES ANIMALS AS SUBJECTS must be reviewed and approved by the University Animal Care Committee, before the research begins.

9.2 A Researcher conducting research involving animals shall:

- (i) conduct such research in accordance with the highest ethical standards; and
- (ii) comply with the Regulatory Framework governing such research
- (iii)

10. CONFLICT OF INTEREST AND COMMITMENT:

10.1 A researcher shall comply with the Regulatory Framework governing conflicts of interest, and conflicts of commitment and consulting activities.

10.2 A researcher shall disclose to all relevant persons (including other institutions, agencies, conference organizers and participants, and journals and publishers) any conflict of interest that might influence such persons' decisions.

11. RESEARCH MISCONDUCT

Any action that is inconsistent with integrity, honesty or the Regulatory Framework, including this Policy, may constitute a disciplinary offence and, where appropriate, shall be investigated in accordance with the Academic and Research Misconduct Policy.

12. RESEARCH INCENTIVES:

To encourage Faculty members, Ph.D scholars and PG/UG of BLDE (Deemed to be University) to undertake research including that carried out with corporate, International Collaboration and to publish in reputed Indexed UGC recognized Journals, Incentives are granted under following headings:

12.1 Eligibility

1. Full time faculty members who are on the role of BLDE (Deemed to be University), full time research scholars and PG students publishing paper based on topic other than MD Dissertation/thesis topic.
2. Incentive shall be given only to the publication of research which has been carried out in the BLDE (Deemed to be University) affiliated institute
3. All undergraduate students of BLDE (Deemed to be University)'s Shri B.M.Patil Medical College are eligible for any research publication in Scopus or UGC listed journals.
4. Short surveys, letter to Editor, Erratum, Replies, conference abstracts and articles in press are not eligible for incentives.

12.2 Responsibility:

1. The corresponding author shall take the responsibility to screen the paper for plagiarism, Ethics approval, and background checks about the potential, possible or probable predatory scholarly open access journals before communicating the research publication.
2. Papers published in discontinued Scopus journals or those not listed by UGC are not eligible for incentives.
3. The names of authors eligible for incentives must be furnished by the first author or corresponding author.
4. BLDE (DU) must always be acknowledged.
5. BLDE (DU) shall reserve the rights to revise incentive policy periodically.

12.3 Incentives for Publication

1. Incentive of Rs.10,000/- shall be given to original research article published by faculty member/research scholar /UG/ PG students of BLDE(DU) Vijayapur, in International/ National peer reviewed journal indexed in Scopus/pub med /Web of science/others listed by UGC.
2. Incentive of Rs.5000/- shall be given to Case report published by faculty member/research scholar /UG/ PG students of BLDE(DU) Vijayapur, in International/ National peer reviewed journal indexed in Scopus/pub med /Web of science/others listed by UGC.
3. Article Processing Charges (APC) to a maximum of 100 US dollar (equivalent to Euro/pounds) will be given to the faculty member/research scholar of BLDE (DU) Vijayapur, (once in three years) for their publication in high impact factor journals or journals by well-known publishers like Springer/Elsevier. And amount exceeding 100 dollars will also be borne by the University @30%.

12.4 Incentives for Book/Book Chapter/Monograph.

BLDE (DU) has established policy for incentives to the faculty members contributed in publishing Book/Book Chapter/Monograph

1. For each book (Text/Reference) authorized /Edited singly or jointly and published by International publisher with ISBN shall be given one time maximum incentive of Rs.30,000/- (Multiple authors shall share incentive equally).
2. For each Book(Text or reference) authored/Edited singly or jointly and published by national publisher shall be given one time maximum incentive of Rs.20000/-(Multiple authors shall share incentive equally)
3. For each chapter/monograph published by International publisher with ISBN, the author/s shall receive one time maximum incentive of Rs.10000/- (multiple authors shall share incentive equally)
4. For each chapter/monograph in Book published by National publisher with ISBN author/s shall receive one time maximum incentive of Rs.7500/-(Multiple authors shall share incentive equally)
5. Write up on “Medical Sciences” in local languages in any newspaper of reputed circulation to be awarded with certificate and cash incentive of Rs 2000.

12.5 Policy on Career advancement

(BLDE(DU) has innovative ways to keep the academic stake holders motivated to perform. Main objective of this policy is to increase quality of work and to improve performance.

It includes:-

1. Additional increment in salary for faculty who have completed PhD if they are not eligible for promotion.
2. Promotion to the next higher position wherever possible.
3. Sponsoring the faculty member for presentation of his/her work in international gathering as per institutional norms.
4. Sponsoring the faculty member overseas training in research field of his/her interest.

Faculty members with outstanding innovative research work carried out in affiliated institute shall be considered for these incentives as per Memorandum of Agreement (MOA).

5. Once in two years, Faculty members are provided incentive of Rs. 10,000 to present papers at National and International conferences (within India) and 50% of TA and registration charges to present paper in International Conferences held outside India.
6. Faculty members are given incentive of Rs 5,000 for paper presentation at state conferences, Rs. 1500 for poster presentation at state and national conferences.

12.6 Policy for Recognition of faculty members:

1. Recognition of faculty member receiving International Research award:

A reward of Rs.20,000/- along with certificate of recognition shall be given to the faculty member for receiving International award for his/her outstanding performance and Innovative work.

2. Recognition of the faculty members receiving National award:

A reward of Rs.10,000/- along with certificate of recognition shall be given to faculty member for receiving National Award for his/her outstanding performance and Innovation.

3. Recognition of faculty members for receiving state award:

A reward of Rs.10,000/- along with certificate of recognition shall be given to faculty member for receiving state Award for his/her outstanding performance and Innovation.

All the faculties receiving any of such awards will be recognized by posting the details of their outstanding performance & innovative work on University website.

All the faculties receiving International/National/State award for their outstanding performance & innovative research works will receive certificate of Commendation for their work.


REGISTRAR

**AMMENDMENT FOR INCLUDING RESEARCH INCENTIVES INTO
RESEARCH POLICY OF BLDE (DU).**

Research Policy of BLDE University was constituted in the year 30th November 2015 and was functionally operative. However, revision of research incentives has been made from 26th September, 2019 to encourage the research activities of Faculty members/Ph.D Scholars/PG/UG students.


REGISTRAR
BLDE (Deemed to be University)
Vijayapura-586103, Karnataka