NOTIFICATION

Subject: Regulations for the Ph.D. Programme –2015 - Revised
Reference: 1. Minutes of meeting of Academic Council of the University held on May 21, 2015.
2. Minutes of meeting of BOM of the University held on August 6, 2015.

The Board of Management of the University is pleased to approve the Regulations for the Ph.D. Programme at its meeting held on August 6, 2015.

The modified Ph.D. Regulations shall be effective, from the academic session 2015-16 onwards, for Ph.D. Programme in the University.

REGISTRAR
BLDE University, Vijayapur.

Copy to:
- The Dean, Faculty of Medicine and Principal
- The Secretary, UGC, New Delhi
- The Chairperson, Ph.D. Committee
- The Controller of Examinations
- The Prof. & Head, Dept. of Pre, Para & Clinical
- PS to Hon’ble President, BLDE University
- PS to Hon’ble Vice-Chancellor, BLDE University
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**NOTE:** All the forms for different applications are available in University website as well as in the Office of the Registrar, BLDE University, Vijayapur.
BLDE UNIVERSITY, VIJAYAPUR.

REGULATION FOR THE DEGREE OF DOCTOR OF PHILOSOPHY, Ph.D., 2010
(Amended 2015)

CURRENT REGULATIONS

1. PREAMBLE

The Degree of Doctor of Philosophy (Ph.D.,) is the Research Degree of this University.

In exercise of the powers conferred by Section 4(i) of the MoA, the Academic Council of the BLDE University, Bijapur, makes the following regulations:-

The Ph.D., degree is awarded to a candidate, who, as per these regulations, has submitted a thesis on the basis of original research work done by him / her in any particular discipline or more than one discipline (interdisciplinary), that makes a contribution to the advancement of knowledge or to innovative methods of application of existing knowledge, so as to contribute to the betterment of lives of the people and has had the thesis approved by suitably constituted examiners as required.

2. SHORT TITLE AND COMMENCEMENT

2.1 These regulations shall be called “The Regulations for the Degree of Doctor of Philosophy (Ph.D.) of BLDE University, Vijayapur.

2.2 They shall come into force from June, 2010 onwards.

2.3 The Regulations framed are subject to modifications from time to time as decided by the Academic Council of this University.

2.4 This is an Amended 2015 version and each of the regulation & sub regulations will be interpreted as per the amended version.

3. ELIGIBILITY

3.1 The Ph.D., degree shall be awarded by this University under any one of the recognized faculties of this University.
3.2 A recognized qualifying higher specialty degree, postgraduate degree/diploma is essential with a minimum of 50% of marks in the qualifying examination or a second class or equivalent in the qualifying postgraduate degree/diploma. The qualifying degree has to be registered with the respective Councils as the case may be.

3.3 Candidates with the following qualifications are eligible for registering for the Ph.D.(Medical), Degree.

3.3.1 Candidates with MD/MS in different specialties recognized by Medical Council of India & its Amendments there of Candidates with super specialty degrees D.M. Doctor of medicine, and M.Ch., -Master of Chirurgiae, recognized by Medical Council of India & its amendments there of.

3.3.2 Candidates with MD/MS, MRCP/FRCS (granted in UK on or before 11.11.1975 as per MCI Act 1956, second schedule) or equivalent Master’s degree awarded by a College/University recognized by MCI are eligible to register for Ph.D course in their subject of specialization.

3.3.3 Post Graduate Diploma in different Specialties : D.C.P., D.Ch., D.O., D.Ortho, D.L.O., D.G.O., D.A. or any other postgraduate diploma recognized by Medical Council of India & its amendments there of.

3.3.4 Candidates with qualification of Diplomate of the National Board, D. N.B. in specialities/superspecialities held by the of the National Board of the Examination after completion of the prescribed period for eligibility to be considered equivalent to M.D. / M.S as per the norms and approved by the MCI/ Governing Council of this University as equivalent thereto.

3.3.5 Candidates with M.B.B.S. Degree recognized by Medical Council of India & with Three years of Teaching / Clinical / Laboratory Experience after the completion of the degree.

3.3.6 Basic Medical Sciences: M.Sc., (Medical / Non Medical) – Anatomy, Physiology, Microbiology, Biochemistry and Pharmacology,

3.3.7 Candidates who have done M.Sc in Human Genetics under Medical Faculty are also eligible to register for Ph.D course in Medical Genetics

3.3.8 The above qualifying degrees should be from the Faculties of this University or any other University recognized by the Association of Indian Universities, Medical Council of India, and other approved recognized Scientific Bodies of the Government of India, etc., and approved by the Academic Council / Board of Management of this University for Provisional Registration for the Ph.D., Degree.
3.3.9 The M.Sc. candidate must have at least 55% of the aggregate marks (50% in case of SC/ST/OBC/PH candidates) in the qualifying examinations.

Note: These M.Sc degree holders under category after their Ph.D (Medical/Allied Health Sciences) shall confine themselves only to provide research inputs and perform investigative procedures. They are not permitted to treat patients.

3.4. Candidates with the following qualifications are eligible for registering for the Ph.D.(Allied Health Sciences) degree.

1. M.Sc Zoology
2. M.Sc Physics
3. M.Sc in Nuclear Physics
4. M.Sc in Medical Physics
5. M.Sc in Radiation Physics

4. DURATION OF RESEARCH

4.1. Full time

The candidates registered for the Ph.D., Degree as full time research shall undergo research work for a minimum period of three years from the date of provisional registration. These candidates shall attend the department for research on all working days, except for periods when they are allowed to visit other institution/laboratories for activities connected with his/her research for a period permitted by the concerned guide. Full time scholars are selected through Ph.D entrance examination of BLDE University except the candidates selected through lateral entry (vide clause 6 and sub clause 6.1.).

4.2. Part-time candidate (independent research)

Heads of Department, Professors & Additional Professors who are working in any department of this University with not less than ten years of teaching experience of which five years shall be at the postgraduate level and having a minimum of three research publications either as a principal author or as a co-author in any accredited journal, are eligible to register for the Ph.D., degree without a guide as "Part time Independent Research Candidate". However, such candidates shall seek the guidance/assistance of not less than three experts in the concerned field, who shall be members of faculties not below the rank of Associate Professors and possess the qualifications prescribed by the University for being a guide. They need not go through the scrutiny committee.
The said three experts shall be members of the 'Research Advisory Committee' for the respective ‘Part time Independent Research Candidate’ and conduct the Part I Methodology Examination during the end of the first year and send the report to the Controller of Examinations as provided in these regulations. Out of the three members of the Research Advisory Committee, one shall be the Convener of the Committee, as may be indicated by the candidate. At the end of the prescribed period of research, the Research Advisory Committee shall submit a panel of examiners for evaluation of the thesis and conducting the public viva-voce examination. The duration will be four years.

4.3 **Part-time Research Candidates (External)**

Notwithstanding anything contained in these regulations, candidates possessing any one of the qualifications prescribed under regulations from this University or from any other university recognized as equivalent thereto and employed as a teacher or scientist in National/State level institutions/ Laboratories/ Research and Development (R&D) Centres/ Industries/ Universities in India and abroad, with one year continuous experience as a permanent employee and who are permitted by the respective organizations for pursuing research leading to the Ph.D., degree of this University on a part-time basis while continuing in employment, may be permitted to register for the Ph.D., programme on a part time basis as external candidates. These candidates are expected to do research in their place of employment and in addition, they should under go such course work / examination and research work as may be prescribed by the guide/Research Advisory Committee for a minimum of 45 days during the research period directly under the guide in this University. In addition to the guide from this University, they shall have a co-guide from the institution where they are employed, provided such experts are recognized as co guides for the Ph.D., by this University. In the case of non-availability of a recognized co guide in the institution, the candidate’s research work shall be monitored by the Head of the institution. The co guide of the candidate at the place of employment and at this University shall submit the certificate of attendance (with dates) to the Registrar along with half yearly progress reports.

5. **SPLIT Ph.D., PROGRAMME**

5.1. The split Ph.D., program is designed to provide a chance to the candidates to conduct a portion of their Ph.D., work in a recognized foreign University/Institution or a recognized Indian University/Institution with which BLDE. University has
signed an academic MoU.

5.2. The basic objective of this scheme is to develop good research relationships and future collaborative research projects and to bring the International/National Universities, Research Institutes and Industries closer with the host university through Ph.D., Programs. While eligibility, admission procedures, duration, functions of Research Advisory Committee, Research Methodology, Thesis submission and valuation of thesis will be the same for the general Ph.D., regulations, the following would be the norms and guidelines for the split Ph.D., Program;

6. ADMISSION TO FULL/PART TIME Ph.D. COURSE BY ENTRANCE EXAMINATION

6.1 All other Candidates who do not fulfill the requirement for direct admission but possess the required educational qualifications shall undergo a written test & selection interview conducted by the University once in a year. No T.A. and D.A. shall be payable to candidate for attending the interview.

(University notifies admission of PhD curriculum in its website and national newspapers).

(For details please refer Manual)

6.2 Direct Admission (Lateral entrance) to full time course of Ph.D.

The candidate who fulfils one of the following requirements may be considered for direct admission to the Ph. D. after an interview held by a Committee nominated by the Vice Chancellor of the BLDE University.

6.2.1 A candidate who is a recipient of fellowships from government/semi-government organizations such as Indian Council of Medical Research (ICMR), Council of Scientific and Industrial Research (CSIR), UGC or DST-Inspire Fellow from DST, Govt. of India etc. after qualifying NET/GATE examination provided he/she possesses the required educational qualifications. They will not be provided any stipend by the university.

6.2.2 A candidate who has been selected as Junior Research Fellow (JRF) in extramural research projects in this university from Department of Science and Technology (DST), Department of Biotechnology (DBT), Department of AYUSH (Ayurveda, Yoga, Unani, Siddha and Homeopathy), University Grants Commission (UGC), All India Council for Technical Education
(AICTE), Defense Research and Development Organization (DRDO), Department of Atomic Energy (DAE) and similar national/State level organizations provided he/she possesses the required educational qualifications.

6.2.3 Heads of the Departments, Professors, Additional Professors working in any Department of this University with not less than 10 years of teaching experience of which 5 yrs shall be at PG level and having a minimum of 3 publications with any authorship in accredited journals are eligible to register for Ph.D. Programme. Such candidates will be considered as part time independent research candidate with the support of the research advisory committee for each case. However such candidate must seek the guidance of Three Experts in the broader area of research as member of the Research Advisory Committee.

7. **PROVISIONAL REGISTRATION SESSIONS**
The Provisional Registration for Ph.D., Degree shall be made in one session during an academic year as June – August of every year.

8. **PROCEDURE FOR PROVISIONAL REGISTRATION**

8.1. A Candidate shall register himself/herself in this University by submitting the duly filled application, obtained from the university along with other details for provisional registration by remitting the prescribed fees for the same. The application form may also be downloaded from the University website: www.bldeuniversity.ac.in

8.2 The cost of the application form is the amount prescribed by this University from time to time as applicable. (Vide Manual).

8.3 If the application form is downloaded from the website, the cost of application form has to be paid at the time of submission for the provisional registration.

9. **ALLOTMENT OF GUIDES**
The allotment of Supervisor/Guide shall not be left to the individual student or teacher. University will decide the allotment as per the available vacancy under an eligible research guide of BLDE University (Vide UGC Guidelines 2009).
10. **CATEGORIES FOR PROVISIONAL REGISTRATION**

Provisional registration shall be done under the following categories as the case may be;

10.1 Non interdisciplinary (single discipline) category – Medical

10.2 Interdisciplinary (multidiscipline) category. It includes all other candidates not belonging to the Non-Interdisciplinary (single discipline) category.

10.3 Allied Health Sciences (Vide clause 3.4) - Registration of candidates from non-medical faculty for the award of Ph.D in the **allied health Sciences** subjects is as per the following conditions:

10.3.1 While conferring / notification of the Ph.D degree in “Allied Health Sciences”, the topic of the thesis should be specified in the degree awarded instead of specifying the subject.

10.3.2 The eligible candidates under “Allied Health Sciences” can be registered with recognized guides in any of the approved departments for Ph.D programme under BLDE University. The broader area of research proposal may be considered for selecting the guide and department for the affiliation of the candidate.

10.3.3 The candidates who obtain the Ph.D degree in this category their career prospects as faculty members will be entirely depending on the basis of regulation of respective apex professional bodies.

10.3.4 Ph.D.degree holders under this category are not permitted to treat patients.

11. **REQUISITES FOR PROVISIONAL REGISTRATION**

The Essential requisites for provisional registration are below:

11.1 Eligible Qualifications
11.2 Recognized Department / Institution
11.3 Recognized guide
11.4 Approved co-guide, if any
11.5 No Objection Certificate from the Head of the institute (In case of External candidate)
11.6 Ethical Committee Clearance
12. RECOGNISED DEPARTMENTS / INSTITUTIONS

12.1 Candidates applying for Provisional Registration shall select recognized/approved departments / institutions of this University which are approved and recognized by the Medical Council of India and other approved Councils of the concerned faculty and other Councils which are approved by the Board of Management of this University.

Any Dept. which is recognized / permitted by MCI for M.D./M.S. is automatically eligible to conduct Ph.D. Course in the same Department.

BLDE University may conduct a local inspection to any department who are conducting Ph.D. curriculum for routine quality control (Citation-King George Medical University, Lucknow and Dr. MGR Medical University, Tamil Nadu).

12.2 Norms for recognition of Institutions to conduct Ph.D programme of the University (Split Ph.D) The partnering institution should have signed an academic MoU with BLDE University.

12.2.1 The maximum period of research that can be conducted with the partnering institution normally shall not exceed ONE YEAR.

12.2.2 The expert under whom the candidate proposes to work for the split Ph.D., program shall be suggested by the guide as a co-guide and would also be a member of the Research Advisory Committee.

12.2.3 The progress report of work done by the candidate in the external institution shall be submitted to the Controller of Examinations through the guide by the external expert/co-guide

12.2.4 All financial commitments required for carrying out the research work at the partnering institution shall be borne by the candidate.

12.2.5 The degree on successful completion would be awarded only by BLDE University with a mention of the name of the partnering institution.
13. **RECOGNISED GUIDE**

13.1 A person shall be recognized as a guide in any faculty if he/she possesses a postgraduate degree with at least 10 years of teaching/research experience after PG qualification (MD/MS), in the University department (or) in an approved affiliated postgraduate teaching institution (or) in a recognized research institution of this University with minimum 3 (Three) research publications as the principal author or co-author to his/her credit in any accredited indexed journal or Ph.D. in medicine or science having 5 years of post doctoral teaching/research experience with 3 (Three) publications in any accredited indexed journal.

13.2 Application of the Guide/Co-guide for recognition to BLDE University will be verified and recommended by Ph.D.Committee.

13.3 The list of recognized guides is furnished in the University website at www.bldeuniversity.ac.in

13.4 Further information and details about the recognized guides can be obtained from the university office.

13.5 The recognized guide shall officiate up to the age of 70 years and he/she shall not enroll new candidates after the age of 67 years.

13.6 Each guide is entitled to enroll a maximum of 8 (eight) candidates.

**Duties of the recognized guide are:-**

13.6.1 The guide should give the consent and no objection certificate obtained from the Dean/Principal for officiating as a guide for the candidate to be registered.

13.6.2 The guide shall sign all the papers, declarations, certifications, authentications, log books and other related documents pertaining to the registration, submission of synopsis and thesis of the registered candidate.

13.6.3 The guide shall serve as a Supervisor / Convener to interact with the University.

13.6.4 The guide shall supervise and interact with the University for the
candidates who have already submitted the Thesis till a final decision is arrived.

13.6.5 The guide shall suggest to the University, and Ph.D.Committee to conduct the Part I Methodology Examination.

13.6.6 The guide shall also be the convener/Chairperson and a member of the panel to conduct the Public Viva Examination, Open House presentation etc.

13.6.7 The guide shall interact with the co-guide, who may be entrusted to continue the research work of the candidate when he/she is not in a position to do the same under the following situations:

13.6.7.1 When the guide has completed 70 years and till a new recognized guide takes over.
13.6.7.2 When the guide is on a long leave (three months and above).
13.6.7.3 When the guide is sick or on long medical leave.
13.6.7.4 Other unforeseen circumstances.

13.7 Till a new guide is selected and appointed with the approval of the university the co-guide shall assist the candidate in his/her research work.

13.8 In case of detection of any discrepancy, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions that may lead to the cancellation and debarring of the guide-ship at any stage.

14. RECOGNISED CO-GUIDE

A person shall be recognized as a co-guide in any faculty if he/she possesses a postgraduate degree with at least 5 years of teaching/research experience after P. G. qualification (MD / MS /Ph.D.) in the University department (or) in an approved affiliated postgraduate teaching institution (or) in a recognized research institution of this University with minimum 3 (three) research publications to his/her credit in any accredited indexed journal.

Candidates registered under interdisciplinary category, shall have a recognized co-guide. The recognized co-guide should sign the provisional registration application form along
with his/her consent and No Objection Certificate (NOC) duly signed by the Dean/Principal of the College/Institution.

14.1 The recognized co-guide shall officiate up to the age of 70 years. The co-guide shall not enroll new candidates after the age of 67 years.

14.2 Each recognized co-guide is entitled to enroll a maximum of **8 (eight)** candidates.

14.3 Duties of the recognized co-guide:

14.3.1 The co-guide should be from the department where the registered candidate is working, in the event of the selected guide working elsewhere.

14.3.2 The co-guide shall provide guidance and offer professional / technical assistance to the registered candidate when the selected guide is not in a position to provide the same due to the reasons mentioned in Regulation 10.

14.3.3 The co-guide is eligible to become a full recognized guide if he/she satisfies the stipulated norms, rules and regulations of this University for granting him/her recognition as a guide.

14.3.4 The list of recognized co-guides is given in the University website [www.bldeuniversity.ac.in](http://www.bldeuniversity.ac.in) in case of detection of any discrepancies, conflict of interest, unethical activities, academic dishonesty etc., it is the prerogative of the University to initiate appropriate administrative and disciplinary actions leading to the cancellation and debarring of the co-guideship at any stage.

15. CHANGE OF GUIDE

The change of Guide is admissible maximum six months before completion of candidate’s research tenure. The change of guide may be executed in exceptional cases such as:

15.1 Transfer and discontinuation of service to other institutions which is not under the adjudication of BLDE University.

15.2 Superannuation from his service which is under maximum age limit of the University.

15.3 Demise of the Guide.

15.4 University may also take unilateral decision in change of Guide if the guide has left the institute and incommunicado and not accessible by any means of communication.
15.5 The Honorable Vice Chancellor may consider the change of Guide in case of any emergency/unforeseen conditions.

16. ETHICAL COMMITTEE CLEARANCE
Institutional Ethical committee (IEC) clearance for experiments on human subjects will be as per ICMR, Govt. of India guidelines (2006) and Institutional Animal Ethical Committee (IAEC) for animals will be as per the CPCSEA guidelines, Govt. of India.

17. PROVISIONAL REGISTRATION
Provisional registration will be completed after the synopsis-I / Plan of Work is scrutinized by the External Peer Reviewers, Statistician, IEC/IAEC and successful completion of Pre-PhD examination.

Each Guide will send a list of 3-6 names of peer reviewers to scrutinize the Synopsis I/Plan of Work of the Ph.D. Scholar to the University. These Peer Reviewers will be at least P.G./Ph.D.Guide and expert in the area of research/subject of the proposal of Synopsis I/Plan of Work. They must be in minimum of Associate Professor designated in any University/Institution or Scientist-E of any Govt. research Institution. The list of peer reviewers should be approved by Ph.D. committee of BLDE University.

18. APPLICATION FOR PROVISIONAL REGISTRATION

18.1 A candidate applying for provisional registration shall furnish all the information as required in the provisional registration application form. (FORM 1).

18.2 The completely filled in application form should be submitted together with the prescribed fees as mentioned in Regulation 34, before the last date of each provisional registration session.

18.3 The candidate shall also include SYNOPSIS-I/PLAN OF WORK in the prescribed format of BLDE University.

18.4 The write-up should include brief Introduction and Review of Literature, Need of the Study, Aims, Objectives, Methodology and Expected Contribution of the Research to the Scientific Knowledge and Academic growth and Development. (Vide BLDE University Form)

18.5 The Synopsis I /Plan of Work ‘Form’ should be signed by the candidate and approved and signed by the Guide/Co-guide. The Form should also be signed by
Head of the Department and to be forwarded to Registrar, BLDE University through the proper channel. Candidates who fail to submit “Plan of Work-Thesis Proposal” within six months from the date of their admission, their admission stands cancelled

18.6 The candidate shall furnish the following information with documentary evidence:
18.6.1 His / Her academic qualifications.
18.6.2 Details of previous research experience.
18.6.3 Full particulars of publications in indexed /accredited journals, if any.
18.6.4 The broad field or the interdisciplinary field in which the candidate intends to do research work.
18.6.5 The subject of research, whether wholly / partly related to the main branch of knowledge chosen for the post graduation in which the candidate has qualified.
18.6.6 Name of the guide
18.6.7 Name of the co-guide, if any.
18.6.8 Ethical Committee Clearance certificates.

19. CHANGE OF THESIS TITLE
A Ph.D. candidate can change the title of his proposed thesis or field of research work on prior permission from the Ph.D. Committee. Changes will not be allowed six month before submission of last half yearly progress report. The permission of such change of title will be recommended by the Ph.D. Committee to the Honorable Vice Chancellor, BLDE University for his decision. In such cases candidate need not require to appear for pre-Ph.D. Exam if he/she has cleared it earlier.

20. SUBMISSION OF APPLICATION OF PROVISIONAL REGISTRATION
20.1 A candidate shall not directly submit the provisional registration application to this University.
20.2 The duly filled provisional registration application (FORM 1) should be submitted through the proper channel.
20.3 The provisional registration application should be routed through the Head of the Department, the Head of the Institution and other officiating administrative authorities.
20.4 The Provisional Registration application should be submitted along with the payment of the stipulated fees as mentioned in Regulation 34.

20.5 The provisional registration application, if found complete in all aspects, shall be received by the University.

21. \textbf{PhD COMMITTEE / DUTIES}

A PhD Committee shall be constituted by the University.

21.1 It shall be headed by a Chairperson and at least 6 (six) members from various departments (pre, para & clinical) including Dean (Research). The members except ‘Member Secretary’ must be a Professor designated in their respective department.

21.2 The PhD Committee members shall be nominated by the Vice Chancellor.

21.3 The PhD Committee shall meet periodically (at least 6 times in a year) to study the submitted applications and address various issues pertaining to Ph.D. curriculum of the university.

21.4 The PhD Committee shall submit a report regarding its recommendation, or otherwise, to the University.

21.5 The PhD Committee may suggest modifications on the research proposed for resubmission.

21.6 All the suggestions recommended by the PhD Committee shall be placed before the Vice Chancellor.

21.7 The provisional Ph.D. registration for \textbf{interdisciplinary candidates} shall be placed before the Board of Studies in Research after going through the initial PhD Committee of this University.

22. \textbf{APPROVAL OF THE PROVISIONAL REGISTRATION}

22.1 The recommendation of the Provisional Registration shall be done by the Ph.D. Committee depending on the merits of the application.

22.2 Provisional registration will be completed after the synopses is scrutinized by the PhD committee.
22.3 The recommendation of the PhD Committee is an essential prerequisite for the provisional registration to be approved by the Vice Chancellor.

22.4 The candidates shall be informed through the guide about the approval of provisional registration with instructions regarding the continuation of the research work according to the norms and regulations of this University.

22.5 All correspondence to the University after the approval of the Provisional Registration of the candidate shall be addressed by the guide to the Registrar of this University.

23. NON-APPROVAL/ REJECTION OF PROVISIONAL REGISTRATION

23.1 The PhD Committee, after scrutinizing the provisional registration application shall suggest modifications, point out deficiencies, if any, with instructions for resubmission on the suggested lines for reconsideration and recommendation of approval for provisional registration along with a compliance report signed by the guide.

23.2 If the PhD Committee rejects the provisional registration application on two successive occasions, then the candidate has to submit a fresh application along with the payment of stipulated fees as mentioned in Regulation 34.

23.3 The PhD Committee shall complete its work and intimate the rejection within three months.

24. DOCTORAL ADVISORY COMMITTEE

Board of Studies of each subject will act as Doctoral Advisory Committee of the Ph.D. scholar. DAC will suggest and help the candidate time to time with consultation of the Guide.

25. COURSE WORK & PRE Ph.D EXAMINATION

After admission to Ph.D. Curriculum each of the Ph.D. Student must undertake a course work for minimum period of one semester i.e. six months. The course work shall be treated as Pre-Ph.D.preparation as per UGC Guidelines 2009. The Ph.D.Student who fail to have minimum of 75% of attendance in COURSE WORK will not be eligible to appear in Pre-Ph.D. Examination of BLDE University.
25.1 The University shall conduct the Pre-Ph.D. Examination for the provisionally registered Ph.D., candidates.

25.2 Pre-Ph.D Examination will be conducted at the end of Research/Course Work and within one year from the date of Provisional Registration.

25.3 The Examination shall consist of:

25.3.1 Two written paper and Viva Voce exam will be conducted as the direction of Controller of Examination

25.3.2 The questions shall cover the following:

**PAPER - I: Principles of Research Methodology**

- General Research Methodology.
- Biostatistical Methods in Medical Research.
- Ethical aspects of Medical Research.
- Animal Experimentation (where applicable).
- Instrumentation (where applicable).

**PAPER - II: Selected Field / Subject of Research**

- History.
- Literature Review.
- Recent Advances.
- Experimental Procedures
- Others.

In the Viva-Voce, examination, the Board of Examiner constituted by Controller of Examination shall assess the candidate’s knowledge in relation to the work done by him/her up to the time of methodology examination and respective subject skills and also assess the aptitude and competence of the candidate to continue the research work.

25.4 Syllabus:

25.4.1 Syllabus for PAPER-I shall be prescribed by the subject experts selected by the university and it will be approved by the Ph.D. Committee. PAPER-II shall be prescribed by the Guide for each candidate and should also be approved by the Ph.D Committee in consultation of subject experts. The Syllabi prescribed by the Guide and Subject experts for the two theory papers of Pre Ph.D examination, shall be notified and will be communicated to the Controller of Examination.
25.4.2 There shall be double valuation of the answer scripts of Paper - I and Paper II. In case of Paper –II apart from the same examiner who have set the question paper (i.e. Guide) one more recognized Ph.D. Guide of the same subjects or an internal co-guide of the candidate (if available) should also evaluate the papers. The marks lists shall be sent by the examiners directly to the Controller of Examinations of BLDE University.

26. SUCCESSFUL PRE Ph.D EXAMINATION

26.1 The candidate has to secure a minimum of 50% of the marks, both in the theory paper separately in each paper and in viva-voce, to be declared successful in the Pre-Ph.D. Examination.

26.2 A detailed report on the performance of the Pre-Ph.D. examination shall be submitted by the guide/convener to the University for further action.

26.3 In case of difference of marks between two examiners take place, University will follow its own guidelines as it follows in case of PG examination

26.4 COE will issue a marks sheet regarding the success of the Pre-PhD Examination of the candidate.

27. UNSUCCESSFUL PRE-Ph.D. EXAMINATION

27.1 The candidate will get maximum of five attempt as chances to clear Pre-Ph.D. Examination.

27.2 Provisional registration of the candidates will be confirmed only after they pass the Pre Ph.D examination.

27.3 Candidate shall not start his/her research work until clears Pre-PhD examination.

27.4 He/She will not be eligible for submission of second half yearly progress report.
28. EXTENSION OF TIME FOR PRE-Ph.D.EXAMINATION

28.1 Extension of time shall be considered only on very special circumstances, if adequately substantiated by the candidate in the application forwarded through the guide for consideration by the Vice Chancellor.

28.2 If extension of time is granted, then penal fees as prescribed is to be paid as mentioned in Regulation 34.

28.3 The maximum extension period permissible will be decided by the University in consultation with Ph.D.Committee for the conduct of the examination.

29. CONFIRMATION OF PROVISIONAL REGISTRATION

29.1 The provisional registration of a candidate shall be confirmed on the receipt of the successful report of the Pre-Ph.D. examination.

29.2 The Pre-Ph.D. Examination report shall be approved by the Vice Chancellor of this University.

29.3 Intimation regarding confirmation of provisional registration shall be communicated to the candidate through the guide with a copy to the co-guide, if any, with instruction to the candidate to remit the fees for confirmation of the Provisional Registration.

30. CANCELLATION OF REGISTRATION:

30.1 Lack of progress as reported by the Guide and also after giving the due opportunity to the candidate for defending his case.

30.2 Candidates own request duly endorsed by the Ph.D. Committee.

If He/She does not submit the thesis within six years from the date of Registration. However a candidate may request to extend his/her submission for not more than six months from the deadline. Application for extension of time with adequate justification may be forwarded by the Guide within the prescribed period of submission of Thesis. The same is also applicable in case of failure to submit Plan of Work (Synopsis 1). If any candidate fails for submission of Final Thesis or Plan of Work (Synopsis 1) within the grace period (6 months maximum) the candidate must be discharged immediately from pursuing Ph.D. Course.
31. **RESEARCH WORK**

31.1 Provisionally registered candidates shall do original research work under the direct supervision / guidance of the guide and co-guide, if any.

31.2 The candidate will also utilize the services of the members of the Ph.D. Committee for the betterment of the research work.

31.3 Ph.D. Committee shall conduct seminars before submission of “Half-Yearly Progress Report” of the candidates to monitor and assess the quality of research work done by the candidate.

31.4 The candidate provisionally registered shall be required to work under the recognized guide.

31.5 Each candidate shall undergo courses of instructions as may be prescribed by the guide / advisory committee.

31.6 The university may conduct training classes, depending on facilities available, for the provisionally registered candidates covering the prescribed courses and areas of research study.

32. **SUBMISSION OF HALF YEARLY PROGRESS REPORTS**

32.1 After provisional registration, every candidate shall submit the half yearly progress reports regularly in three sets in the prescribed format through the proper channel i.e. from the Guide to the Registrar of the University. The half yearly progress report will be assessed by the Ph.D Committee in consultation with the experts (wherever is necessary) and their comments/observations will be communicated to the concerned candidate and Guide. Every such half yearly report shall be submitted on April and October in a year.

32.2 All Ph.D candidates while submitting their current progress report, should submit the summary of each of his/her previous half yearly progress reports in order and suggestions made by the previous reviewers/subject experts. All Ph.D candidates should submit their half yearly progress reports in the prescribed format issued by the University.

32.3 If three consecutive half yearly progress reports are not satisfactory, the Ph.D Committee may recommend to the University for cancellation of the registration.
32.4 Ph.D.Scholar has to present a PPT. seminar in front of Ph.D.Committee, faculty members, PG & research scholars of the University before submission of his/her half-yearly progress report. The comments and suggestions of the Ph.D.Committee to be incorporated in their respective half-yearly progress report.

33. LOG BOOK
33.1 Every registered candidate shall maintain a log book.
33.2 The log book has to be supervised and signed by the guide / co-guide (if any).
33.3 The log book should be available at the time of Ph.D. Examination and at all other times as deemed by the guide / Doctoral Advisory Committee.
33.4 The log book shall be maintained till the completion of the research work.

34. FEE STRUCTURE: As on when notified by the University

35. RESEARCH FUNDING
35.1 To avail research grants with different extramural/intramural funding agencies the candidate must apply through the proper channel of BLDE University.
35.2 Patenting Right will be as per the rules & regulation of BLDE University
35.3 The research grant availed should be acknowledged with full information at the time of submission of thesis. Grant availed would be utilized as per the granting agency & university’s rules regulation.

36. TITLE OF THE THESIS
36.1 The provisional title of the thesis shall be intimated to the University at the time of submission of SYNOPSIS I / PLAN OF WORK.
36.2 The exact title of the thesis shall be intimated to the University during the submission of the Final synopsis (SYNOPSIS II).
36.3 No change in the title shall be accepted after the submission of the Final synopsis (SYNOPSIS II).
36.4 Change of Thesis Title
A Ph.D candidate can change the title of his proposed thesis or field of research work on prior permission from the Ph.D Committee. Changes will not be allowed six
month before submission of last half yearly progress report. The permission of such change of title will be recommended by the Ph.D. Committee to the Honorable Vice-Chancellor, BLDE University for his decision. In such cases candidate need not require to appear for pre-Ph.D. Exam if he/she has cleared it earlier.

37. SUBMISSION OF SYNOPSIS

37.1 Not less than 3 (three) months before the submission of the thesis, every candidate shall submit to the Institute, through the supervisor and HOD two copies of the synopsis of the thesis, together with the title of the thesis and prescribed examination fee. The candidate shall prepare the synopsis carefully in consultation with the supervisor. The synopsis should bring out in abridged form, the aims for conducting research; work done, results, and conclusions drawn. The candidate shall make a presentation of the synopsis before the doctoral committee at a seminar in the department prior to the submission of the synopsis (2nd OPEN HOUSE PRESENTATION).

37.2 The title of the thesis mentioned in the final synopsis or Synopsis II is the final title and the same title has to be maintained in the thesis.

37.3 Two copies of the final synopsis II has to be submitted with the prescribed fees in prescribe format (available in website) duly filled and signed by the candidate, the Guide, Go-Guide, Head of the Department and Head of the Institution. One copy of electronic version of the Final synopsis (CD) should also be submitted along with printed versions.

37.4 The Final Synopsis of the thesis shall not be less than six pages or exceed ten pages, typed on A4 size paper with one and half space. (Format is available in website & office)

37.5 In case of requirement, the candidate shall submit additional copies of the synopsis on demand from the Institute.

37.6 The list of Examiners Panel will be prepared by Guide with six numbers of experts from India and abroad. Prior to submit to COE the proposed panel of
adjudicators to be first submitted to Ph.D. Committee by Research Guide for its opinion. In case of need Ph.D. Committee may suggest a few names to Guide for inclusion or may advise to exclude the names from the panel. Once it cleared by the PhD Committee, Guide may submit the panel of six adjudicators to COE with confidentiality.

37.7 After the submission of the final synopsis and on the recommendation of the Honorable Vice Chancellor, the Controller of Examination shall contact the adjudicators from the Panel of Adjudicators by electronic and/or regular mail to seek consent.

37.8 The final synopsis should be submitted with the original certificates as required by the university regulations in the application.

38. FORMATTING OF THESIS

38.1 The thesis to be submitted by the candidate should be formatted according to the University regulations.

38.2 Every thesis shall have 2 major components:

38.2.1 The certificate component
38.2.2 The Research work component

38.3 The certificate component shall include the following:

38.3.1 Certification and declaration by the candidate.
38.3.2 Certification of the research work by the guide.
38.3.3 Certification of the research work by the co-guide, if any.
38.3.4 Certificate of research work by the H.O.D.
38.3.5 Certificate of the research work by the Head of the institution.

While submitting the thesis every candidate shall submit with his/her application, a certificate from the guide/supervisor that the thesis submitted is a record of research work done by the candidate during the period of study under the guide and co-guide, if any and that the thesis had not previously formed the basis for the award to the candidate of any degree, diploma, associateship, fellowship or other similar title together with the statement from the guide/supervisor indicating the extent to which the thesis represents
independent work on the part of the candidate. If the thesis submitted has formed in part, the basis for the award of a previous research degree, the candidate shall clearly set forth in a preface or written statement the portion or portions which have formed the basis for the award of the previous degree.

38.4 The research work component of the thesis represents the actual research work done by the candidate under the supervision of the guide / co-guide. It should have the following:
38.4.1 Introduction
38.4.2 Aims and objectives
38.4.3 Review of literature:
38.4.4 Scope and plan of work
38.4.5 Materials and methods
38.4.6 Results and discussion
38.4.7 Summary and conclusions
38.4.8 Recommendations, if any
38.4.9 Appendix
38.4.10 Bibliography (Vancouver Style)

38.5 The number of pages of the text matter in the thesis should not exceed 250 pages.

38.6 Annexure, Charts, Graphs, Bibliography and Attached publications are not to be numbered along with the text.

39. SUBMISSION OF THESIS
39.1 The Ph.D. Program culminates with the submission of a thesis of a substantial work of original research carried out by the candidate under the guidance of the supervisor.

39.2 The candidate should have at least two original research papers published/accepted for publication (evidence to be submitted along with the thesis) in peer reviewed journals (Scopus/Pubmed) before submission of the thesis. The reprint/preprint or DOI should be included in the appendix of the thesis. The published paper must be in the area of research of the Ph.D.student. The Guide or Student must be the 1st author.
of the article. Ph.D. student must show his/her affiliation to BLDE University in the published paper.

39.3 The candidate shall submit **five (5) copies** of the thesis in a bound form and two copies in the electronic form (read-only format) to the Examination section for evaluation by the examiners. Once the thesis gets approved the candidate shall incorporate all the corrections / suggestions, if any, and resubmit two copies of the final version of the thesis in bound form and two copies in the electronic form (CD read-only format). The supervisor and the PhD committee shall certify that the corrections / suggestions (if any) were incorporated.

39.4 Thesis has to be submitted within six months **after the submission of synopsis**, the period that coincides with the expiry of period of the research prescribed

39.5 If the Candidate fails to submit the thesis within six months from the date of submission of synopsis one extension of three months may be given with approval from the Honble Vice Chancellor after which the process gets cancel. Then, he/she shall submit the synopsis again based on the recommendations of the Ph.D. Committee. The Guide / Supervisor, with the approval of the Ph.D. Committee shall submit a fresh list of examiners.

39.6 One copy of the thesis shall be placed in the University Central Library (through COE), One copy of the thesis shall be placed in the Internet server **after the award** of the Ph.D. degree.

39.7 Supplementary papers to the thesis, printed copies of any contributions to the knowledge of the subject or of any cognate branch of science that may have been published in journals or periodicals may also be included along with the thesis or given as bibliography.

39.8 The thesis shall ordinarily be submitted not later than five years from the date of registration in the case of full-time candidates and six years in the case of part-time candidates. Every candidates shall submit with his/her thesis a certificate from the Supervisor under whom he / she worked, that the thesis submitted is a record of original research work done by the candidate during the period of study under his/her supervision and that the thesis has not previously formed the basis for the award to
the candidate of any degree, diploma, Associate ship, Fellowship or other similar titles and that the thesis represents.

40. EXTENSION OF TIME FOR SUBMISSION OF SYNOPSIS / THESIS

40.1 Extension of time beyond the prescribed period shall be considered and granted for genuine reasons, if adequately substantiated.

40.2 Applications for extension of time with adequate justifications should be signed and forwarded by the guide and submitted to the university before the expiry of the period prescribed for the submission of the synopsis.

40.3 Extension of time shall be considered and granted for a period of 3 months to 5 months with penal fees.

40.4 Further extension of time shall be considered for a period of 1 year with the approval of the Vice Chancellor with the payment of penal fees as may be prescribed from time to time and as mentioned in Research Regulations 33.

40.5 Extension of time beyond 2 years is not permissible, except under special circumstances with the approval of Vice Chancellor.

40.6 The period of extension granted covers the period for the submission of the synopsis and the final thesis as per the regulations.

41. Ph.D., EXAMINERS

41.1 For the adjudication of Ph.D thesis, a board of three examiners shall be appointed by the COE in consultation with the Vice Chancellor.

41.2 The Guide of the candidate shall normally be the Chairman of the Board of the Examiners, who shall also act as coordinator and internal examiner.

41.3 However, under extraordinary circumstances, the Academic council/Vice Chancellor may appoint a chairman other than Guide from the panel of Board of examiners as per the recommendation of COE.

41.4 The two external members of the Board of examiners, has to be chosen by the University from a panel of examiners forwarded by the Guide and recommended by
the Ph.D Committee, at least 1 month prior to the expected date of submission of the thesis.

41.5 The panel shall include examiners who on the basis of their published work are acknowledged as eminent scientist in field of study under taken by the candidate.

41.6 One of the external Examiners should be from outside the State and the other may be from the state.

41.7 It shall be up to the university to have one examiner from outside of the country.

41.8 The supervisor / guide shall provide a certificate stating that all the examiners suggested are experts qualified to value the Thesis.

41.9 If the panel of six names for Ph.D., examiners is found to be inadequate, the University shall call for an additional panel of six names to be suggested by guide/supervisor. Under special circumstances, if the additional panel is also found to be inadequate, the Vice Chancellor shall have the privilege of appointing examiners in consultation with the experts of his choice in the concerned field.

41.10 Once Vice Chancellor selected the names of two external examiners from the panel of examiners submitted by Guide, the COE will first communicate with them for their acceptance to examine the Ph.D thesis.

41.11 COE also send final synopsis of the thesis by Email/and by Post to the examiners and ask them to go through it and comment on it while sending acceptance

42. ADJUDICATION OF THE THESIS

42.1 The COE of the University shall ascertain from the external examiners their willingness to act as an examiner for a particular thesis a copy of the final synopsis shall be sent to the external examiners along with this communications.

42.2 The examiners (2 external and 1 internal i.e. Guide) after evaluation will report their comments in the “Ph.D., thesis reporting form”.

42.3 The Examiners have to submit their reports within 2 months from the date of receipt of the thesis.

42.4 The University shall send reminders to the examiners at the end of 45 days.
42.5 If no report or any communication is received by the University from an examiner even after 2 months, a copy of the thesis shall be sent to the fourth examiner selected by the Vice Chancellor from the panel submitted by the Guide at the time of submission of final synopsis.

42.6 If the thesis is approved, each examiner shall submit questions in a sealed cover if any, which need to be asked at the time of viva-voce.

43. COMMENDED THESIS

43.1 A total of three commended reports are absolutely essential.

43.2 The three commendations by the examiners may be in one instance or in subsequent instances, in case of resubmission of thesis.

43.3 A commended report by any examiner at any time will be considered at all times.

43.4 Definite recommendations as to:

43.4.1 The research work of the candidate as advanced the existing knowledge in the subject and therefore the thesis can be accepted for the award of Ph.D degree in the present form.

43.4.2 The thesis can be accepted for the award of Ph.D degree after minor correction/revisions as suggested. (points on which revision is required as to be stated by the examiner/s).

43.4.3 The thesis should be revised and resubmitted for evaluation. (revision required should be stated clearly by the examiner).

43.4.4 The research work has failed to achieve the desired standard and hence the thesis is rejected. (precise reason for such rejection must be stated clearly by the examiner).

43.5 Important Note:

43.5.1 If any two of the Examiners give definite recommendations against the award of the degree, the thesis shall be rejected. If one of the examiners gives a definite recommendation against the award of the degree the thesis may be referred to another external examiner from the panel recommended by the Guide & Ph.D Committee. If the report of the third examiner is favorable, then the candidate shall be eligible to appear for the Viva-Voce examination and if it is not favorable, then the thesis shall be rejected.
43.5.2 If one of the examiners makes qualified recommendations with valid reasons (for eg., to resubmit the thesis after suitable modifications) the COE who will in turn intimate the candidate for resubmitting the thesis after suitable modifications within six months from the date of the communication. The resubmitted thesis will be again sent to the same examiner and the reports received thereon by the Chairman shall be communicated to the Registrar (Evaluation).

44. **REJECTED THESIS**

44.1 If the thesis is not commended by the three examiners, then the thesis is deemed as rejected.

44.2 The candidate can resubmit the thesis for the second time within six months to a maximum of one year by carrying out additional research work or modify the original thesis with suitable corrections and make new presentations which may enhance the quality of the thesis.

44.3 The resubmission of the thesis should be accompanied by the payment of the stipulated fees as decided by the University from time to time and as mentioned in Regulation 33.

44.4 The candidate shall not be permitted at any time to make more than two submissions of the thesis.

45. **PUBIC VIVA VOCE EXAMINATION**

45.1 A candidate whose thesis is commended by three examiners shall submit himself/herself for a Public Viva Voce Examination.

45.2 The Public Viva Voce examination shall be conducted by a Board of Examiners consisting of:

45.2.1 Guide / Chairperson

45.2.2 Co-Guide

45.2.3 At least one of the external examiners shall be present at the time of Viva Voce examination. Normally the external examiners from the
India who assessed thesis of the candidate will act as the external examiner at the time of viva voce examination.

45.3 The Public Viva Voce Examination is open to all faculty / non faculty members in the field of research work done by the candidate. The Public Viva Voce Examination will be conducted in the institution, where the candidate conducted the research work.

45.4 All clarifications / questions / suggestions made by the examiners who have evaluated the thesis shall be addressed by the panel of examiners to the candidate to answer and defend his / her thesis.

45.5 The Board of examiners of the Public Viva Voce Examination shall report their comments and sign the “Public Viva Voce Examination Reporting Form” provided by COE,BLDE University.

45.6 Members of the Board of Examiners for the Viva-Voce examination shall report specifically on whether the candidate’s performance in the examination is satisfactory and therefore be considered for the award of Ph.D degree or not.

45.7 A successful report of the Public Viva Voce examination is essential for the final approval of the thesis.

45.8 A candidate who is not successful in the Public Viva Voce Examination shall be permitted to reappear for a similar examination for a second time within a period of 3 months.

45.9 A candidate shall not ordinarily be permitted to take the Public Viva Voce Examination, on more than two occasions.

Note: The Viva-Voce examination is primarily designed to test the understanding by the candidate of the subject matter of the thesis including methodology employed and his/her competence in the general field of study. Since the points raised by the examiners of the thesis are to be clarified during the Viva-Voce examination, the report of the examiners who adjudicated the thesis shall be made available to the Board of Examiners for Viva-Voce
examination. The candidate shall produce all the relevant documents, materials, preparations, etc., connected with the research project during Viva-Voce examination.

46. DECLARATION OF THE FINAL RESULT
46.1 The Controller of Examinations shall place before the Vice Chancellor the consolidated report received from the Board of Examiners along with the evaluation commendation reports of the three examiners and the Public Viva Voce Examination report for approval and declaration of the results.

46.2 The successful candidate shall be notified and intimate by the COE, BLDE University once Vice Chancellor approves the report of Ph.D. Public Viva Voce Examination

47. ISSUE OF PROVISIONAL / DEGREE CERTIFICATE
A candidate who has successfully passed the Public Viva Voce Examination and declared/notified to have qualified for the Ph.D., degree, shall be issued Ph.D., provisional /degree certificate duly mentioning the branch / field of research / specialization (separately for Allied Health Sciences) and the guide and co-guide, if any, and the institution(s) where the candidate has carried out his / her research work.

48. PUBLICATION OF THESIS
The University encourages the student to publish any part of the thesis at any time during the course of his/her research work. In case the research work is done in the departments of this University, prior permission must be obtained from the Vice Chancellor of this University. The copyright for the research shall be jointly owned by the student and the University. The raw data may also be handed over to the University if the student is unable to publish it. The University reserves the right to publish all or part of the data, or a consolidated report from the thesis with due acknowledgements to the student and the guide.

49. DISCREPANCIES, CONFLICT OF INTEREST AND DISCIPLINARY ACTIONS
The University, with the approval of the Board of Management Council will consider individual cases with special reference to discrepancies of any nature, conflict of interest and to initiate appropriate administrative actions against the candidate, guide and the co-guide.
50. **THE ACT OF PLAGIARISM**  
   In case it is found and confirmed by the Ph.D. Committee that a research scholar has copied any part of his/her Ph.D. thesis, the thesis shall be rejected and his/her registration number shall be terminated and also he/she will be permanently debarred from any further registration under this University.

51. **MODEL CERTIFICATE**  
   The Ph.D., Degree Certificate shall have the Faculty and the Branch specified. In case of Allied Health Sciences branch will not be mentioned only the title of the thesis will be mentioned.

52. **CHANGE OF REGULATIONS**  
   Academic Committee of BLDE University may revise, amend or change the regulation from time to time as per the recommendation of Ph.D. Committee.